

07-11-2002

ATTORNEY DOCKET NO.: 004-7437

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

EET



102150827

and original documents or copy thereof.

To the Honorable Commissioner of Patents

1. Name of conveying party(ies):

William Trey Drake
Kent Arthur Spaulding
David Gregory Gadbois

06/28/02

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Sun Microsystems, Inc.

Internal Address:

Street Address: 4150 Network Circle

City: Santa Clara

State: CA

ZIP: 95054

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒
- Assignment
- ☐
- Merger
-
- ☐
- Security Agreement
- ☐
- Change of Name
-
- ☐
- Other

Execution Date: June 28, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 28, 2002

A. Patent Application No.:

B. Patent No.:

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael P. Noonan
Internal Address: Zagorin, O'Brien & Graham, L.L.P.
Street Address: 401 West 15th Street, Suite 870
City: AUSTIN State: TX ZIP: 78701

07/08/2002 GGBREGI 00000028 10184216

3 FC:581

40.00 0P

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41): \$ 40.00

- ☒
- A check is enclosed for the Total Fee shown above
-
- ☐
- Please charge the Total Fee shown above to Deposit Account 50-0631
-
- ☒
- Authorized to charge additional fees to Deposit Account 50-0631

DO NOT USE THIS SPACE

8. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Michael P. Noonan, Reg. No. 42,038
Name of Person Signing
SignatureJune 28, 2002
Date

Total number of pages including cover sheet: 3

Express Mail Label No.: EV106241886US

PATENT
REEL: 013061 FRAME: 0078

ASSIGNMENT OF INVENTION

For good and valuable consideration, the receipt of which is hereby acknowledged,
I, an ASSIGNOR named below,

William Trey Drake of Austin, TX
Kent Arthur Spaulding of Austin, TX
David Gregory Gadbois of Austin, TX

hereby sell, assign and transfer to

ASSIGNEE: Sun Microsystems, Inc., a Delaware corporation, having a principal
place of business at 4150 Network Circle, Santa Clara, CA 95054,

its successors, assigns and legal representatives, my entire right, title and interest throughout
the world, including all rights to claim priority, in and to the invention(s) disclosed in:

- ☒ U.S. Patent Application executed on or about the date of this Assignment,
☐ U.S. Patent Application executed on _____, or
☐ U.S. Provisional Application
☐ U.S. Application No.: _____, filed on _____
☐ International Application No.: PCT/_____, filed on _____

ENTITLED: **RUNTIME VERSIONING OF INFORMATION
PROCESSING SYSTEMS**, and naming as

INVENTOR(S): William Trey Drake, Kent Arthur Spaulding and
David Gregory Gadbois

including without limitation, my entire right, title and interest in and to any and all United
States and foreign applications (including international, regional and foreign national
applications) for said invention(s), including divisions, continuations, continuations-in-part,
renewals, substitutes and extensions thereof, and in and to any and all patents of every
country or region that may be granted or have been granted for said invention(s), including
any reissues and reexaminations thereof.

To comply with 37 C.F.R. § 3.21 for recording this Assignment, I authorize and request that
the application number and filing date be inserted here, if not already shown above,
(_____/_____, filed _____) when they become known.

I authorize ASSIGNEE to apply for patents of foreign countries for said invention(s), and to
claim all rights of priority without further authorization from me.

I agree to execute any and all papers useful in connection with any and all United States and
foreign applications (including international, regional, and foreign national applications), and
generally to do everything possible to aid ASSIGNEE, its successors, assigns and nominees,
at their request and reasonable expense, in obtaining and enforcing patents for said
invention(s) in all countries.

I hereby covenant that no assignment, sale, license, agreement, or encumbrance has been or
will be made or entered into that would conflict with this Assignment.

ASSIGNMENT OF INVENTION

I authorize and request the Commissioner of Patents and Trademarks to issue any U.S. Letters Patent that may be granted for said invention(s) to ASSIGNEE, its successors or assigns.

Executed on 28 June, 2002 by William Trey Drake,
William Trey Drake

Executed on 28 June, 2002 by Kent Arthur Spaulding,
Kent Arthur Spaulding

Executed on 28 June, 2002 by David Gregory Gadbois,
David Gregory Gadbois