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07-22-2002



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PATENTS ONLY

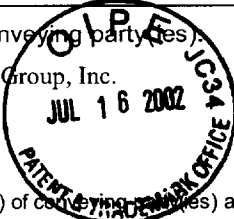
U.S. DEPARTMENT OF COMMERCE  
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Form PTO-1595  
(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)  
Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

JJI Lighting Group, Inc.



07/16/02

2. Name and address of receiving party(ies)

Name: The Chase Manhattan Bank, as Agent

Internal Address: Cost Center #1782

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other Corrective Assignment to add Patent numbers

Street Address: 633 Third Avenue

City: New York State: NY Zip: 10017

Execution Date: April 22, 1997

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/793,913

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Anita Baker, Esq.

Internal Address: Kaye Scholer LLP

Street Address: 425 Park Avenue

City: New York State: NY Zip: 10022

6. Total number of applications and patents involved: 15

7. Total fee (37 CFR 3.41).....\$ 600.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Johanne Rémy

Name of Person Signing

*Johanne Rémy*  
Signature

July 15, 2002  
Date

07/19/2002 LMUeller 00000057 09793913 Total number of pages including cover sheet, attachments, and documents: 11

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600.00 DP

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Washington, D.C. 20231

Doc # 30479283

PATENT  
REEL: 013089 FRAME: 0415

**Schedule A**

**PATENTS**

**JJI Lighting Group, Inc.**

<b>TITLE</b>	<b>REGISTRATION NO. or APPLICATION NO.</b>
Undercabinet Light Fixture	09/793,913
Undercabinet Light Fixture (Design)	29/137,732
Modular Light Unit	D386,278
Modular Connector Device	5,702,176
Modular Light Unit	D397,486
Undercabinet Light Fixture	D415,304
Illuminated Sign	6,240,665
Variable Beam Floodlight	4,872,098
Surge Protector Module	29/133,925
Mounting Bracket	D371,861
Lamp	D386,272
Lamp	D392,404
Wall Plates	D387,267
High Mast Lighting Systems	5,975,726
Centering Device for High Mast Lighting System	6,074,075

05-21-1997



100420911

OVER SHEET U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
MAY 14 1997

Tab settings     ▼

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

MRD 5-14-97

1. Name of conveying party(ies):

JJI Lighting Group, Inc.

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: 4/22/97

2. Name and address of receiving party(ies)

The Chase Manhattan Bank, as Agent  
Name: \_\_\_\_\_

Cost Center #1782  
Internal Address: \_\_\_\_\_

Street Address: 633 Third Avenue

City: New York State: NY ZIP: 10017

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

D 284,087

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Christine Spletzer, Esq.

Internal Address: Kaye, Scholer, Fierman,  
Hays & Handler, LLP

Street Address: 425 Park Avenue

City: New York State: NY ZIP: 10022

6. Total number of applications and patents involved: 24

7. Total fee (37 CFR 3.41).....\$ 960.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Claudia Canada

Name of Person Signing

[Signature]

Signature

5/13/97

Date

Total number of pages including cover sheet, attachments, and document: 5

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Commissioner of Patents & Trademarks, Box Assignments **PATENT**

REEL: 013089 FRAME: 0417

# ASSIGNMENT FOR SECURITY

## (PATENTS)

WHEREAS, JJI LIGHTING GROUP, INC., a Delaware corporation (herein referred to as "Assignor"), whose address is 67 Holly Hill Lane, P.O. Box 4207, Greenwich, CT 06830, owns the letters patent, and/or applications for letters patent, of the United States, more particularly described on Schedule 1-A annexed hereto as part hereof (the "Patents");

WHEREAS, Assignor is obligated to The Chase Manhattan Bank, a New York banking corporation, as agent (referred to herein as the "Assignee") for (i) the lenders (the "Lenders") named in Schedules 2.01(a) and 2.01(b) of the Credit Agreement, dated as of the date hereof, among JJI Lighting Group, Inc., the guarantors named therein (the "Guarantors"), the Lenders and the Assignee (as amended, modified or supplemented from time to time in accordance with its terms, the "Credit Agreement") and (ii) itself as issuer of the Letters of Credit and party to the Rate Agreements, and Assignor has entered into a Security Agreement and Mortgage - Trademarks and Patents dated as of the date hereof (as amended, modified or supplemented from time to time in accordance with its terms, the "Agreement") in favor of Assignee; and

WHEREAS, pursuant to the Agreement, Assignor has assigned to Assignee, and granted to Assignee a security interest in, and mortgage on, all right, title and interest of Assignor in and to the Patents, together with any reissue, continuation, continuation-in-part or extension thereof, and all proceeds thereof, including, without limitation, any and all causes of action which may exist by reason of infringement thereof for the full term of the Patents (the "Collateral"), to secure the prompt payment, performance and observance of the Secured Obligations, as defined in the Agreement;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor does hereby grant to Assignee a security interest in, and mortgage on, the Collateral and, contingent upon the occurrence of an Event of Default under the Agreement, assigns the Collateral to Assignee, in order to secure the prompt payment, performance and observance of the Secured Obligations.

Assignor does hereby further acknowledge and affirm that the rights and remedies of Assignee with respect to the assignment of, security interest in and mortgage on the Collateral made and granted hereby are more fully set forth in the Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein.

Assignee's address is 633 Third Avenue, New York, New York 10017.

IN WITNESS WHEREOF, Assignor has caused this Assignment to be  
duly executed by its officer thereunto duly authorized as of the 22<sup>nd</sup> day of April, 1997.

JJI LIGHTING GROUP, INC.

By *Martin T. Tell*  
Name: Martin T. Tell  
Title: Chief Financial Officer

STATE OF NEW YORK            )  
  ) ss.:  
COUNTY OF NEW YORK        )

On this 22<sup>nd</sup> day of April, 1997, before me personally appeared Martin T. Tell, to me known, who, being by me duly sworn, did depose and say that he resides at 39 Highlands Drive, Kinnelon, New Jersey 07405 and that he is Chief Financial Officer of JJI Lighting Group, Inc., the Delaware corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was affixed pursuant to authority of the Board of Directors of said corporation, and that he signed his name thereto pursuant to such authority.

  
\_\_\_\_\_  
Notary Public

**CLAUDIA M. CANADA**  
Notary Public, State of New York  
No. 01CA5055746  
Qualified in Kings County  
Commission Expires March 11, 1998

**Schedule 1A**  
**ASSIGNMENT FOR SECURITY (PATENTS)**

**JJI Lighting Group, Inc.**

<u>Title</u>	<u>Date Issued</u>	<u>Pat. No.</u>
Magnifying Lamp	6/3/86	D284,087
Lamp	10/7/86	4,616,296
Lamp	4/19/88	D295,329
Lighting Fixture Having Concave Shaped Reflector and Improved Asymemetric Light Reflection System	1/17/89	4,799,136
Recessed Track Lighting System	12/12/89	4,887,196
Lighting Fixture	1/23/90	D305,694
Adjustable Lighting Fixture	12/11/90	D312,886
Track Lighting Fixture	1/15/91	D313,866
Dust Resistant Electric Light Fixture	6/18/91	5,025,358
Ceiling Lamp	11/17/92	D331,119
Recessed Wall Lamp	1/12/93	D332,502
Flo-Thru Troffer	5/17/94	5,313,375
Air Disinfection Unit	4/9/96	5,505,904
	7/1/87	7,068,401
	4/13/76	3,949,842
	3/11/86	4,575,788
	3/20/89	7,323,006
	10/7/75	3,911,267
	4/2/74	3,801,813

**Alkco Manufacturing Company**  
(a division of JJI Lighting Group, Inc.)

<u>Title</u>	<u>Date Issued</u>	<u>Pat. No.</u>
Hospital Room Bedlight	12/21/93	5,272,608
Hospital Room Lamp	2/15/94	D344,364
Hospital Room Lamp	2/15/94	D344,363
Hospital Room Lamp	2/15/94	D344,362
Locking Spring & Hinge For Cover of Lighting Fixture	2/26/74	3,794,830

**Corrective Assignment to Add Patent Numbers  
Schedule A attached**

**RECORDED: 07/16/2002**

**PATENT  
REEL: 013089 FRAME: 0422**