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2. Name and address of receiving party(ies)

Name: National Science FoundationInternal Address: Office of the General CounselSuite 1265Street Address: 4201 Wilson BoulevardCity: Arlington State: VA Zip: 22230Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/046,858

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robin Clay FritschInternal Address: Office of the General CounselSuite 1265Street Address: 4201 Wilson BoulevardCity: Arlington State: VA Zip: 222306. Total number of applications and patents involved: ☐7. Total fee (37 CFR 3.41).....\$ 0.00☐ Enclosed☐ Authorized to be charged to deposit account

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FINANCE SECTION

PATENT

REEL: 013076 FRAME: 0446

LICENSE TO THE UNITED STATES GOVERNMENT

This instrument confirms to the United States Government, as represented by the National Science Foundation, an irrevocable, nonexclusive, nontransferable, royalty-free license to practice or have practiced on its behalf throughout the world the following subject invention:

Invention Title : Regulatory DNA Sequences Responsible for the Sugar-Regulation of the Rice Amy3D Alpha-Amylase Promoter

Inventor(s) : Raymond L. Rodriguez

Patent Application Title : Sugar-Regulatory Regions in Alpha-Amylase Gene Promoter

Serial No. : 09/046,858

Filing Date : March 24, 1998

NSF Disclosure No. : Not Yet Received

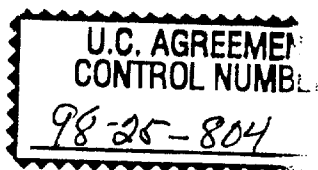
Country (if other than U.S.) : PCT designating all members

This subject invention was made with National Science Foundation Grant No. IBN-94-08369.

Principal rights to this subject invention have been left with The Regents of the University of California, Licensor.

Signed: Linda S. Stevenson

Name: Linda S. Stevenson
Title: Principal Prosecution Analyst
Office of Technology Transfer
Date: June 23, 1998



Accepted on behalf of the Government:
[Signature]
NSF Patent Assistant

Date: 3/20/02