07-12-2002



102153678

U.S. Department of Commerce Patent and Trademark Office PATENT

07-05-02

FORM PTO-1619A

Expires 06/30/99

OMB 0651-0027

RECORDATION FORM COVER SHEET
PATENTS ONLY

TRADEMAN	PATENTS ONLY		
TO: The Commissioner of Patents and Trademar	ks: Please record the attached original document(s) or copy(ies).		
Submission Type	Conveyance Type		
✓ New	Assignment Security Agreement		
Resubmission (Non-Recordation) Document ID#	License Change of Name		
Correction of PTO Error Reel # Frame #	Merger Other Confirmatory License		
Corrective Document	U.S. Government (For Use ONLY by U.S. Government Agencies)		
Reel # Frame #	✓ Departmental File Secret File		
Conveying Party(ies)	Mark if additional names of conveying parties attached Execution Date Month Day Year		
Name (line 1) California, University of	12/5/01		
Name (line 2)	Execution Date		
Second Party Name (line 1)	Month Day Year		
Name (line 2)			
Receiving Party	Mark if additional names of receiving parties attached		
Name (line 1) U.S. Department of Energy	If document to be recorded is an assignment and the		
Name (line 2)	receiving party is not domicilled in the United States, an appointment of a domestic		
Address (line 1) P.O.Box 808 (L-376)	representative is attached. (Designation must be a separate document from		
Address (line 2)	Assignment.)		
Address (line 3) Livermore	CA 94550		
Domestic Representative Name and Address Enter for the first Receiving Party only.			
Name			
Address (line 1)			
Address (line 2)			
Address (line 3)			
Address (line 4)			
FOR OFFICE USE ONLY			

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington,

Washington,
D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB

Mail documents to be recorded with required cover sheet(s) information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB

Mail documents to be recorded with required cover sheet(s) information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB

FORM PTO-1619B Expires 06/30/99 OMB 0651-0027	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT
Correspondent Name and Address	Area Code and Telephone Number	(925) 422-4367
Name William C. Daubenspeck		
Address (line 1) U.S. Department of Energy		
Address (line 2) Lawrence Livermore National Lab	ooratory	
Address (line 3) P.O. Box 808 (MS L-376)		
Address (line 4) Livermore, CA 94550		
Pages Enter the total number of pages including any attachments.	ages of the attached conveyance docume	mt # 1
Patent Application Number(s) S.N.:09/970,251 If this document is being filed together with a new Pawas Patent Cooperation Treaty (PCT) Enter PCT application number only if a U.S. Application Number has not been assigned. Number of Properties	Patent Number (DO NOT ENTER BOTH numbers for Patent P	Number(s)
	Deposit Account Number: #	0.00
Statement and Signature	Authorization to charge additional fees:	res No 🗸
	pelief, the foregoing information is true and original document. Charges to deposit a compared to the second secon	

PATENT REEL: 013063 FRAME: 0497

CONFIRMATORY LICENSE

Title: Method to Produce Alumina Aerogels Having

Porosities Greater than 80%

Inventor(s): John F. Poco et al. Contract Number: W-7405-ENG-48 Contractor: Regents of the University of

California

RECORDED: 07/05/2002

DOE Docket No.: S-89,599 Docket No.: IL-10287

U.S. Patent Application S.N.: 09/970,251

Filing Date: September 27, 2001 Waiver No.: W(C) 92-002-787

Foreign patent applications filed or intended to be filed at contractor's expense in (countries):

The Contractor certifies that a true copy of the provisions which govern patent rights in "subject inventions" under the above-identified contract is herewith submitted or has been submitted to the U.S. Department of Energy by certification dated June 15, 1999.

WHEREAS, the above-identified invention is a subject invention under the above-identified contract;

WHEREAS, the above-identified Class Waiver of Government rights in certain identified inventions and the above-identified contract provides the Contractor with the right to elect to retain title in certain subject inventions and the Contractor has elected to retain title in the above-identified subject invention, EXCEPT FOR a paid-up license under the above-identified contract and the above-identified waiver.

ACCORDINGLY, the Contractor hereby confirms that under the provisions of the above-identified contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the above-identified invention, the above-identified patent application(s), and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

The Government reserves for itself, and is hereby granted by the Contractor, the irrevocable power to inspect and make copies of the file wrapper(s) of the above-identified U.S. patent application and of any related or continuation patent application(s), whether domestic or foreign, for the above-identified invention.

It is understood and agreed that this instrument does not preclude the Government from asserting rights under the provisions of the above-identified contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

Signed on	behalf of: THE REGENTS OF T	HE UNIVERSITY OF CALIFORNIA
Witness: (116 16 0011	(Contractor) Contractor Official's Signature) (Date)
	Wendy R. Pebler, Paralegal (Name and Title)	Alan H. Thompson, Deputy Laboratory (Print/Type Contractor Official's Name and Title) Counsel for Intellectual Property
		Lawrence Livermore National Laboratory (Print/Type Contractor's Address) P. O. Box 808, L-701
		Livermore, CA 94551-0808

PATENT REEL: 013063 FRAME: 0498