07-12-2002



**U.S. Department of Commerce Patent and Trademark Office PATENT** 



## RECORDATION FORM COVER SHEET **PATENTS ONLY**

TO: The Commissioner of Patents and Trademar	ks: Please record the at		ent(s) or copy(ies).		
Submission Type	Conveyance Ty	pe			
New	Assignment	Security Agree	ment		
Resubmission (Non-Recordation) Document ID#	License	Change of Nan	ne		
Correction of PTO Error Reel # Frame #	Merger	<b>J</b> Other Confir	matory License		
Corrective Document	U.S. Government (For Use ONLY by U.S. Government Agencies)				
Reel # Frame #		epartmental File	Secret File		
Conveying Party(ies)	Mark if addition	al names of conveying pa	rties attached Execution Date  Month Day Year		
Name (line 1) California, University of		War I - Wa - 2 - 20	4/18/02		
Name (line 2)			Evenution Date		
Second Party	Month Day Year				
Name (line 1)					
Name (line 2)					
Receiving Party		Mark if additional names o	f receiving parties attached		
Name (line 1) U.S. Department of Energy			If document to be recorded is an assignment and the		
Name (line 2)	receiving party is not domiciled in the United States, an appointment of a domestic				
ddress (line 1) P.O.Box 808 (L-376)  P.O.Box 808 (L-376)    P.O.Box 808 (L-376)   Constitution of a domestic representative is attached. (Designation must be a separate document from					
Address (line 2)			Assignment.)		
Address (line 3) Livermore	CA	94	550		
City	State/Country	Zip (	Code		
Domestic Representative Name and Address  Enter for the first Receiving Party only.					
Name					
Address (line 1)					
Address (line 2)		1			
Address (line 3)					
Address (line 4)					
FOR OFFICE USE ONLY					

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, And gathering the data needed to complete the cover shall be such as the cover shall be shall

FORM	PTO-1619B		
Expires 06/30/99			
ONED DOCK	0007		

## Page 2

U.S. Department of Commerce Patent and Trademark Office

		PATENT
Correspondent Name and Address	Area Code and Telephone Number	(925) 422-4367
Name William C. Daubenspeck		
Address (line 1) U.S. Department of Energy		
Address (line 2) Lawrence Livermore National Labor	ratory	
Address (line 3) P.O. Box 808 (MS L-376)		
Address (line 4) Livermore, CA 94550		
Pages Enter the total number of pag including any attachments.	ges of the attached conveyance docume	nt # [ ]
Application Number(s) or Patent Num	nber(s) Mark if a	dditional numbers attached
Enter either the Patent Application Number or the F	Patent Number (DO NOT ENTER BOTH numbers fo	or the same property).
Patent Application Number(s)	Patent	Number(s)
S.N.: 10/100824		
If this document is being filed together with a new Pate was	ent Application, enter the date the patent application	on Month Day Year
Patent Cooperation Treaty (PCT)		
Enter PCT application number	PCT PCT	PCT
only if a U.S. Application Number has not been assigned.	PCT	PCT
Number of Properties  Enter the total	number of properties involved.	1
Fee Amount Fee Amount	for Properties Listed (37 CFR 3.41): \$	0.00
Method of Payment: Enclo Deposit Account	sed Deposit Account	
(Enter for payment by deposit account or if add		
	Deposit Account Number: #	
	Authorization to charge additional fees:	Yes No
Statement and Signature		
To the best of my knowledge and be	lief, the foregoing information is true an	d correct and any
attached copy is a true copy of the o	riginal document. Charges to deposit a	
indicated herein.	$()$ $a \circ O$	6/21/2
Janet L. Rego	fanet to Keyo	700/2002
Name of Person Signing	Signature .	Date

PATENT REEL: 013068 FRAME: 0440

## **CONFIRMATORY LICENSE**

Title: Optical Detection of Caries Disease Using

**Polarized Light** 

Inventor(s): Matthew J. Everett, et al Contract Number: W-7405-ENG-48

Contractor: Regents of the University of California

Lawrence Livermore National Laboratory

RECORDED: 07/05/2002

DOE Docket No.: S-98,513 Docket No.: IL-10408B

U.S. Patent Application S.N.: 10/100824

Filing Date: 3/18/2002 Waiver No.: W(C) 92-002

Foreign patent applications filed or intended to be filed at contractor's expense in (countries):

The Contractor certifies that a true copy of the provisions which govern patent rights in "subject inventions" under the above-identified contract is herewith submitted or has been submitted to the U.S. Department of Energy by certification dated June 15, 1999.

WHEREAS, the above-identified invention is a subject invention under the above-identified contract;

WHEREAS, the above-identified Class Waiver of Government rights in certain identified inventions and the above-identified contract provides the Contractor with the right to elect to retain title in certain subject inventions and the Contractor has elected to retain title in the above-identified subject invention, EXCEPT FOR a paid-up license under the above-identified contract and the above-identified waiver.

ACCORDINGLY, the Contractor hereby confirms that under the provisions of the above-identified contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the above-identified invention, the aboveidentified patent application(s), and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

The Government reserves for itself, and is hereby granted by the Contractor, the irrevocable power to inspect and make copies of the file wrapper(s) of the above-identified U.S. patent application and of any related or continuation patent application(s), whether domestic or foreign, for the above-identified invention.

It is understood and agreed that this instrument does not preclude the Government from asserting rights under the provisions of the above-identified contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the aboveidentified invention.

Signed or	behalf of: THE REGENTS OF	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA			
Witness:		By: Her they 4/18/0			
	(Signature)	(Contractor Official's Signature) (Date)			
	Wendy R. Pebler, Paralegal	Alan H. Thompson, Deputy Laboratory			
	(Name and Title)	(Print/Type Contractor Official's Name and Title)			
		Counsel for Intellectual Property			
		Lawrence Livermore National Laboratory			
		(Print/Type Contractor's Address)			
		P. O. Box 808, L-701			
		Livermore, CA 94551-0808			

PATENT REEL: 013068 FRAME: 0441