

07-09-2002

ORDINATION FORM COVER SHEET *Patents Only*

102148221

To the Honorable Commissioner of Patents and Trademarks:

Date: June 21, 2002
Attorney Docket No. 9151-15

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David M. Herrington
Timothy D. Howard
Gregory A. Hawkins
Deborah A. Meyers

06/26/02

2. Name and address of receiving party(ies):

Wake Forest University
Medical Center Blvd.
Winston-Salem, NC 27157

OFFICE OF PATENT PRODUCTION
JUN 26 2002
FINANCE

Additional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other _____
Execution Date: May 16, 2002; May 13, 2002; May 13, 2002;
June 3, 2002Additional name(s) & address(es) attached? Yes ☐ No4. Application Serial No. 10/081,563, filed February 22, 2002

Patent No. _____

If this document is being filed together with a new application, the execution date of the application is: _____

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Jarett K. Abramson
Myers Bigel Sibley & Sajovec
P. O. Box 37428
Raleigh NC 276276. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$40.00
☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 50-0220

DO NOT USE THIS SPACE

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Jarett K. Abramson, #47,376
Name of Person Signing

Signature

June 21, 2002
DateTotal number of pages including cover sheet, attachments and document: 6

07/08/2002 LMUELLER 00000254 500220 10081563

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ASSIGNMENT

THIS ASSIGNMENT, made by us, **David M. Herrington**, citizen of the United States of America, residing at 5225 Huntercroft Court, Winston-Salem, North Carolina 27106; **Timothy D. Howard**, citizen of the United States of America, residing at 125 Brookton Court, Clemmons, North Carolina 27012; **Gregory A. Hawkins**, citizen of the United States of America, residing at 4349 Southern Oak Drive, High Point, North Carolina 27265; and **Deborah A. Meyers**, citizen of the United States of America, residing at 244 Steeplechase Lane, Mocksville, North Carolina 27028;

WITNESSETH: That,

WHEREAS, we are the joint inventors of certain new and useful improvements in **GENETIC POLYMORPHISMS OF ESTROGEN RECEPTOR ALPHA ASSOCIATED WITH FAVORABLE HDL CHOLESTEROL RESPONSE TO HORMONE REPLACEMENT THERAPY** for which an application was filed on February 22, 2002 and assigned Application Serial No. 10/081,563. We hereby authorize and request Myers Bigel Sibley & Sajovec, P.A., to file this Assignment; and

WHEREAS, **Wake Forest University**, an educational institution organized and existing under and by virtue of the laws of the state of North Carolina and engaged in business at Medical Center Blvd., City of Winston-Salem, State of North Carolina 27157, hereinafter referred to as assignee, is desirous of acquiring the entire right, title and interest in and to said invention as described in said application, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold and by these presents do hereby sell, assign, transfer and convey unto the said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues or other forms of protection thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted along with any term extensions thereon or therefor, together with the right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

We hereby request that said Letters Patent be issued in accordance with this assignment.

We further covenant and agree that, at the time of the execution and delivery of these presents, we possess full title to the invention and application above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant and agree to promptly communicate to said assignee or its representatives any facts known to us relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives; and we hereby instruct, and further covenant and agree to bind our heirs, legal representatives, and assigns, to do same, without further compensation, but at the expense of said assignee or its representatives.

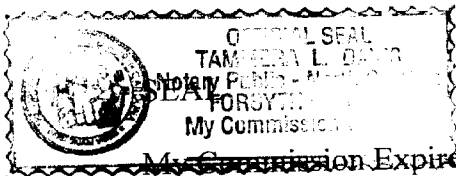
IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 16th day of May, 2002

David M. Herrington (SEAL)

STATE OF NORTH CAROLINA)
) ss:
COUNTY OF Forsyth)

Before me personally appeared the person described in and who executed the foregoing instrument, and he acknowledged to me that he executed the same for the purposes therein stated, this 16th day of May, 2002.

Tammara L. Davis
Notary Public



My Commission Expires: Sept. 15, 2004

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 13th day
of May, 2002

Timothy D. Howard (SEAL)
Timothy D. Howard

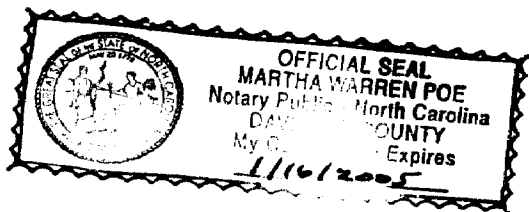
STATE OF NORTH CAROLINA)
COUNTY OF Forsyth) ss:


Before me personally appeared the person described in and who executed the
foregoing instrument, and he acknowledged to me that he executed the same for the purposes
therein stated, this 13th day of May, 2002

Martha Warren Poe
Notary Public

SEAL

My Commission Expires: 1/16/2005





02  (SEAL)
Gregory A. Hawkins

Martha L. Manroe
Notary Public

My Commission Expires: 1/16/2005



002
 (SEAL)
Deborah A. Meyers


 OFFICIAL SEAL
 TAMMY L. DAVIS
 Notary Public - North Carolina
 FORSYTH COUNTY
 My Commission Expires

PATENT
REEL: 013054 FRAME: 0281