

07-11-2002



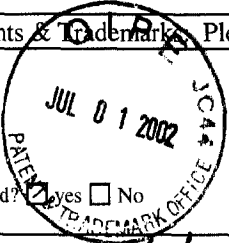
Form PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)

/ER

U.S. Department of Commerce  
Patent and Trademark Office

102150914

To the Honorable Commissioner of Patents & Trademarks, Please record the attached original documents or copy thereof:



1. Name of conveying party(ies):  
Helmut W. Kucera  
Kirk J. Abbey  
Ernest B. Troughton, Jr.  
Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies):  
Name: LORD CORPORATION  
Internal Address: P.O. Box 8012  
Street Address: 111 Lord Drive  
City: Cary State: NC ZIP: 27512-8012

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_  
Execution Date: May 15, 2002

Additional name(s) & address(es) attached?  yes  No

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_  
A. Patent Application No.(s)  
10/138,957  
B. Patent No.(s)  
Additional numbers attached?  yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Miles B. Dearth  
Internal Address: LORD CORPORATION  
Street Address: 111 Lord Drive  
City: Cary State: NC ZIP: 27512-8012

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account

8. Deposit Account Number:  
12-2143  
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
Miles B. Dearth      Miles B. Dearth      6/26/02  
Name of Person Signing      Signature      Date

Total number of pages including cover sheet, attachments, and document:         

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, DC 20231

07/10/2002 6TDM11 00000044 122143 10138957  
01 FC:581 40.00 CH

PATENT  
REEL: 013061 FRAME: 0978

## ASSIGNMENT

WHEREAS, Helmut W. Kucera, Kirk J. Abbey and Ernest B. Troughton, Jr., hereinafter referred to as "ASSIGNOR", have invented and are the owners of an invention entitled "Aqueous Metal Treatment Composition", said invention being fully set forth and described in the application for Letters Patent of the United States which has been prepared in connection with said invention, and which has been signed by ASSIGNOR concurrently herewith;

WHEREAS, LORD CORPORATION, a corporation organized and existing under and by virtue of the laws of the Commonwealth of Pennsylvania, and engaged in business at 111 Lord Drive, Cary, North Carolina 27512-8012, hereinafter referred to as ASSIGNEE, is desirous of acquiring the said invention and any and all applications for Letters Patent and any and all Letters Patent of the United States and foreign countries which may be issued therefor;

NOW THEREFORE, be it known that for valuable consideration, we have sold and by these presents do hereby sell, assign, transfer and convey unto the said assignee, its successors and assigns, the entire right, title and interest in and to said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to all Letters Patent of the United States and all foreign countries or reissues and reexaminations thereof which may be granted thereof or thereon, for the full end of the term for which said Letters Patent may be granted, together with the right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

We hereby covenant and agree that, at the same time of execution and delivery of these presents, we possess full title to the invention and application above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant and agree and bind our heirs, legal representatives and assigns, promptly to communicate to said assignee and its representatives any facts known to us relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns and other legal representatives.

We hereby authorize and request the following attorneys to insert here in parenthesis (Application Number \_\_\_/\_\_\_\_\_, filed \_\_\_\_\_) the filing date and application number of said application when known.

Miles B. Dearth  
Registration No. 35,115

Michael M. Gribus  
Registration No. 38,162

James W. Wright  
Registration No. 24,966

Address: Lord Corporation  
111 Lord Drive  
Cary, North Carolina 27512-8012

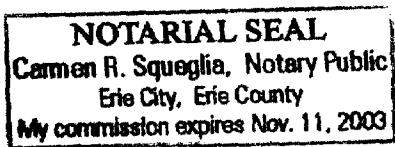
IN WITNESS WHEREOF, I have hereunto set my hand and seal.

*Helmut W. Kucera*  
\_\_\_\_\_  
Helmut W. Kucera  
*5/15/02*  
\_\_\_\_\_  
Date

**Notarial Certificate**


Commonwealth of Pennsylvania :  
County of Erie : ss.

On this 15 day of May, 2002, before me, a Notary Public in and for the state and county aforesaid, appeared **Helmut W. Kucera**, known to me to be the person described in the foregoing instrument, who signed the instrument in my presence, and desired the same to be acknowledged as his free act and deed.



*Carmen R. Squeglia*  
\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Kirk J. Abbey  
\_\_\_\_\_  
Date

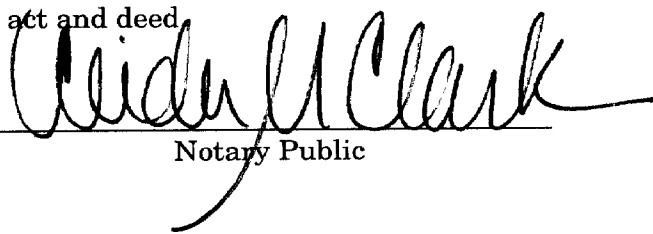
  
Kirk J. Abbey

May 10, 2002  
Date

**Notarial Certificate**

State of North Carolina :  
County of Wake : ss.

On this 10 day of May, 2002, before me, a Notary Public in and for the state and county aforesaid, appeared **Kirk J. Abbey**, known to me to be the person described in the foregoing instrument, who signed the instrument in my presence, and desired the same to be acknowledged as his free act and deed.

  
Notary Public

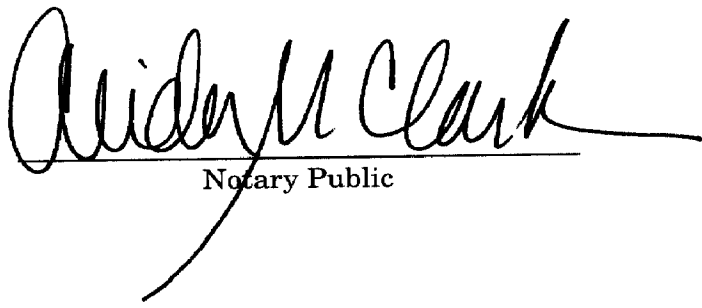
Ernest B. Troughton, Jr.  
Ernest B. Troughton, Jr.

May 10, 2002  
Date

**Notarial Certificate**

State of North Carolina :  
County of Wake : ss.

On this 10 day of May, 2002, before me, a Notary Public in and for the state and county aforesaid, appeared **Ernest B. Troughton, Jr.**, known to me to be the person described in the foregoing instrument, who signed the instrument in my presence, and desired the same to be acknowledged as his free act and deed.

  
Notary Public