

07-09-2002



Atty. Docket No.: 0171-0860P

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To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy thereof.

11040 U.S. PTO  
10/179969  
06/26/02

1. Name of conveying party(ies):

[FAMILY NAME (ALL CAPS), Given Name]

KANEKO, Hideo

INAZUKI, Yukio

TSUKAMOTO, Tetsushi

OKAZAKI, Satoshi

Additional name(s) of conveying party(ies) attached?

☐ YES ☒ NO

2. Name and address of receiving party(ies)

Name: SHIN-ETSU CHEMICAL CO., LTD.

Internal Address:

Street Address: 6-1, Otemachi, 2-chome, Chiyoda-ku

City: Tokyo

State:

ZIP:

Country: JAPAN

Postal Code:

Additional name(s) & address(es) attached? ☐ YES ☒ NO

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

Execution Date: June 7, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 7, 2002

A. Patent Application No(s).

NEW

B. Patent No(s).

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: BIRCH, STEWART, KOLASCH &amp; BIRCH, LLP

Street Address: P.O. BOX 747

City: FALLS CHURCH State: VA ZIP: 22040-0747

Country: USA

6. Total No. of applications/patents involved: One (1)

7. Total fee (37 C.F.R. § 3.41): \$40.00

☒ Enclosed☒ Authorized to be charged to deposit account, if no fee attached.

8. Deposit account number: 02-2448

(Attach triplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gerald M. Murphy, Jr., #28,977  
Name of Person Signing/Reg. No.

*Gerald M. Murphy, Jr.*  
Signature  
Reg. No. 21,066

June 26, 2002  
Date

Total number of pages including cover sheet, attachments, and document: Three (3)

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(Rev. 02/11/02)

PATENT  
REEL: 013050 FRAME: 0107

BIRCH, STEWART, KOLASCH & BIRCH, LLP

UNITED STATES PATENT RIGHTS, OR  
UNITED STATES PLUS ALL FOREIGN PATENT RIGHTS

ATTORNEY DOCKET NO.  
0171-0860P

ASSIGNMENT

Application No. NEW

Filed June 26, 2002

Insert Name(s)  
of Inventor(s)

WHEREAS, Hideo KANEKO, Yukio INAZUKI, Tetsushi TSUKAMOTO and

Satoshi OKAZAKI of

Nakakubiki-gun, Niigata-ken, Japan, Nakakubiki-gun, Niigata-ken, Japan,

Nakakubiki-gun, Niigata-ken, Japan and Nakakubiki-gun, Niigata-ken,

Japan

(hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in \_\_\_\_\_

Insert Title  
of Invention

Phase Shift Mask Blank and Method of Manufacture

for which an application for Letters Patent of the United States of America has been executed by the undersigned  
(except in the case of a provisional application).

Insert Date  
of Signing of  
Application

on June 7, 2002; and

Insert Name  
of Assignee

WHEREAS, Shin-Etsu Chemical Co., Ltd.

Insert Address  
of Assignee

of 6-1, Otemachi, 2-chome, Chiyoda-ku, Tokyo, Japan

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of  
acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that  
may be granted therefor in the United States of America and

CHECK BOX  
IF APPROPRIATE

☐ in any and all foreign countries.

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) to the undersigned in hand  
paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned  
has (have) sold, assigned and transferred, and by these presents does sell, assign and transfer unto said  
Assignee the full and exclusive right to the said invention in the United States of America, its territories,  
dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s)  
which may be granted therefor in the United States of America, its territories, dependencies and possessions,  
and if the box above is designated, in any and all foreign countries;

and to any and all divisions, reissues, continuations, conversions and extensions thereof for the full term or  
terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional, conversion or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division, conversion or reissue thereof or Letter Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States of America patent(s) or a grant of a valid United States of America and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patents resulting from said application or any continuing, divisional conversion or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

The undersigned hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date <u>June 7, 2002</u> ,	Name of Inventor <u>Hideo Kaneko</u> (signature) <u>Hideo KANEKO</u>
Date <u>June 7, 2002</u> ,	Name of Inventor <u>Yukio Inazuki</u> (signature) <u>Yukio INAZUKI</u>
Date <u>June 7, 2002</u> ,	Name of Inventor <u>Tetsushi Tsukamoto</u> (signature) <u>Tetsushi TSUKAMOTO</u>
Date <u>June 7, 2002</u> ,	Name of Inventor <u>Satoshi Okazaki</u> (signature) <u>Satoshi OKAZAKI</u>
Date _____ ,	Name of Inventor _____ (signature)
Date _____ ,	Name of Inventor _____ (signature)