

07-11-2002

Form PTO-1595
(Rev. 03/01)

REI

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Creditanstalt Corporate Finance, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☒ Other Release of Security Agreement Lien

Execution Date: _____

2. Name and address of receiving party(ies)

Name: Rheodyne, L.P.

Internal Address: c/o James C. Noonan

Street Address: 600 Park Court

City: Rohnert Park State: CA Zip: 94928

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) _____

08/660,872 (issued as Pat No. 5,803,117)

B. Patent No.(s) 5,207,109 4,506,558

4,792,396 4,242,909 4,182,184

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dawn Marie Taylor, Bus Leg Asst.

Internal Address: c/o Howard, Rice

Street Address: 3 Embarcadero Ctr., 7th Fl.

City: San Francisco State: CA Zip: 94111

6. Total number of applications and patents involved: 6

7. Total fee (37 CFR 3.41).....\$ 240.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

9. Signature.

Thomas A. Magnani, Esq.

Name of Person Signing

Signature

7/9/02

Date

Total number of pages including cover sheet, attachments, and documents: 8

07/11/2002 6TOW11 00000134 08660872

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240.00 OP

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 013056 FRAME: 0393

RECC

05-20-1998

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings ☐ ☐ ☐ ☐

To the Honorable Commissioner of Patent

100714648

ed original documents or copy thereof.

1. Name of conveying party(ies):

Rheodyne, L.P.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

☐ Assignment

☐ Merger

☒ Security Agreement

☐ Change of Name

☐ Other

Execution Date: February 18, 1998

2. Name and address of receiving party(ies)

Creditanstalt Corporate Finance, Inc.

Name:

Internal Address:

Street Address: Two Greenwich Plaza

City: Greenwich

State: CT

06830-8832
ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/660,872

5,803,117

B. Patent No.(s)

4,506,558

5,207,109

4,242,909

4,792,396

4,182,184

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Cydney A. Tune

Name: Pillsbury Madison & Sutro LLP

Internal Address:

Street Address: 1100 New York Avenue, N.W.

City: Washington State: D.C. 20005-3918
ZIP:

6. Total number of applications and patents involved:

6

7. Total fee (37 CFR 3.41).....\$ 240.00

☒ Enclosed

☐ Authorized to be charged to deposit account

B. Deposit account number:

03-3975, Order No. 16075-233-0010/CAT/RLT

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Cydney A. Tune

Name of Person Signing

Signature

5/13/98

Date

Total number of pages including cover sheet, attachments, and document: 7

PATENT
REEL: 9168 FRAME: 6405

PATENT
REEL: 013056 FRAME: 0394

PATENT AND TRADEMARK SECURITY AGREEMENT

THIS PATENT AND TRADEMARK SECURITY AGREEMENT ("this Agreement") entered into as of February 18, 1998 by and among RHEODYNE, L.P., a California limited partnership with a business address of 6815 Redwood Dr., Cotati, California (together with its successors and assigns, the "Grantor"), THE FINANCIAL INSTITUTIONS PARTY HERETO (collectively, the "Banks"), and CREDITANSTALT CORPORATE FINANCE, INC., a Delaware corporation, with a business address of Two Greenwich Plaza, Greenwich, CT 06830-6832, as Agent for the Banks (the "Agent," and, together with the Banks, collectively the "Grantee").

RECITALS

A. Grantor owns the patents listed on Schedule 1 annexed hereto and the trademarks and trademark registrations listed on Schedule 2 annexed hereto.

B. Grantor and Grantee are parties to a Credit Agreement and a Collateral and Security Agreement, both of even date herewith (such agreements, as each may be amended, supplemented or otherwise modified and in effect from time to time in accordance with their terms, being collectively referred to herein as the "Credit Agreement"), providing for extensions of credit to be made to Grantor by the Banks under and as defined in the Credit Agreement. Capitalized terms used herein without definition have the meanings ascribed to them in the Credit Agreement (i.e., in either the Credit Agreement or the Collateral and Security Agreement).

C. Pursuant to the terms of the Credit Agreement, Grantor has granted to Grantee a security interest in substantially all of the assets of Grantor, including all right, title and interest of Grantor in, to and under all now owned and hereafter acquired patents, patent applications, patent licenses, trademarks, trademark registrations, trademark applications and trademark licenses, and all products and proceeds thereof, to secure Grantor's performance and discharge of the Secured Obligations. The Credit Agreement requires, as a condition to the Grantee's obligations to extend credit thereunder, the execution and delivery of this Agreement in order to further secure Grantor's performance and discharge of the Secured Obligations.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto agree as follows:

-1-

PATENT
REEL: 9168 FRAME: 0407

PATENT
REEL: 013056 FRAME: 0395

AGREEMENT

1. Grant of Security Interest. In order to secure the due and punctual payment and performance of each and all of the Secured Obligations, Grantor does hereby grant, transfer, convey and assign to Grantee a continuing security interest in all of Grantor's right, title and interest in, to and under the following (all of the following items or types of property being herein collectively referred to as the "Patent/Trademark Collateral"), whether presently existing or hereafter created or acquired:

a. each patent, including, without limitation, each patent referred to in Schedule 1 annexed hereto (the "Patents"), together with any reissues, continuations or extensions thereof;

b. all products and proceeds of the foregoing, including, without limitation, all license royalties and any claim by Grantor against third parties for past, present or future infringement of any patent, including, without limitation, any patent referred to in Schedule 1 annexed hereto;

c. each trademark and trademark registration, including, without limitation, each trademark and trademark registration referred to in Schedule 2 annexed hereto (the "Trademarks"), together with any renewals thereof, and all of the goodwill of the business connected with the use of, and symbolized by, each trademark and trademark registration;

d. all products and proceeds of the foregoing, including, without limitation, any claim by Grantor against third parties for past, present or future (a) infringement or dilution of any trademark or trademark registration including, without limitation, the trademarks and trademark registrations referred to in Schedule 2 annexed hereto, or (b) injury to the goodwill associated with any trademark or trademark registration; and

e. all Accounts, Inventory, Equipment, Intellectual Property, Sales Proceeds, general intangibles and other Collateral related to the foregoing.

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PATENT

REEL: 9168 FRAME: 0408

2. Representations, Warranties and Agreements.
Grantor represents and warrants that:

a. the Patents and the Trademarks are subsisting and have not been adjudged invalid or unenforceable, in whole or in part;

b. no written claim (and, to the best of Grantor's knowledge, no oral claim) has been made that the use of any of the Patents or Trademarks does or may violate the rights of any third person;

c. Grantor is the sole and exclusive owner of the entire and unencumbered right, title and interest in and to each of the Patents and each of the Trademarks, free and clear of any pledges, assignments, licenses, registered user agreements and covenants by assignor not to sue third persons;

d. Grantor has the unqualified right to enter into this Agreement and perform its terms; and

e. All of Grantor's patents are listed on Schedule 1 annexed hereto, and all of Grantor's registered trademarks are listed on Schedule 2 annexed hereto.

3. Future Rights. If, before the Secured Obligations shall have been satisfied in full, Grantor shall (i) obtain rights to any new patentable inventions, trademarks, trademark registrations or applications, or (ii) become entitled to the benefit of any patent or trademark application, trademark, trademark registration, or patent for any reissue, division, continuation, renewal, extension, or continuation-in-part of any patent or any improvement on any patent, the provisions of this Agreement shall automatically apply thereto and Grantor shall give to the Agent prompt written notice thereof. Grantor hereby authorizes the Agent, with prompt notice thereof to the Grantor, to modify this Agreement by amending Schedule 1 and/or 2, as applicable, to include any future patents, patent applications, trademarks, trademark applications, or trademark registrations, which constitute Patent/Trademark Collateral under this Agreement.

4. No Inconsistent Agreement. So long as any of the Secured Obligations remains outstanding, Grantor shall not enter into any agreement (for example, a license agreement) that is inconsistent with Grantor's obligations under this Agreement; provided, however, that Grantor may grant licenses of the Patents and Trademarks and of any future Patent/Trademark Collateral for limited purposes in the course of Grantor's normal business activities which do

-3-

PATENT

REEL: 9168 FRAME: 0409

not, taken together with the value of the consideration receive therefor, materially impair the value of the Patent/Trademark Collateral.

5. Right to Sue. Unless and until an Event of Default shall have occurred and be continuing, Grantor shall have the right to bring any opposition proceedings, cancellation proceedings or lawsuit in its own name to enforce or protect any Patent/Trademark Collateral.

6. Appointment. If an Event of Default shall have occurred and be continuing, Grantor hereby authorized and empower the Agent to make, constitute and appoint any officer or agent of the Agent as the Agent may select, in its discretion, as Grantor's true and lawful attorney-in-fact, with the power to endorse Grantor's name on all applications, documents, papers and instruments necessary for the Agent to use the Patent/Trademark Collateral, or necessary for the Agent to assign, pledge, convey or otherwise transfer title in or dispose of all or part of the Patent/Trademark Collateral to anyone else. Such power of attorney shall be coupled with an interest and irrevocable for the life of this Agreement.

7. Cumulative Rights and Remedies. The security interest under this Agreement is granted in conjunction with the security interests granted to Grantee pursuant to the Credit Agreement and is subject to the provisions thereof. Grantor hereby acknowledges and affirms that the rights and remedies of Grantee with respect to the security interest in the Patent/Trademark Collateral are and shall be, to the full extent permitted by law, as set forth in the Credit Agreement and the other Loan Documents, the terms and provisions of which are incorporated by reference herein as if fully set forth herein. All of the Agent's and Grantee's rights and remedies with respect to the Patent/Trademark Collateral, whether established hereunder or by the Credit Agreement or by any other Loan Documents or by law shall be cumulative and may be exercised singularly or concurrently.

8. Incorporation of Express Miscellaneous Provisions From Collateral and Security Agreement. In addition to the foregoing general incorporation by reference of all applicable provisions of the Credit Agreement and the other Loan Documents, Grantor hereby expressly incorporates each and all of the "Miscellaneous" provisions of Article 8 of the Collateral and Security Agreement, but made applicable to this Agreement by substituting the word "Grantor" for "Debtor", "Grantee" for "Secured Parties", and "this Agreement" for "this Collateral Agreement." For all other purposes of Paragraphs 7 and 8 hereof, all references in the Credit Agreement to "Debtor", "Secured Parties" and any title

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PATENT

REEL: 9168 FRAME: 0410

PAGE 15

TK ASSOCIATES

07/02/2002 15:32 7034150341
CREDITSTAL

CREDITANSTALT CORPORATE
FINANCE, INC.,
as a Bank

By:

And Butz

Its:

Senior Vice President

CREDITANSTALT CORPORATE
FINANCE, INC. as Agent

By:

[Signature]
PATENT

REEL: 013056 FRAME: 0398

Schedule 1 to Patent and Trademark Security Agreement

PATENTS

<u>U.S. Patent No.</u>	<u>Date Issued</u>
5,207,109	May 4, 1993
4,792,396	Dec. 20, 1988
4,506,558	Mar. 26, 1985
4,242,909	Jan. 6, 1981
4,182,184	Jan. 8, 1980

<u>Pending Application No.</u>	<u>Date Filed</u>
Serial No. 08/660,872	June 10, 1996

-6-

PATENT
REEL: 9168 FRAME: 0406

PATENT
REEL: 013056 FRAME: 0399

TK ASSOCIATES

Schedule 1 to Patent and Trademark Security Agreement

PATENTS

<u>U.S. Patent No.</u>	<u>Date Issued</u>
5,207,109	May 4, 1993
4,792,396	Dec. 20, 1988
4,506,558	Mar. 26, 1985
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<u>Pending Application No.</u>	<u>Date Filed</u>
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-6-

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REEL: 9168 FRAME: 0406

PATENT AND TRADEMARK SECURITY AGREEMENT

THIS PATENT AND TRADEMARK SECURITY AGREEMENT ("this Agreement") entered into as of February 18, 1998 by and among RHEODYNE, L.P., a California limited partnership with a business address of 6815 Redwood Dr., Cotati, California (together with its successors and assigns, the "Grantor"), THE FINANCIAL INSTITUTIONS PARTY HERETO (collectively, the "Banks"), and CREDITANSTALT CORPORATE FINANCE, INC., a Delaware corporation, with a business address of Two Greenwich Plaza, Greenwich, CT 06830-6832, as Agent for the Banks (the "Agent," and, together with the Banks, collectively the "Grantees").

RECITALS

A. Grantor owns the patents listed on Schedule 1 annexed hereto and the trademarks and trademark registrations listed on Schedule 2 annexed hereto.

B. Grantor and Grantee are parties to a Credit Agreement and a Collateral and Security Agreement, both of even date herewith (such agreements, as each may be amended, supplemented or otherwise modified and in effect from time to time in accordance with their terms, being collectively referred to herein as the "Credit Agreement"), providing for extensions of credit to be made to Grantor by the Banks under and as defined in the Credit Agreement. Capitalized terms used herein without definition have the meanings ascribed to them in the Credit Agreement (i.e., in either the Credit Agreement or the Collateral and Security Agreement).

C. Pursuant to the terms of the Credit Agreement, Grantor has granted to Grantee a security interest in substantially all of the assets of Grantor, including all right, title and interest of Grantor in, to and under all now owned and hereafter acquired patents, patent applications, patent licenses, trademarks, trademark registrations, trademark applications and trademark licenses, and all products and proceeds thereof, to secure Grantor's performance and discharge of the Secured Obligations. The Credit Agreement requires, as a condition to the Grantee's obligations to extend credit thereunder, the execution and delivery of this Agreement in order to further secure Grantor's performance and discharge of the Secured Obligations.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto agree as follows:

- 1 -

PATENT
REEL: 9168 FRAME: 0407

PATENT
REEL: 013056 FRAME: 0401

AGREEMENT

1. Grant of Security Interest. In order to secure the due and punctual payment and performance of each and all of the Secured Obligations, Grantor does hereby grant, transfer, convey and assign to Grantee a continuing security interest in all of Grantor's right, title and interest in, to and under the following (all of the following items or types of property being herein collectively referred to as the "Patent/Trademark Collateral"), whether presently existing or hereafter created or acquired:

a. each patent, including, without limitation, each patent referred to in Schedule 1 annexed hereto (the "Patents"), together with any reissues, continuations or extensions thereof;

b. all products and proceeds of the foregoing, including, without limitation, all license royalties and any claim by Grantor against third parties for past, present or future infringement of any patent, including, without limitation, any patent referred to in Schedule 1 annexed hereto;

c. each trademark and trademark registration, including, without limitation, each trademark and trademark registration referred to in Schedule 2 annexed hereto (the "Trademarks"), together with any renewals thereof, and all of the goodwill of the business connected with the use of, and symbolized by, each trademark and trademark registration;

d. all products and proceeds of the foregoing, including, without limitation, any claim by Grantor against third parties for past, present or future (a) infringement or dilution of any trademark or trademark registration including, without limitation, the trademarks and trademark registrations referred to in Schedule 2 annexed hereto, or (b) injury to the goodwill associated with any trademark or trademark registration; and

e. all Accounts, Inventory, Equipment, Intellectual Property, Sales Proceeds, general intangibles and other Collateral related to the foregoing.

2. Representations, Warranties and Agreements.
Grantor represents and warrants that:

a. the Patents and the Trademarks are subsisting and have not been adjudged invalid or unenforceable, in whole or in part;

b. no written claim (and, to the best of Grantor's knowledge, no oral claim) has been made that the use of any of the Patents or Trademarks does or may violate the rights of any third person;

c. Grantor is the sole and exclusive owner of the entire and unencumbered right, title and interest in and to each of the Patents and each of the Trademarks, free and clear of any pledges, assignments, licenses, registered user agreements and covenants by assignor not to sue third persons;

d. Grantor has the unqualified right to enter into this Agreement and perform its terms; and

e. All of Grantor's patents are listed on Schedule 1 annexed hereto, and all of Grantor's registered trademarks are listed on Schedule 2 annexed hereto.

3. Future Rights. If, before the Secured Obligations shall have been satisfied in full, Grantor shall (i) obtain rights to any new patentable inventions, trademarks, trademark registrations or applications, or (ii) become entitled to the benefit of any patent or trademark application, trademark, trademark registration, or patent for any reissue, division, continuation, renewal, extension, or continuation-in-part of any patent or any improvement on any patent, the provisions of this Agreement shall automatically apply thereto and Grantor shall give to the Agent prompt written notice thereof. Grantor hereby authorizes the Agent, with prompt notice thereof to the Grantor, to modify this Agreement by amending Schedule 1 and/or 2, as applicable, to include any future patents, patent applications, trademarks, trademark applications, or trademark registrations, which constitute Patent/Trademark Collateral under this Agreement.

4. No Inconsistent Agreement. So long as any of the Secured Obligations remains outstanding, Grantor shall not enter into any agreement (for example, a license agreement) that is inconsistent with Grantor's obligations under this Agreement; provided, however, that Grantor may grant licenses of the Patents and Trademarks and of any future Patent/Trademark Collateral for limited purposes in the course of Grantor's normal business activities which do

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PATENT
REEL: 9168 FRAME: 0409

not, taken together with the value of the consideration receive therefor, materially impair the value of the Patent/Trademark Collateral.

5. Right to Sue. Unless and until an Event of Default shall have occurred and be continuing, Grantor shall have the right to bring any opposition proceedings, cancellation proceedings or lawsuit in its own name to enforce or protect any Patent/Trademark Collateral.

6. Appointment. If an Event of Default shall have occurred and be continuing, Grantor hereby authorized and empower the Agent to make, constitute and appoint any officer or agent of the Agent as the Agent may select, in its discretion, as Grantor's true and lawful attorney-in-fact, with the power to endorse Grantor's name on all applications, documents, papers and instruments necessary for the Agent to use the Patent/Trademark Collateral, or necessary for the Agent to assign, pledge, convey or otherwise transfer title in or dispose of all or part of the Patent/Trademark Collateral to anyone else. Such power of attorney shall be coupled with an interest and irrevocable for the life of this Agreement.

7. Cumulative Rights and Remedies. The security interest under this Agreement is granted in conjunction with the security interests granted to Grantee pursuant to the Credit Agreement and is subject to the provisions thereof. Grantor hereby acknowledges and affirms that the rights and remedies of Grantee with respect to the security interest in the Patent/Trademark Collateral are and shall be, to the full extent permitted by law, as set forth in the Credit Agreement and the other Loan Documents, the terms and provisions of which are incorporated by reference herein as if fully set forth herein. All of the Agent's and Grantee's rights and remedies with respect to the Patent/Trademark Collateral, whether established hereunder or by the Credit Agreement or by any other Loan Documents or by law shall be cumulative and may be exercised singularly or concurrently.

8. Incorporation of Express Miscellaneous Provisions From Collateral and Security Agreement. In addition to the foregoing general incorporation by reference of all applicable provisions of the Credit Agreement and the other Loan Documents, Grantor hereby expressly incorporates each and all of the "Miscellaneous" provisions of Article 8 of the Collateral and Security Agreement, but made applicable to this Agreement by substituting the word "Grantor" for "Debtor", "Grantee" for "Secured Parties", and "this Agreement" for "this Collateral Agreement." For all other purposes of Paragraphs 7 and 8 hereof, all references in the Credit Agreement to "Debtor", "Secured Parties" and any title

of any Loan Document shall be deemed references, respectively, to "Grantor", "Grantee" and "this Agreement" where the context requires.

This Agreement has been executed and delivered in, and shall be governed by and constructed in accordance with the laws of, the State of California.

IN WITNESS WHEREOF, Grantor has caused this Patent and Trademark Security Agreement to be duly executed by its duly authorized signatory as of the 18th day of February, 1998.

RHEODYNE, L.P.

By Pivot Point Investors I,
L.P., Its General Partner

By Pivot Point Capital
Investors I, Inc.,
Its General Partner

By: James C. Noonan
James C. Noonan
President

CREDITANSTALT CORPORATE
FINANCE, INC.,
as a Bank

By: Jack Butyr
Its: Senior Vice President

CREDITANSTALT CORPORATE
FINANCE, INC. as Agent

By: Michael H. Hirsch
Its: VICE PRESIDENT

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PATENT

REEL: 9168 FRAME: 0411

RECORDED: 05/13/1998

RECORDED: 07/11/2002

PATENT
REEL: 013056 FRAME: 0405