

Form PTO-1595  
(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)

RECORDATION FORM COVER SHEET

**PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Ray Tozaki**  
**Thomas Paul Romero**

2. Name and address of receiving party(ies)

Name: **Pioneer Digital Technologies, Inc.**

Internal Address: **6170 Cornerstone Court East**  
**Suite 330**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Street Address:

City: **San Diego** State: **Ca** Zip: **92121**

Execution Date: **July 15, 2002**

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: **July 24, 2002**

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Discovision Associates**

Internal Address: **2355 Main Street, Suite 200**

Street Address:

City: **Irvine** State: **California** Zip: **92614**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41) ----- \$**40.00**

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number:

**04-1175**

(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Richard Stokey**

Name of Person Signing



Signature

**September 5, 2002**

Date

Total number of pages including cover sheet, attachments, and documents: \_\_\_\_\_

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

700016942

**PATENT**  
**REEL: 013055 FRAME: 0620**

Attorney Docket No.: PD 1306.01 US

**PATENT****ASSIGNMENT OF APPLICATION AND PATENT**

WHEREAS, we, Ray Tozaki residing at 9041 Sun Dance Court, San Diego, California 92129, and Thomas Paul Romero residing at 5034 Baxter Street, San Diego, California 92117, have invented a certain new and useful IR TETHER DEVICE, for which we have made application for Letters Patent of the United States as fully set forth and described in the specification filed in the United States Patent and Trademark Office HERewith;

WHEREAS, Pioneer Digital Technologies, Inc., duly organized and existing under and by virtue of the laws of the State of California, and having a place of business at 6170 Cornerstone Court East, Suite 330, San Diego, California 92121, is desirous of acquiring the entire interest in and to said invention and the Letters Patent to be had therefore;

NOW THEREFORE, to all whom it may concern, be it known, that for good and valuable consideration, to us in hand paid, the receipt of which is hereby acknowledged, we, said Ray Tozaki and Thomas Romero, have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over unto said Pioneer Digital Technologies, Inc., the whole right, title, and interest in and to said IR TETHER DEVICE and any and all improvements disclosed in the aforesaid application for patent, as fully set forth and described therein, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent derived from the aforesaid application which have been or shall be filed in the United States and in and to the United States Letters Patent to be issued thereon for the territory of the United States, and all corresponding foreign counterpart applications derived from the aforesaid United States patent application and related applications as prepared for and filed in any and all foreign countries, said whole right, title, and interest in and IR TETHER DEVICE being the same for said Pioneer Digital Technologies, Inc.'s own use and for the use of its assigns, successors, and legal representatives to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by us had this assignment and sale not been made.

Attorney Docket No.: PD 1306.01 US

**PATENT**

And we, for ourselves, our heirs, assigns, and legal representatives hereby covenant to and with said assignee Pioneer Digital Technologies, Inc., its assigns, successors, and legal representatives, that we have full right to sell and assign the whole right, title, and interest in and to said invention and the Letters Patent to be had therefor and that the entire interest herein conveyed is free from all prior assignment, grant, mortgage, license, or other encumbrance whatsoever. And we also, for ourselves, our heirs, assigns, and legal representatives hereby further covenant to and with said assignee Pioneer Digital Technologies, Inc., its assigns, successors, and legal representatives to fully cooperate therewith in the prosecution of the aforesaid application in the United States Patent and Trademark Office and in any and all foreign jurisdictions, said cooperation extending to any U.S. or foreign divisional, continuing, substitute, renewal, reissue or any other application derived from the aforesaid application, and including the execution of additional declarations, assignments, and other formal documents as may be required in connection therewith.

And we do hereby authorize and request the United States Commissioner of Patents to issue Letters Patent to said Pioneer Digital Technologies, Inc., as the assignee of our entire right and title therein.

In Testimony Whereof, we have hereunto set our hand and seal on the day and month of 2002 as indicated below.

\*\*\*\*\*

  
Ray TozakiDate: July 15, 2002

\*\*\*\*\*

  
Thomas Paul RomeroDate: July 17, 2002

03542

**FACSIMILE COMMUNICATIONS**

DISCOVISION ASSOCIATES

2355 Main Street, Suite 200, Irvine, CA 92714

TELEPHONE: (949) 660-5000

FAX (949) 660-1801

TO: USPTO  
Commissioner For Patents  
USPTO  
Washington, D.C. 20231

Date: September 5, 2002

Number of Pages: Cover + 5

ATTN: BOX ASSIGNMENTS

**TELEPHONE NO. 1 703 308-9723****FAX NO. 1 703 306-5995**

FROM: Mr. Richard Stokey

PLEASE CONTACT US IF ANY PAGES  
ARE ILLEGIBLE OR ARE NOT RECEIVED

Our Docket No. : PD 1306.01 US

**FOR IMMEDIATE DELIVERY****ATTN: BOX ASSIGNMENTS****SERIAL NO.: 29/164,391**

Enclosed please find the paperwork for Assignment recordation.

Thank you for your courtesy and cooperation pertaining to this matter.



Richard Stokey  
Patent Prosecution Attorney  
Discovision Associates  
Registration No. 40,383

**CONFIDENTIALITY NOTE**

THE INFORMATION CONTAINED IN THIS TELECOPY MAY BE LEGALLY PRIVILEGED AND IS CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IT IS EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW, INCLUDING COURT ORDERS, IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THIS TELECOPY TO THE INTENDED RECIPIENT. YOU ARE HEREBY NOTIFIED THAT ANY USE, DISSEMINATION, DISTRIBUTION OR COPY OF THIS TELECOPY OR ITS INFORMATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS TELECOPY IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEFAX OR TELEPHONE USING THE ABOVE NUMBERS AND AIRMAIL THIS TELECOPY BACK TO US IMMEDIATELY.

**PATENT****REEL: 013055 FRAME: 0623**



30439

PATENT TRADEMARK OFFICE

September 5, 2002

**VIA FACSIMILE**

BOX: ASSIGNMENT  
Commissioner for Patents  
Washington, D.C. 20231

RE: U.S. Patent Application No. 29/164,391  
Confirmation No. 1711  
Filed: July 24, 2002  
Title: IR TETHER DEVICE  
Inventor: Tozaki, et al.  
Atty. Docket No. PD 1306.01 US

**CERTIFICATE OF FACSIMILE TRANSMISSION**  
I hereby certify that this correspondence is being  
facsimile transmitted to the U.S. Patent and Trademark  
Office (Fax No.

(703) 306-5995 on September 5, 2002

Calleen A. Smothers  
(Type or print name)

Calleen A. Smothers  
(Signature)

Dear Sir:

Enclosed for filing please find the following:

1. Transmittal Form;
2. Fee Transmittal Form;
3. Assignment Recordation Form;
4. Assignment executed by Ray Tozaki and Thomas P. Romero, and
5. Certificate of Facsimile Transmission.

Very truly yours,

DISCOVISION ASSOCIATES

A handwritten signature in dark ink, appearing to read 'R. Stokey'.

Richard Stokey  
Reg. No. 40,383  
Patent Prosecution Attorney

Enclosures

RS:cs

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PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR §1.27**TOTAL AMOUNT OF PAYMENT (\$)** 40.00**Complete if Known**

Application Number	29/164,391
Filing Date	July 24, 2002
First Named Inventor	Ray Tozaki
Examiner Name	Not yet known
Group Art Unit	2900
Attorney Docket No.	PD 1306.01 US

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:
 Deposit Account Number **04-1175**  
 Deposit Account Name **DISCOVISION ASSOCIATES**

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUB TOTAL (1) (\$)****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	<input type="text"/> -20**=	<input type="text"/> x	<input type="text"/> =	<input type="text"/>
Independent Claims	<input type="text"/> -3**=	<input type="text"/> x	<input type="text"/> =	<input type="text"/>
Multiple Dependent	<input type="text"/>	<input type="text"/>	=	<input type="text"/>

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
103	18	203	9	Claim in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2) (\$)**

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40.00
146	740	246	370	Filing a submission after final rejection (37 C.F.R. §1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR §1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) **561 Patent Copies**

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 40.00**SUBMITTED BY**

Complete (if applicable)

Name Printed/Typed **Richard J. Stokey**Registration No. **40,383**  
Attorney / AgentTelephone **(949) 660-5006**Signature Date **9/5/02****WARNING:**

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR PATENT FORMS TO THIS ADDRESS.  
SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**RECORDED: 09/05/2002****REEL: 013055 FRAME: 0625**