

07-11-2002



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Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002) Tab settings

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Thomas W. Frenz, Trustee in Bankruptcy for the Estate of Nicole Welsh, Case No. 98-30798, in the United States Bankruptcy Court, Western District of Kentucky Additional name(s) of conveying party(ies) attached? [] Yes [x] No

2. Name and address of receiving party(ies) Name: Thomas L. Johnson, D.V.M. Internal Address: 9601 W. Hwy. 42 Street Address: City: Louisville State: KY Zip: 40026 Additional name(s) & address(es) attached? [] Yes [x] No

3. Nature of conveyance: [x] Assignment [] Merger [] Security Agreement [] Change of Name [] Other Execution Date: 07/02/2002

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) 6,032,299 Additional numbers attached? [] Yes [x] No

5. Name and address of party to whom correspondence concerning document should be mailed: Name: John F. Salazar Internal Address: Middleton Reutlinger Street Address: 2500 Brown & Williamson Tower City: Louisville State: KY Zip: 40202

6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 3.41).....\$ 40.00 [x] Enclosed [] Authorized to be charged to deposit account 8. Deposit account number:

DO NOT USE THIS SPACE

9. Signature. 07/10/2002 DBYRME 00000274 6032299 James R. Higgins, Jr., Reg. No. 29,264 Name of Person Signing

Signature

07-03-02 Date

Total number of pages including cover sheet, attachments, and documents: 8

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

OFFICE OF PATENT RECORDS 2002 JUN -9 AM 11:52 FINANCE SECTION

PATENT REEL: 013056 FRAME: 0498

ASSIGNMENT OF INVENTION
AND OF LETTERS PATENT

WHEREAS, the (“**Inventor**”), Nicole Welsh, of Shelbyville, Kentucky, has invented certain improvements in a **JACKET FOR REDUCING SPINAL AND COMPRESSION INJURIES ASSOCIATED WITH A FALL FROM A MOVING VEHICLE**, the (“**Invention**”), and has filed a utility application 08/550,286, on October 30, 1995 in the United States Patent and Trademark Office (“PTO”); and,

WHEREAS, the PTO issued U.S. Patent No. 6,032,299, on March 7, 2000, (“the ‘299 **Patent**”) to Nicole Welsh; and,

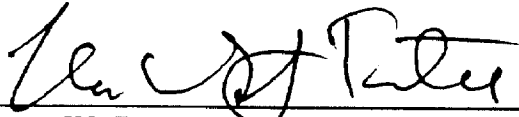
WHEREAS on February 17, 1998 Nicole Welsh initiated voluntary Chapter 7 proceedings, Case No.98-30798, in the United States Bankruptcy Court, Western District of Kentucky; and WHEREAS, pursuant to 11 U.S.C. § 541, the ‘299 **Patent** became property of Nicole Welsh’s bankruptcy Estate; and,

WHEREAS pursuant to 11 U.S.C. § 541 Nicole Welsh’s Trustee in Bankruptcy, **Thomas W. Frentz**, of Louisville, Kentucky has the power and authority to convey and transfer title to the property of Nicole Welsh’s bankruptcy Estate , including the ‘299 **Patent**, by operation of law pursuant to the U.S. Bankruptcy Code; and,

WHEREAS, the (“**Assignee**”), **Thomas L. Johnson, D.V.M.**, whose post office address is 9601 W. Hwy 42, Louisville, Kentucky 40026, (including his assigns), desires to acquire my entire right, title and interest in said ‘299 **Patent and Invention** for a sum of \$500.00 (five hundred dollars), evidenced by the Bill of Sale duly executed and attached, including

Exhibit A (Notice of Appointment of Interim Trustee and Fixing Bond) and Exhibit B (Order Confirming Sale).

NOW THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, **Thomas W. Frentz**, the Trustee in Bankruptcy of Nicole Welsh's aforesaid bankruptcy Estate, does hereby sell, assign and transfer unto said **Assignee**, the entire right, title and interest in said **Patent** and the **Invention** disclosed therein.



Thomas W. Frentz, Trustee in Bankruptcy for the Estate of Nicole Welsh, Case No. 98-30798

Executed this 2nd day of July, 2002 at Louisville Kentucky.

STATE OF KENTUCKY

)

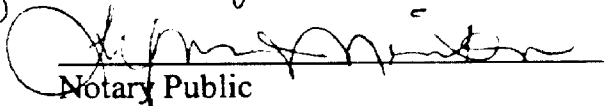
) SS:

COUNTY OF JEFFERSON

)

Before me personally appeared Thomas W. Frentz, and acknowledged the foregoing instrument to be his free act and deed this 2nd day of July, 2002.

My Commission expires: January 22, 2003



Notary Public

(Seal)

BILL OF SALE

THIS BILL OF SALE, executed this 2nd day of July 2002, by Thomas W. Frentz, Trustee in Bankruptcy for Nicole Marie Welsh, Case No. 98-30798, pending in the United States Bankruptcy Court, Western District of Kentucky.

WHEREAS, the said debtor was adjudicated bankrupt in the above court and said Thomas W. Frentz has served as Trustee since February 18, 1998 and continues to so serve (A copy of the Notice of Appointment of Interim Trustee is attached hereto as Exhibit "A"); and

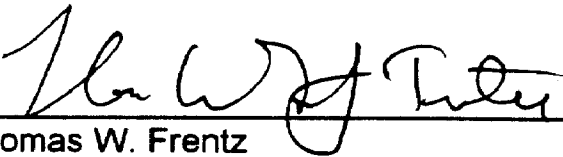
WHEREAS, Thomas W. Frentz was authorized by an order confirming sale, entered June 3, 2002, to sell debtor's United States Patent Number 6,032,299 dated March 7, 2000, "Jacket for Reducing Spinal and Compression Injuries Associated with a Fall from a Moving Vehicle" to Thomas L. Johnson, D.V.M., for Five-Hundred Dollars (\$500.00) (A copy of the Order Confirming Sale is attached hereto as Exhibit "B"); and

WHEREAS, the Trustee has received payment in the amount of \$500.00, and for such value received and acknowledged,

Thomas W. Frentz, Trustee in Bankruptcy for Nicole Marie Welsh by virtue of the power vested in him as aforesaid, does hereby sell, transfer and assign to Thomas L. Johnson, D.V.M., the debtor's United States Patent Number 6,032,299 dated March 7, 2000, "Jacket for Reducing Spinal and Compression Injuries Associated with a Fall from

a Moving Vehicle"; to have and to hold free and clear of all liens or encumbrances as
said Trustee can convey pursuant to the U.S. Bankruptcy Code.

WITNESS my hand this 2nd day of July, 2002.



Thomas W. Frenz
Trustee for Nicole Marie Welsh

UNITED STATES BANKRUPTCY COURT
FOR THE
WESTERN DISTRICT OF KENTUCKY

IN RE:

WELSH, NICOLE MARIE

Debtor(s)

CASE NO. 98-30798

**NOTICE OF APPOINTMENT OF INTERIM
TRUSTEE AND FIXING BOND**

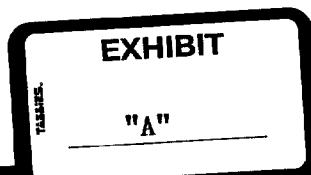
Pursuant to 11 U.S.C. Section 701., THOMAS FRENTZ is appointed Interim Trustee in the above-referenced matter and is hereby designated to preside at the meeting of creditors. Pursuant to Bankruptcy Rule 2008, the Trustee will be deemed to have accepted this appointment unless it is rejected within five (5) days of receipt of this notice. The Trustee's required bond is included under the approved existing general blanket bond. The United States Trustee shall be notified immediately in the event the Trustee's funds on deposit in a particular case exceed \$2,500,000.

Unless creditors at the first meeting of creditors held pursuant to 11 U.S.C. Section 341(a) elect another Trustee, the Interim Trustee appointed herein shall serve as Trustee without further appointment or qualification under the same bond.

Dated: February 18, 1998

Ellen B. Vergos
United States Trustee

BY: Joseph J. Golden
Assistant U.S. Trustee
601 W. Broadway, Suite 512
Louisville, KY 40202
(502) 582-6000



REP

RECEIVED JUN - 4 2002

UNITED STATES BANKRUPTCY COURT
WESTERN DISTRICT OF KENTUCKY

IN RE:)	
)	
NICOLE WELSH)	Case No. 98-30798
)	
Debtor)	Chapter 7
_____)	

ORDER CONFIRMING SALE

UPON MOTION of the Trustee, Thomas W. Frentz, and the Court being otherwise sufficiently advised;

IT IS HEREBY ORDERED that the Trustee is authorized to sell to Thomas L. Johnson, D.V. M., the personal property described as "United States Patent Number 6,032,299 dated March 7, 2000, Jacket for Reducing Spinal and Compression Injuries Associated with a Fall from a Moving Vehicle" for the sum of \$500.00.

IT IS FURTHER ORDERED that the sale is fair and reasonable and is in the best interest of the estate;

IT IS FURTHER ORDERED that the sale of the patent shall be free and clear of any and all liens, encumbrances, claims or interests with said liens, encumbrances, claims or interests to attach to the proceeds of the sale in the order of their priority and to be paid by the Trustee from the sale proceeds.

TABLER	EXHIBIT
	"B"

(60)

PATENT

IT IS ORDERED that the Trustee is authorized to execute any and all documents necessary to effectuate the sale.

This is a final order and there is no just cause for delay in its entry.

ENTERED
DIANE S. ROBL, Clerk
BY _____
SLD

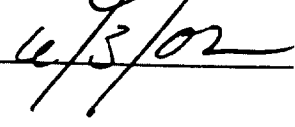
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U.S. Bankruptcy Court
Western District of Kentucky

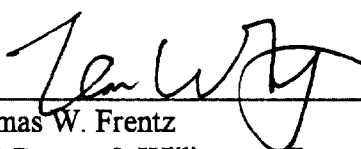


Judge, United States Bankruptcy Court

Date: _____


6/3/02

TENDERED BY:



Thomas W. Frenz
2500 Brown & Williamson Tower
Louisville, Kentucky 40202
(502) 584-1135
Trustee for Nicole Welsh

PATENT

REF: 013056 FRAME: 0505