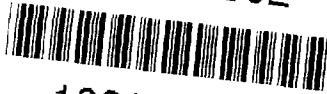


07-08-2002



102146027

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇌ ⇌ ⇌ ▼

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

Atty Docket No.: NEKO 19.770

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kenji SAITO

06/21/02

2. Name and address of receiving party(ies)

NEC Corporation

Name: _____

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

JUNE 3, 2002

7-1, Shiba 5-chome, Minato-ku

Street Address: _____

Tokyo, Japan

City: _____ State: _____ Zip: _____

Execution Date: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

KATTEN MUCHIN ZAVIS ROSENMAN

Name: _____

IP Department 15th Fl.

Internal Address: _____

6. Total number of applications and patents involved: ☐ 1

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

50-1290

(Attach duplicate copy of this page if paying by deposit account)

07/08/2002 6TOM11 00000032 501290 10177280

01 FC:581

40.00 CH

Street Address: 575 Madison Avenue

City: New York State: New York Zip: 10022-2585

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael I. Markowitz

Name of Person Signing

Signature

JUNE 21, 2002

Date

Total number of pages including cover sheet, attachments, and documents: ☐ 2

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231ANY FEE DUE WITH THIS PAPER, NOT FULLY
COVERED BY AN ENCLOSED CHECK, MAY BE
CHARGED ON DEPOSIT ACCOUNT NO. 501290PATENT
REEL: 013049 FRAME: 0122

ASSIGNMENT

Docket No. _____

WHEREAS, the below named inventor, ASSIGNOR, has made a certain new and useful invention in : _____
METHOD OF MANUFACTURING NON-VOLATILE SEMICONDUCTOR MEMORY DEVICE

for which

(check one) ☒ an application is being filed concurrently herewith,
☐ an application for a Patent of the United States was filed on _____
☐ and given Application Serial No. _____
and WHEREAS, NEC Corporation


having a place of business at 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan_____, ASSIGNEE
is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the said ASSIGNOR, has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventor's certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

ASSIGNOR authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR covenants and agrees that he has full right to convey the entire interest herein assigned, and has not executed, and will not execute, any agreement in conflict herewith.

AND ASSIGNOR further covenants and agrees that he will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

Full name of sole or first inventor Kenji SAITO
Inventor's signature Kenji Saito  Date June 3, 2002
Residence Tokyo, Japan Citizenship Japanese
Post Office Address c/o NEC Corporation, 7-1, Shiba 5-chome, Minato-ku,
Tokyo, Japan

Witness:

Hitashi Uchii
Hitashi Uchii

PATENT

RECORDED: 06/21/2002

-- REEL: 013049 FRAME: 0123