

07-19-2002



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ERBEN 2-28

**RECORDATION FORM COVER SHEET
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☒ New **7-2-02**

☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

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Conveyance Type

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

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☐ Departmental File ☐ Secret File

1c912 U.S. PTO
10/188942
07/02/02**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Name	Execution Date Month Day Year
Name (line 1) Christoph Georg Erben	06 24 2002
Name (line 2)	

Second Party

Name	Execution Date Month Day Year
Name (line 1) Valerie Jeanne Kuck	06 29 2002
Name (line 2)	

Receiving Party☐ Mark if additional names of receiving parties attached

Name (line 1) Lucent Technologies, Inc.	<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)		
Name (line 2) a Corporation of Delaware			
Address (line 1) 600 Mountain Avenue			
Address (line 2)			
Address (line 3) Murray Hill	New Jersey	07974-0636	
City		State/Country	Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name
Address (line 1)
Address (line 2)
Address (line 3)
Address (line 4)

07/11/2002 WASFAW1 00000078 10188942

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT**REEL: 013088 FRAME: 0574**

Correspondent Name and Address

Area Code and Telephone Number (972) 480-8800

Name Charles W. Gaines

Address (line 1) Hitt Gaines & Boisbrun, P.C.

Address (line 2) P.O. Box 832570

Address (line 3) Richardson, Texas 75083

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document including any attachments.

5

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

N/A

10,188,942

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
06 24 2002

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

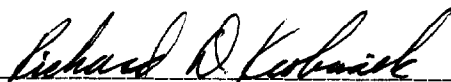
Authorization to charge additional fees:

Yes ☐ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Richard D. Krobusek



07/02/2002

Name of Person Signing

Signature

Date

ASSIGNMENT

For value received, we, Christoph Georg Erben, a citizen of German and resident of Berkeley Heights in the County of Union and the State of New Jersey, and Valerie Jeanne Kuck, of Upper Montclair in the County of Essex and the State of New Jersey, hereby sell, assign and transfer to Lucent Technologies, Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to "A WAVEGUIDE AND APPLICATIONS THEREFOR" described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies, Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.


We authorize Lucent Technologies, Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies, Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies, Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies, Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies, Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

06/24/2002


Christoph Georg Erben

STATE OF New Jersey

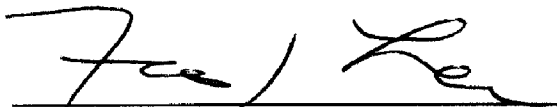
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COUNTY OF Union

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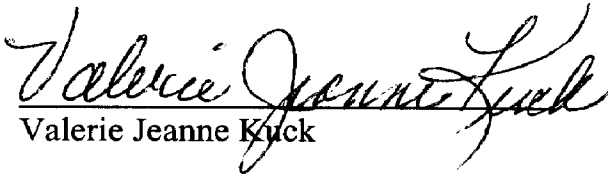
On this 24th day of June, 2002, before me personally came Christoph Georg Erben, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

My Commission Expires:

FRANCES J. LEVINE
Notary Public of New Jersey, USA
Registered in Middlesex County
My Commission Expires July 30, 2003


Valerie Jeanne Kuck

STATE OF New Jersey
COUNTY OF Essex

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§

On this 29 day of JUNE, 2002, before me personally came Valerie Jeanne Kuck, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

My Commission Expires:

NOTARY PUBLIC OF NEW JERSEY

Commission Expires 9/17/2003



Lucent Technologies, Inc.
600 Mountain Avenue (Room 3C-512)
P.O. Box 636
Murray Hill, New Jersey 07974-0636