

07-19-2002

EET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



To the Honorable Commissioner o

attached original documents or copy thereof.

102160703

1. Name of conveying party(ies):

1. Hernando Garcia
2. Daniel Charles Kilper
3. Jeffrey Matthew Roth
4. Chunhui Xu

7-16-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date(s): 1) June 27, 2002; 2) July 8, 2002;  
3) June 13, 2002; and 4) June 27, 2002

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.

Internal Address: \_\_\_\_\_

Street Address: 600 Mountain Avenue

P.O. Box 636

City: Murray Hill State: NJ ZIP: 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/128939

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Docket Administrator

Internal Address: Room 3J-219

Street Address: 101 Crawfords Corner Road

City: Holmdel State: NJ ZIP: 07733-3030

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Barry H. Freedman

Name of Person Signing

Barry H. Freedman  
Signature

July 10, 2002  
Date

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignment  
Washington, D.C. 20231

Case Name/No.: Garcia 2-2-2-13

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on July 10, 2002

Sharon Rudolph  
Signature

PATENT  
REEL: 013087 FRAME: 0806

## ASSIGNMENT AND AGREEMENT

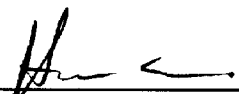
For value received, we, Hernando Garcia, of Freehold in the County of Monmouth and the State of New Jersey, and Daniel Charles Kilper, of Fair Haven in the County of Monmouth and the State of New Jersey, and Jeffrey Matthew Roth, of Lexington in the County of Middlesex and the State of Massachusetts, and Chunhui Xu, of Piscataway in the County of Middlesex and the State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Dynamic Measurement Of And Compensation For Impairments To Optical Data Communication Pulses** described in an application for Letters Patent of the United States, Serial No. 10/128939, filed April 24, 2002, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

  
\_\_\_\_\_  
Hernando Garcia

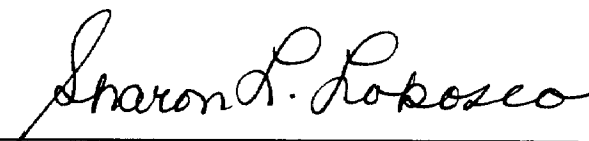
Date: 06/27/02.

United States of America )

State of New Jersey ) ss.:

County of Monmouth)

On this 27<sup>th</sup> day of June, 2002, before me personally came, Hernando Garcia to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
\_\_\_\_\_  
Notary Public

SHARON L. LOBOSCO  
Notary Public, State of New Jersey  
No. 2278076  
Qualified in Ocean County  
Commission Expires August 14, 2006



Daniel Charles Kilper


Date: July 8, 2002

United States of America )

State of New Jersey ) ss.:


County of MONMOUTH )

On this 8<sup>th</sup> day of July, 2002, before me personally came, Daniel Charles Kilper to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

SHARON L. LOBOSCO  
Notary Public, State of New Jersey  
No. 2278076  
Qualified in Ocean County  
Commission Expires August 14, 2006

  
\_\_\_\_\_  
Jeffrey Matthew Roth

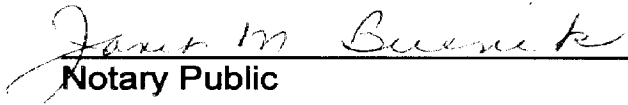
Date: June 13, 2002


United States of America )

State of Massachusetts ) ss.:

County of Middlesex )

On this 13 day of June, 2002, before me personally came, Jeffrey Matthew Roth to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
\_\_\_\_\_  
Notary Public

  
Chunhui Xu


Date: June 27, 2002

United States of America )

State of New Jersey ) ss.:

County of Monmouth

On this 27<sup>th</sup> day of June, 2002, before me personally came, Chunhui Xu to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

SHARON L. LOBOSCO  
Notary Public, State of New Jersey  
No. 2278076  
Qualified in Ocean County  
Commission Expires August 14, 2006

Lucent Technologies Inc.  
Docket Administrator (Room 3C-512)  
Lucent Technologies Inc.  
101 Crawfords Corner Road  
Holmdel, NJ 07733-3030