## RECORDATION FORM COVER SHEET **PATENTS ONLY**

DOCKET: H 3170 PCT/US

07-15-2002

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To the Honorable Commissioner of Patents and Trademarks: I attached original documents or copy thereof:			
1. Name of conveying party(ies):  Detlef Hollenberg Horst Hoeffkes Frank Naumann Joachim-Kurt Foitzik David Rose Leszek Wolfram	2. 102155538 party (les): Name: Henkel Kommanditgesellschaft auf Aktien (Henkel KGaA) Internal Address:		
Additional names(s) or conveying party(ies) attached? [ ] Yes [X] No			
3. Nature of Conveyance: [X] Assignment [] Merger [] Security [] Change of Name	Street Address: Henkelstrasse 67		
Agreement  [ ] Other	City: <u>Duesseldorf</u> State <u>GER</u> Zip <u>40589</u>		
Execution Date: March 14, 2002, March 20, 2002, April 5, 2002, February 1, 2002, April 11, 2002; and April 26, 2002; respectively	Additional name(s) & addresses attached ? [ ] Yes [ X ] No		
4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the execution date of the application is:  A. Patent application No. (s) 10/031,740  B. Patent No (s).			
Additional numbers attac	hed?[]Yes [X] No		
Name and Address of party to whom correspondence concerning document should be	Total number of applications and patents involved: [1]		
mailed: Name: Henkel Corporation	7. Total fee (37 CFR 3.41)\$ 40.00 [ ] Enclosed		
Internal Address: <u>Patent Law Department</u> Attention: <u>Kimberly R. Hild</u>	[X] Authorized to be charged to deposit account		
Street Address: 2500 Renaissance Blvd Ste. 200  City Gulph Mills State Pa ZIP 19406	8. Deposit account number:  01-1250 (Order No. 02-0251)  (Attached duplicate copy of this page if paying by deposit account)		
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<ol> <li>Statement and signature:         To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.     </li> </ol>			

true copy of the original document.

Kimberly R. Hild (R.N. 39,224)

Name of Person Signing

OMB No. 0651-0100 (EXP. 4/94)

June 13, 2002

Total Number of pages including cover sheet, attachments and document: [

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I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: BOX ASSIGNMENTS, Commissioner for Patents, Washington, DC 20231, on the date below.

Amy Alleborn Typed or printed name of certifier

**PATENT** 

REEL: 13073 FRAME: 0611

PATENT
Case H 3170 PCT/US
Serial No. 10/031,740

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS, we, Detlef Hollenberg, Horst Hoeffkes, Frank Naumann, and Joachim Kurt Foitzik citizens of Germany and residents of Fliederweg 31, 40699 Erkrath, Germany; Carlo-Schmid-Str. 113, 40595 Duesseldorf, Germany; Urdenbacher Allee 57, 40593 Duesseldorf, Germany; and Auf der Letteilung 8, 64342 Seeheim-Jugenheim, Germany; respectively, and David Rose, citizen of Great Britain and resident of Am Eichelkamp 223, 40723 Hilden, Germany; have co-invented certain new and useful improvement in NOVEL DYES AND COLORANTS for which we have made application for United States Letters Patent, said application having been filed as PCT international application number PCT/EP00/06159 on July 1, 2000 designating the United States of America and various other countries; and

WHEREAS, Henkel Kommanditgesellschaft auf Aktien (Henkel KGaA), a corporation duly organized and existing under the laws of Germany and having a place of business at Henkelstrasse 67, 40589 Duesseldorf, Germany is desirous of acquiring the entire right, title and interest in and to said invention, said application and any and all Letters Patent which may issue thereon;

NOW, THEREFORE, to all whom it may concern, be it known that we, the said <u>Detlef Hollenberg</u>, <u>Horst Hoeffkes</u>, <u>Frank Naumann</u>, <u>Joachim-Kurt Foitzik</u>, <u>and David Rose</u>, for good and valuable consideration, the receipt of which is hereby acknowledged, do hereby sell, assign, transfer and set over unto

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PATENT --- REEL: 13073 FRAME: 0612

the said <u>Henkel KGaA</u> its successors and assigns, the entire right, title and interest in and to said invention, said application and any and all Letters Patent that may issue thereon in the United States and any other country or countries together with any division, divisions, extension or extensions, reissue or reissues thereof;

AND, we hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent which may issue upon said invention to said Henkel KGaA as assignee of the entire right, title and interest in and to said invention, said application and any and all Letters Patent that may issue thereupon.

IN WITNESS WHEREOF, we have hereunto set our hand as of the following date(s).

Detlet Hollen Date 14.03.02

Most Mills

Date 20.302

Horst Hoeffkes

Frank Naumann Date 05.04.02

Joachim-Kurt Foitzik

Date 01.02.2002

David Rose Date 11/4/12

PATENT
Case H 3170 PCT/US
Serial No. 10/031,740

## ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS, I, Leszek Wolfram citizen of United States and resident of 666 Westover Rd., Stamford, CT 06902, United States; have co-invented certain new and useful improvement in NOVEL DYES AND COLORANTS for which I am named as a co-inventor on an application for United States Letters Patent, said application having been filed as PCT international application number PCT/EP00/06159 on July 1, 2000 designating the United States of America and various other countries; and

WHEREAS, Henkel Kommanditgesellschaft auf Aktien (Henkel KGaA) a corporation duly organized and existing under the laws of Germany and having a place of business at Henkelstrasse 67, 40589 Duesseldorf, Germany is desirous of acquiring the entire right, title and interest in and to said invention, said application and any and all Letters Patent which may issue thereon;

NOW, THEREFORE, to all whom it may concern, be it known that I, the said Leszek Wolfram for good and valuable consideration, the receipt of which is hereby acknowledged, do hereby sell, assign, transfer and set over unto the said Henkel KGaA its successors and assigns, the entire right, title and interest in and to said invention, said application and any and all Letters Patent that may issue thereon in the United States and any other country or countries together with any division, divisions, extension or extensions, reissue or reissues thereof;

AND, I hereby authorize and request the Commissioner of

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Patents and Trademarks to issue any and all Letters Patent which may issue upon said invention to said Henkel KGaA as assignee of the entire right, title and interest in and to said invention, said application and any and all Letters Patent that may issue thereupon.

IN TESTIMONY WHEREOF, I, intending to be legally bound, have hereunto signed my name and affixed my seal on the date and in the county and state shown below.

STATE OF Connecticut: ss Stamford.

RECORDED: 06/19/2002

On this 26th day of April \_\_\_\_, 2002 <u>Leszek Wolfram</u> appeared before me in person and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the uses and purposes therein set forth.

Notary Public

KRH/aa G:\Data\H3170I.doc M. NURUZZAMAN **NOTARY PUBLIC** OMMISSION EXPIRES MAY 31. 20