

08-30-2002

Docket No. PAK11US

U.S. Department of Commerce
Patent and Trademark Office
PATENT



102190455

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

WRD
10/20/00

10853 U.S. PTO
09/693340
10/20/00

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New

☐ Resubmission (Non-Recordation)
Document ID#

☐ Correction of PTO Error
Reel # Frame #

☐ Corrective Document
Reel # Frame #

Conveyance Type

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Execution Date
Month Day Year
10 18 2000

Name (line 1) FOOTE, Roger Mervyn Lloyd

Name (line 2)

Second Party

Name (line 1) KING, Tobin Allen

Execution Date
Month Day Year
10 18 2000

Name (line 2)

09693340

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Silverbrook Research Pty. Ltd.

Name (line 2)

Address (line 1) 393 Darling Street

Address (line 2)

Address (line 3) Balmain

NSW, Australia

2041

City

State/Country

Zip Code

☒ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Kia Silverbrook

Address (line 1) c/o Silverbrook Research Pty. Ltd.

Address (line 2) 393 Darling Street

Address (line 3) Balmain, NSW 2041

Address (line 4) Australia

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 013046 FRAME: 0184

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

Docket No. PAK11US

U.S. Department of Commerce
Patent and Trademark Office
PATENT

0853 U.S. PAT. 09/693340

10/20/00

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) JACKSON, Garry Raymond

Execution Date
Month Day Year

10 18 2000

Name (line 2)

Execution Date
Month Day Year

10 18 2000

Name (line 1) SILVERBROOK, Kia

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

Correspondent Name and Address

Country,

Area Code and Telephone Number 61-2-9818-6633

Name Kia Silverbrook

Address (line 1) Silverbrook Research Pty. Ltd.

Address (line 2) 393 Darling Street

Address (line 3) Balmain, NSW 2041

Address (line 4) Australia

Pages Enter the total number of pages of the attached conveyance document including any attachments.

7

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
10 18 2000

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

4

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

160

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Kia Silverbrook



October 18, 2000

Name of Person Signing

Signature

Date

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

PAK111US

Whereas, I, ROGER MERVYN LLOYD FOOTE of 162 North Road, Eastwood, NSW 2122, Australia, hereafter referred to as applicant, have invented certain new and useful improvements in INK FEED FOR SIX COLOR INKJET MODULAR PRINthead

☐ for which an application for a United States Patent was filed on _____, Application Number _____/_____.

☒ for which an application for a United States Patent was executed on October 18, 2000, and

Whereas, SILVERBROOK RESEARCH PTY. LTD. of Balmain, New South Wales, Australia herein referred to "assignee" whose post office address is 393 Darling Street, Balmain, NSW 2041, Australia is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this eighteenth day of October, ~~19~~ 2000,
at Balmain, New South Wales, Australia


(Signature)

State of _____) SS:

County of _____)

Before me personally appeared said _____
and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____, 19____.

Seal

(Notary Public)

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)
PAK11US

Whereas, I, Tobin Allen King of Unit 2, 125 Cremorne Road
Cremorne, NSW 2090, Australia, hereafter
referred to as applicant, have invented certain new and useful improvements in _____
INK FEED FOR SIX COLOR INKJET MODULAR PRINTHEAD

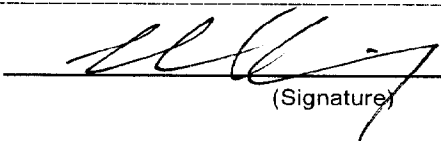
☐ for which an application for a United States Patent was filed on _____,
Application Number _____ / _____.

☒ for which an application for a United States Patent was executed on October 18, 2000, and

Whereas, Silverbrook Research Pty Ltd of Balmain, New South Wales, Australia herein referred to
"assignee" whose post office address is 393 Darling Street, Balmain, NSW 2041, Australia is de-
sirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$1.00), the receipt whereof is ac-
knowledgeed, and other good and valuable consideration, I, the applicant, by these presents do sell, assign
and transfer unto said assignee the full and exclusive right to the said invention in the United States and the
entire right, title and interest in and to any and all Patents which may be granted therefor in the United States,
I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States
Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof;
and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may
be granted, as fully and entirely as the same would have been held by me had this assignment and sale not
been made.

Executed this eighteenth day of October, 2000, ~~XX~~
at Balmain, New South Wales, Australia


(Signature)

State of _____) SS:

County of _____)

Before me personally appeared said _____
and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____, 19____.

Seal

(Notary Public)

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ASSIGNMENT OF APPLICATION

Docket Number (Optional)

PAK11US

Whereas, I, Garry Raymond Jackson of 6 St. Davis Road Haberfield, NSW 2045, hereafter referred to as applicant, have invented certain new and useful improvements in INK FEED FOR SIX COLOR INKJET MODULAR PRINTHEAD

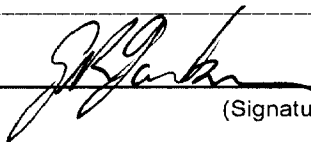
☐ for which an application for a United States Patent was filed on _____, Application Number _____/_____.

☒ for which an application for a United States Patent was executed on October 18, 2000, and

Whereas, Silverbrook Research Pty Ltd of Balmain, New South Wales, Australia herein referred to "assignee" whose post office address is 393 Darling Street, Balmain, NSW 2041, Australia is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this eighteenth day of October, 2000, ~~19~~XX,
at Balmain, New South Wales, Australia


(Signature)

State of _____) SS:
County of _____)

Before me personally appeared said _____
and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____, 19____.

Seal

(Notary Public)

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number (Optional)
PAK11US

Applicant, Patentee, or Identifier: Silverbrook Research Pty Ltd
Application or Patent No.: _____
Filed or Issued: October, 2000
Title: INK FEED FOR SIX COLOR INKJET MODULAR PRINTHEAD

I hereby state that I am
☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Silverbrook Research Pty. Ltd.
ADDRESS OF SMALL BUSINESS CONCERN 393 Darling Street, Balmain, NSW 2041, Australia

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:


- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- Each person, concern, or organization having any rights in the invention is listed below:
☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Kia Silverbrook
TITLE OF PERSON IF OTHER THAN OWNER _____
ADDRESS OF PERSON SIGNING 393 Darling Street, Balmain, NSW 2041, Australia
SIGNATURE  DATE October 18, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

PAK11US

Whereas, I, Kia Silverbrook of 393 Darling Street
Balmain, NSW 2041, Australia, hereafter
referred to as applicant, have invented certain new and useful improvements in _____
INK FEED FOR SIX COLOR INKJET MODULAR PRINthead

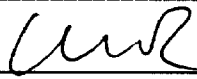
☐ for which an application for a United States Patent was filed on _____,
Application Number _____/_____.

☒ for which an application for a United States Patent was executed on October 18, 2000, and

Whereas, Silverbrook Research Pty Ltd of Balmain, New South Wales, Australia herein referred to
"assignee" whose post office address is 393 Darling Street, Balmain, NSW 2041, Australia is de-
sirous of acquiring the entire right, title and interest in the same;

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and transfer unto said assignee the full and exclusive right to the said invention in the United States and the
entire right, title and interest in and to any and all Patents which may be granted therefor in the United States,
I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States
Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof;
and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may
be granted, as fully and entirely as the same would have been held by me had this assignment and sale not
been made.

Executed this eighteenth day of October, 2000, ~~19~~
at Balmain, New South Wales, Australia



(Signature)

State of _____) SS:

County of _____)

Before me personally appeared said _____

and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____, 19_____.

Seal

(Notary Public)

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