

07-09-2002

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the  
Please Fee



102147439

marks:  
thereof.

1. Name of conveying party(ies):

Trent Ridder  
John D. Maynard  
Russell E. Abbink  
Robert D. Johnson

2. Name and address of receiving party(ies):

Name: InLight Solutions, Inc.

Additional name(s) of conveying party(ies)  
attached? \_ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Street Address:

800 Bradbury S.E., Suite 217

City: Albuquerque State: New Mexico ZIP: 87106

Additional name(s) & addresses attached?  
\_ Yes ☒ No

Execution Date: April 4, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

U.S. Patent Application Serial No. 10/116,271  
filed April 4, 2002

B. Patent No.(s)

Additional numbers attached? \_ Yes ☒ No

5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name: CROMPTON, SEAGER & TUFTE, LLC

Address: 331 Second Avenue South, Suite 895  
Minneapolis, MN 55401

6. Total number of applications and patents  
involved: 1

7. Total fee (37 CFR 3.41). . \$40.00

☐ Enclosed  
☒ Fee deficiencies or overpayments are authorized to  
be charged to deposit account

8. Deposit Account Number: 50-0413

(Attach duplicate copy of this page if  
paying by deposit account)

07/09/2002 LMUELLER 00000009 10116271

01 FC:581

40.00 OP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David M. Crompton

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet, attachments and document: 4

To the  
Please re

and Trademarks:  
s) or copy thereof.

1. Name of conveying party(ies):

Trent Ridder  
John D. Maynard  
Russell F. Abbink  
Robert D. Johnson

2. Name and address of receiving party(ies):

Name: InLight Solutions, Inc.

Additional name(s) of conveying party(ies)  
attached? ☐ Yes ☒ No

Street Address:

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Charge of Name  
☐ Other \_\_\_\_\_

800 Bradbury S.E., Suite 217

City: Albuquerque State: New Mexico ZIP: 87106

Additional name(s) & addresses attached?  
☐ Yes ☒ No

Execution Date: April 4, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:  
April 4, 2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name: CROMPTON, SEAGER & TUFTE, LLC

Address: 331 Second Avenue South, Suite 895  
Minneapolis, MN 55401

6. Total number of applications and patents  
involved: 1

7. Total fee (37 CFR 3.41). . . \$40.00  
☒ Enclosed  
☐ Authorized to be charged to deposit  
account

8. Deposit Account Number: 50-0413  
(Attach duplicate copy of this page if  
paying by deposit account)

04/11/2002 LMUELLER 00000172 CROMPTON D

01 FC:581

40.00 UP

DO NOT USE THIS SPACE

Adjustment date: 07/09/2002 LMUELLER

04/11/2002 LMUELLER 00000172 CROMPTON D

01 FC:581

-40.00 UP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy  
is a true copy of the original document.

David M. Crompton  
Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet, attachments and document: 4

## ASSIGNMENT

WHEREAS, Trent Ridder, residing at 80 Paa-ko Drive, Sandia Park, New Mexico 87047; John D. Maynard, residing at 11202 Spyglass Hill Lane Northeast, Albuquerque, New Mexico 87111; Russell E. Abbink, residing at P.O. Box 909, Albuquerque, New Mexico 87112; and Robert D. Johnson, residing at 8109 Rancho Felice Court NW, Albuquerque, New Mexico 87120, have made certain new and useful inventions and improvements for which they have executed an application for Letters Patent of the United States, which is entitled APPARATUS AND METHOD FOR REDUCING SPECTRAL COMPLEXITY IN OPTICAL SAMPLING, of even date herewith;

AND WHEREAS, InLight Solutions, Inc., a corporation organized and existing under and by virtue of the laws of New Mexico, and having a business address of 800 Bradbury S.E., Suite 217, Albuquerque, New Mexico 87106, is desirous of acquiring the entire right, title and interest in and to said inventions, improvements, application, and Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said InLight Solutions, Inc., its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid inventions and improvements to said InLight Solutions, Inc., its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any other documents, and will communicate to said InLight Solutions, Inc., its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to more effectually secure to and vest in InLight Solutions, Inc., its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, we, Trent Ridder, John D. Maynard, Russell E. Abbink and Robert D. Johnson, covenant and agree with said InLight Solutions, Inc., its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.


The parties hereto acknowledge that they have specifically requested the present agreement to be drawn up in the English language.

IN TESTIMONY WHEREOF, we have hereunto set our hands this 4<sup>th</sup> day of April, 2002.

STATE OF New Mexico )  
COUNTY OF Bernalillo ) ss.


Trent D. Ridder  
Trent Ridder

On this 4<sup>th</sup> day of April, 2002, before me personally appeared Trent Ridder, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

  
OFFICIAL SEAL  
JACKIE S. ARAGON  
Notary Public - STATE OF NEW MEXICO  
Notary Bond Filed With Secretary of State  
My Commission Expires 10-22-02  
John D. Maynard  
John D. Maynard

STATE OF New Mexico )  
COUNTY OF Bernalillo ) ss.


On this 4<sup>th</sup> day of April, 2002, before me personally appeared John D. Maynard, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

  
OFFICIAL SEAL  
JACKIE S. ARAGON  
Notary Public - STATE OF NEW MEXICO  
Notary Bond Filed With Secretary of State  
My Commission Expires 10-22-02

STATE OF New Mexico )  
COUNTY OF Bernalillo ) ss.

Russell E. Abbink  
Russell E. Abbink

On this 4<sup>th</sup> day of April, 2002, before me personally appeared Russell E. Abbink, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

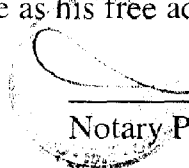
  
OFFICIAL SEAL  
JACKIE S. ARAGON  
Notary Public - STATE OF NEW MEXICO  
Notary Bond Filed With Secretary of State  
My Commission Expires 10-22-02



Robert D. Johnson

STATE OF New Mexico )  
 ) ss.  
COUNTY OF Sernalillo )

On this 14<sup>th</sup> day of April, 2002, before me personally appeared Robert D. Johnson, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

 Peter Obregon  
Notary Public  
My Commission Expires 10-22-02