| (Rev. 6  | ,   | 7-24-2002             | 3H                 | HEET                | U.S. DEPARTMENT OF COMMERCE<br>Patent and Trademark Office |
|----------|---|-----------------------|--------------------|---------------------|--|
| OWR      | lo. 0651-0011 (exp. 4/94)   |                       |                    |                     | SHEM-105   |
|          | To the Honorable Commission of Pat  | 102166090             | che                | ed original docu    | ments or copy thereof.                                     |
| 1.       | Name of conveying party(ies):   | 2.                    | Name and ad        | Idress of receiv    | ving party(ies)  |
|          | Sylvan R. Shemitz Paul R. Ford James M. Toole 7./0.0  |                       |                    | an R. Shen          | nitz Designs, Inc.   |
| Ad       | ditional name(s) of conveying party(ies) attached?   Y  | es S No               | Street Address     | : 114 Orai          | nge Avenue   |
| 3.       | Nature of conveyance:   |                       | Oli Ool / Iddi Ool | . <u>III OI</u>     | 293 Avenue 201   |
|          | ■ Assignment □ Merger   |                       | 011 171            |                     | 7  |
|          | ☐ Security Agreement ☐ Change of Name   |                       | City: <u>West</u>  | <u>. Haven</u> St   | ate: <u>CT</u> ZIP: <u>06516</u>                           |
|          | ☐ Other   |                       | Additional name    | (s) & address(es)   | attached? 🗆 Yes 🖺 No                                       |
| Exec     | ution Dates: <u>June 25 &amp; 27 and July 1</u>   | , 2002                |                    |                     |  |
| 4.       | Application number(s) or patent number(s):  |                       |                    |                     |  |
|          | If this document is being filed together with a new a July 1, 2002  | application, the exec | ution dates of th  | e application ar    | e: <u>June 25 &amp; 27 and</u>                             |
|          | A. Patent Application No.(s)  29/165884   | 4                     | B. Patent N        | o.(s)               |  |
|          | Additional  | numbers attached?     | ☐ Yes ■ No         |                     |  |
| 5.       | Name and address of party to whom correspondent concerning document should be mailed:                               | 6.                    | Total number of    | of applications a   | nd patents involved:                                       |
|          | Name: Garry J. Tuma   | 7.                    | Total fee (37 C    | FR 3.41)            | \$40.00  |
| 1        | Internal Address: FISH & NEAVE  |                       | Enclosed           |                     |  |
|          |   |                       | _                  | authorized to b     | e charged to deposit account                               |
|          | Charles Address 1951 Arrange of the Arr   | 8.                    | Deposit accoun     |                     |  |
|          | Street Address: 1251 Avenue of the Ar   |                       | 06-1075            |                     |  |
| <b>J</b> | City: New York State: NY ZIP: 10020   | 0-1104                |                    |                     | e if paying by deposit account)                            |
| 07/2     | 4/2002 RAHMED1 0000007/ 29163884  | DO NOT USE THIS S     |                    | , copy of this page | e ii paying by deposit accounty                            |
|          | Statement and signature.  |                       |                    |                     |  |
|          | To the best of my knowledge and belief, the focopy of the original document.  Garry J. Tuma  Name of Person Signing | pregoing information  | n is true and c    | orrect and any      | July 10, 2002  Date  |
|          | leg. No. 40,210 Total number of page document:  | V /*                  | neet, attachmen    | ts, and             | 5  |

## ASSIGNMENT

WHEREAS, we, Sylvan R. Shemitz, Paul R. Ford, and James M. Toole residing respectively at 35 Sunbrook Road, Woodbridge, Connecticut 06525; 871 Indian Hill Road, Orange, Connecticut 06477; and 502 LaGuardia Place, New York, New York 10012, have made a design invention entitled:

## ELLIPTICAL BALLAST BOX LUMINAIRE

and have made application for United States Letters Patent for a design patent therefor, the same being executed by us on even date herewith; and

WHEREAS, SYLVAN R. SHEMITZ DESIGNS, INC., a Delaware corporation having an office and place of business at 114 Orange Avenue, West Haven, Connecticut 06516, is desirous of acquiring the entire interest in said design invention, said United States design patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said SYLVAN R. SHEMITZ DESIGNS, INC., its successors, assigns and legal representatives, all right, title and interest in and to said design invention and any improvements thereon for all countries of the world, and in and to said United States design patent application, including any continuations and divisions thereof, and any substitute applications therefor, and including the right to claim priority under the International Convention based on said design patent application, and any design patent which may

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issue thereon, and any reissues of the same; and all right, title and interest in and to every design patent application or design registration application filed or to be filed on said design invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all design patents or registrations which may issue thereon, and any reissues and extensions of the same; and we hereby authorize and request competent authorities to grant and issue any and all design patents or registrations on said design invention to the said SYLVAN R. SHEMITZ DESIGNS, INC. as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure design patent or registration protection on said design invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names on the dates indicated hereinafter:

Sylvan R. Shemit

ml 7- 459

James M. Toole

,

Date

Date

| STATE OF CONNECTICUT                               | )   |
|--|---|
| county of Manager                                  | : ss.: LUCST Naux   |
| acknowledged the above to sealed and delivered the | day of vuly 2002, ally appeared before me and be his signature and that he signed, above instrument as his voluntary act es and purposes therein set forth. |
| ,  | Notary Public   |
| (Notarial seal)                                    | My Commission expires:  August 31, 2003   |
| STATE OF CONNECTICUT COUNTY OF New Nave            | ) ss.: West Nave  |
| the above to be his sign                           |   |
|  | Sus D Wilo Notary Public  |
| (Notarial seal)                                    | My Commission Expires:  August 31,2003  |

- 3 -

PATENT REEL: 013104 FRAME: 0913

| STATE OF CONNECTICUT | )      | 1)05-1    | al j |
|----------------------|--------|-----------|------|
| COUNTY OF NOW NUK.   | :<br>) | ss.: West | Nave |

> Susw D Who Notary Public

(Notarial seal)

**RECORDED: 07/10/2002** 

My Commission Expires:

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