

07-08-2002



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Chia-Lin HSU June 7, 2002
Art YU June 7, 2002
Shih-Hsun HSU June 7, 2002
Hsueh-Chung CHEN June 9, 2002

06/19/02

2. Name and address of receiving party(ies):

UNITED MICROELECTRONICS CORP.
Taiwan R.O.C.
No. 3, Li-Hsin Rd. 2
Science-Based Industrial Park
Hsin-Chu City
Taiwan, R.O.C.

10/177306
06/19/02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

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3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

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4. Application number(s) or patent number(s):

10/177306

If this document is being filed together with a new application, the execution date of the application is: unknown

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael D. Schumann
Address: Merchant & Gould P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903



7. Total fee (37 CFR 3.41): \$40.00

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Michael D. Schumann (30,422)

Name of Person Signing

Signature

June 19, 2002

Date

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PATENT
REEL: 013049 FRAME: 0458

INSTRUCTIONS

ASSIGNMENT OF U.S. RIGHTS TO CORPORATION

WHEREAS,

Inventor(s) Name,
Address, Citizenship

1. Chia-Lin HSU residing at 6F, NO. 181, CHIEN-KANG RD., TAIPEI CITY, TAIWAN R.O.C. and a citizen of Rep of China
2. Art YU residing at 4, LANE 224, WU-CHIA 1ST RD., FENG-SHAN, KAOHSIUNG, TAIWAN R.O.C.; and a citizen of Rep of China
3. Shih-Hsun HSU residing at NO. 24-1, ALLEY 3, LN 2, MINGDE 1 Rd., KEELUNG CITY, R.O.C. and a citizen of Rep. of China
4. Hsueh-Chung CHEN residing at 4F, NO. 2, LANE 190, CHUNG-CHENG RD., YUNG-HO CITY, TAIPEI, TAIWAN R.O.C. and a citizen of Rep. of China

Date Patent Declaration
Signed and Title Serial
No. and filing date (if
known)

(herein called "THE UNDERSIGNED") have made an invention and have executed an application for Letters Patent of the United States on _____ for this invention, which application is entitled WAFER CARRIER ASSEMBLY FOR A CHEMICAL MECHANICAL POLISHING APPRATUS AND A POLISHING METHOD USING THE SAME and which has been given Serial Number _____ and the filing date of _____;

Name of Corporation

AND WHEREAS UNITED MICROELECTRONICS CORP. (herein called "ASSIGNEE"), a corporation organized under the laws of TAIWAN R.O.C., and having an office and place of business at NO.3, LI-HSIN RD.2, SCIENCE-BASED INDUSTRIAL PARK, HSIN-CHU CITY, TAIWAN, R.O.C.

State or Country of
Incorporation and Address

wishes to acquire the entire right, title and interest in and to said invention and patent application and any Letters Patent to be obtained therefor;

NOW, THEREFORE, for and in consideration of the sum of One Dollar and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, THE UNDERSIGNED hereby sell, assign and transfer to the ASSIGNEE, its successors and assigns, the entire right, title and interest for the United States in and to the invention disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and THE UNDERSIGNED hereby authorize and request the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid invention to the ASSIGNEE of the entire right, title and interest in and to the same, for the use of the ASSIGNEE, its successors and assigns.

THE UNDERSIGNED hereby agree that THE UNDERSIGNED, their executors and legal representatives will make, execute and deliver (without charge but at the expense of the ASSIGNEE) any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and representatives all facts known to THE UNDERSIGNED relating to said invention and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to vest the ASSIGNEE, its successors or assigns the entire right, title and interest in and to the said invention, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

THE UNDERSIGNED represent and agree with said ASSIGNEE its successors and assigns, that no assignment grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by THE UNDERSIGNED, and that full right to convey the same as herein expressed is possessed by THE UNDERSIGNED.

IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand on the dates indicated below.

Each inventor must sign & date

1 Chia-chia Shu
Signature

JUN - 7 2002
Date

Note: No legalization or other

2 Art-Yu
Signature

JUN - 7 2002
Date

witness required

3 Shih-Hsun Shu
Signature

JUN - 7 2002
Date

4 Hsueh-Cheng Chen
Signature

2002, 04, 09
Date