

07-08-2002

Attorney Docket No. SFL004

Form PTO-1595  
(Rev. 03-01)  
OMB No. 0651-0027 (exp. 5/31/2002)  
Tab settings



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T U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Ultradent Products, Inc.  
  
7.3.02  
Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)  
Name: Applied Dental Products, Inc.  
Internal Address: \_\_\_\_\_

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Street Address: 5000 Culbreath Key Way  
#8-308  
  
Florida  
City: Tampa State: \_\_\_\_\_ Zip: 33611

Execution Date: June 7, 2002

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
09/565752 PCT/US01/14788  
09/842995  
09/850355

B. Patent No.(s)  
6,368,109  
6,382,979  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas S. Baker, Jr.

Internal Address: \_\_\_\_\_

Street Address: 1371 West 3rd Avenue

City: Columbus State: Ohio Zip: 43212

6. Total number of applications and patents involved: 6

7. Total fee (37 CFR 3.41).....\$ 240.00

Enclosed

Authorized to be charged to deposit account  
**ANY DEFICIENCY**

8. Deposit account number:

**ANY DEFICIENCY**

02-0413

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas S. Baker, Jr.  
Name of Person Signing

Signature

28 June 2002  
Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

07/08/2002 LMUELLER 00000076 09565752

01 FC:581

240.00 DP

**PATENT**  
**REEL: 013045 FRAME: 0272**

## ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which is acknowledged, Ultradent Products, Inc., a Utah corporation, whose address is 505 West 10200 South, South Jordan, Utah 84095 ("Assignor") hereby assigns to Applied Dental Products, Inc., a Florida corporation, whose address is 5000 Culbreath Key Way #8-308, Tampa, Florida 33611 ("Assignee"), all of Assignor's right, title and interest in and to the following:

1. The Patent Rights as defined in the Option to Evaluate and Purchase Tooth Whitening Technology and Purchase Agreement (the "Agreement") between Assignor and Assignee dated October 12, 2000, including "Technology," "Patent Applications" and "Patents" as defined therein, *i.e.*:

A. The term "Technology" means every idea or design, device or apparatus, machine, process or method, product, composition of matter, or any improvement of any of the foregoing, whether or not patentable, disclosed in pending United States patent application no. 09/565752, filed May 8, 2000, and entitled IN-OFFICE TOOTH WHITENING, or which was discovered, developed, conceived, or reduced to practice or written form by Lindquist at any time during the term of this Agreement, and which is reasonably related to the subject matter disclosed in said pending patent application.

B. The term "Patent Applications" shall mean United States patent application no. 09/565752, filed May 8, 2000, and entitled IN-OFFICE TOOTH WHITENING (the "Pending Patent Application"), any continuing prosecution applications, continuation applications, continuation-in-part applications, or divisional applications claiming priority to the Pending Patent Application; and any foreign patent

applications (including applications filed under the Patent Cooperation Treaty and/or the European Patent Convention) claiming priority to the Pending Patent Application.

C. The term "Patents" shall mean any and all patents (including any reexamination or reissue patents) that may issue from the Patent Applications.

2. The original patent application entitled In-Office Tooth Whitening, U.S. Application No. 09/565,752, filed May 8, 2000.

3. Continuation-in-part application entitled In-Office Tooth Whitening, U.S. Patent Application No. 09/842,995.

4. Continuation-in-part application entitled Kits For Use In Accelerating Dental Treatments, U.S. Patent No. 6,368,109.

5. Continuation-in-part application entitled Methods For Accelerated Desensitization Of Teeth, U.S. Application No. 09/850,355.

6. Continuation-in-part application entitled Apparatus and Methods for Accelerating Dental Treatments, U.S. Patent No. 6,382,979.

7. PCT Application No. PCT/US01/14788.

8. EPO Application No. EP/01933178.4.

9. The invention for the improvements in the In-Office Tooth Whitening System as described and/or claimed in the above applications for Letters Patent of the United States of America and as described and/or claimed in any and all applications for Letters Patent based thereon including divisions, continuations and reissues thereof as well as all foreign counterparts thereof together with all Letters Patent issuing on any of the aforesaid applications for Letters Patent, the same to be held and enjoyed by Assignee, its successors, assigns or other legal

representatives, to the full ends of the terms of all said Letters Patent therefore which may be granted.

AND ASSIGNOR HEREBY AUTHORIZES ASSIGNEE to make applications for and to receive Letters Patent for said invention in any foreign countries in Assignee's name.

AND ASSIGNOR HEREBY COVENANTS AND AGREES, without further consideration and without expense to Assignor, to sign all lawful papers and to perform all other lawful acts which Assignee may request of Assignor to make this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee to further the prosecution of any such patent applications.

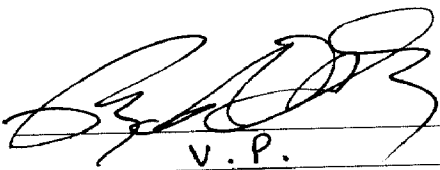
Cooperation to the best of Assignor's ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension or infringement proceedings involving said invention.

This assignment and agreement shall be binding upon Assignor's successors, assigns or other legal representatives.

AND ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States for said inventions, resulting from an of the aforesaid applications to said Assignee as sole assignee.

ULTRADENT PRODUCTS, INC.,  
a Utah Corporation

6/7/02  
Date

By:   
Its: V.P.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)**

Attorney Docket No. SFL004

**IN RE U.S. PATENT APPLICATIONS:**

Serial Number: 09/565752

Serial Number: 09/842995

Serial Number: 09/850355

PCT Patent Application No.: PCT/US01/14788

**IN RE U.S. PATENTS:**

U.S. Patent No.: 6,368,109

U.S. Patent No.: 6,382,979

Thomas S. Baker, Jr.

(Name of Party Filing Paper)

A LETTER, A RECORDATION FORM COVER SHEET PATENTS ONLY IN

(Identify the type of paper)

DUPLICATE, AN ASSIGNMENT DOCUMENT, A CHECK IN THE AMOUNT

OF \$240.00, A CERTIFICATE OF MAILING AND A RETURN RECEIPT

POSTCARD.

I hereby certify that the above-identified correspondence, which is attached is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents and Trademarks

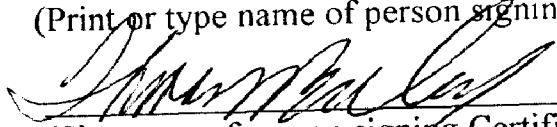
BOX ASSIGNMENTS

Washington, D.C. 20231

on 28 June 2002

Thomas S. Baker, Jr.

(Print or type name of person signing Certificate)

  
(Signature of person signing Certificate)

28 June 2002

(Date of Signature)

Form PTO-1595  
(Rev. 03-01)

RECORDATION FORM COVER SHEET  
**PATENTS ONLY**

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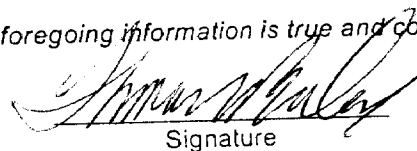
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Thomas S. Baker, Jr.  
Name of Person Signing

  
Signature

28 June 2002  
Date

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Washington, D.C. 20231

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. SFL004

In re U.S. Patent Applications:

**Serial Number:** 09/565752  
**Filing Date:** May 8, 2000  
**Title:** In-Office Tooth Whitening

**Serial Number:** 09/842995  
**Continuation-in-part**  
**Title:** In-Office Tooth Whitening

**Serial Number:** 09/850355  
**Continuation-in-part**  
**Title:** Methods For Accelerated Desensitization  
Of Teeth

**PCT Application No.:** PCT/US01/14788

**U.S. Patent No.:** 6,368,109  
**Title:** Kits For Use In Accelerating Dental Treatments

**U.S. Patent No.:** 6,382,979  
**Title:** Apparatus and Methods for Accelerating  
Dental Treatments

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**LETTER**

Dear Sir:

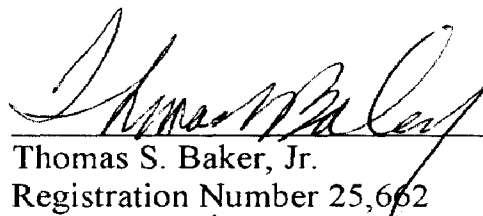
I enclose herewith the following documents for recordal of the assignment from Ultradent Products, Inc. to Applied Dental Products, Inc. for the subject patents and patent applications:

1. A Recordation Form Cover Sheet Patents Only in duplicate.

2. An executed Assignment document.
3. A check in the amount of \$240.00
4. A Certificate of Mailing.
5. A Return Receipt postcard.

Thank you for your help in this matter.

Date: 28 June 2002



Thomas S. Baker, Jr.  
Registration Number 25,662  
1371 West 3<sup>rd</sup> Avenue  
Columbus, Ohio 43212  
Telephone: 614/488-2202  
Facsimile: 614/488-2232