

07-25-2002

FORM PTO-1595
(Rev. 6-93)

102167943

PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
COVER SHEET

Attorney Docket No.: 038134-5005

To the Commissioner for Patents

Please record the attached original documents or copy thereof.

ATTN: BOX ASSIGNMENT

1. Name of conveying party(ies):

1. Suzie HWANG PUN
2. Hector GONZALEZ
3. Mark E. DAVIS
4. Nathalie BELLOCQ
5. Jianjun CHENG

Additional names of conveying party(ies) attached?
☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: (1) California Institute of Technology
 (2) Insert Therapeutics, Inc.

Internal Address:

Street Address: (1) 1200 E. California Boulevard,
 Pasadena, CA 91125
 (2) 2585 Nina Street,
 Pasadena, CA 91107

City:

State:

Zip:

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: (1) July 2, 2002, (2) June 25, 2002, (3)
 July 2, 2002, (4) July 2, 2002 and (5) July 2, 2002

4. New Application number(s) or patent number(s):

If this document is being filed together with a new application the execution date of the application is:

A. Patent Application No.(s) 10/021,312

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffrey A. Lindeman

Internal Address: Morgan, Lewis & Bockius LLP
 Customer No. 09629

Street Address: 1111 Pennsylvania Avenue, N.W.
 City: Washington State: D.C. Zip: 20004

6. Total number of applications and patents involved: _____

7. Total fee (37 C.F.R. §3.41): \$40.00
☐ Enclosed
☒ Authorized to be charged to Deposit Account 50-0310

8. Deposit Account No. 50-0310
 (Attach duplicate page if paying by deposit account)

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.k

Jeffrey A. Lindeman Reg. No. 34,658

Name of Person Signing

Signature

July 17, 2002

Date

Total number of pages including cover sheet, attachments and documents: 7

07/24/2002 DBYRNE 00000042 500310 10021312

01 FC:581

40.00 CH
 1-WA/1663976.1

PATENT
 REEL: 013108 FRAME: 0523



ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

COMPOSITIONS CONTAINING INCLUSION COMPLEXES

for which I/WE executed an application for United States Letters Patent concurrently herewith; or on ____; or filed an application of United States Letters Patent on December 19, 2001, (Application No. 10/021,312); and

WHEREAS California Institute of Technology, a corporation of California, whose post office address is 1200 E. California Boulevard, Pasadena, CA 91125 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application of United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/WE, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such applications, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent of this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/WE have the full right to convey the interest assigned by this Assignment, and I/WE have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to ME/US respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/WE have hereunto set our hand(s).

County of Los Angeles

State of California

SS.

Subscribed and sworn to before me
this 2nd day of July, 2002 *pw*

Penelope Wolfe Notary Public

Suzie HWANG PUN

Full Name of Sole or First Assignor

5327 Doris Way Torrance, CA 90505

Address

Suzie Hwang Pun

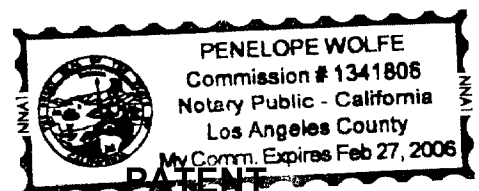
First Assignor's Signature

July 2, 2002

Date

Names of additional inventors attached ☒ Yes ☐ No

Morgan, Lewis & Bockius LLP



County of _____

Hector GONZALEZ

Full Name Second Assignor

State of _____

SS.

Address

Subscribed and sworn to before me
this ____ day of _____, 2001.

Second Assignor's Signature

Notary Public

Date

County of Los Angeles

Mark E. DAVIS

Full Name Third Assignor

State of California

SS.

Address

Subscribed and sworn to before me
this 2nd day of July, 2001 gw

Third Assignor's Signature

Penelope Wolfe
Notary Public

Date

County of _____

Full Name Fourth Assignor

State of _____

SS.

Address

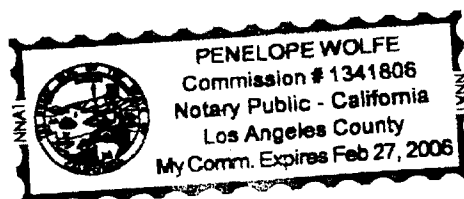
Subscribed and sworn to before me
this ____ day of _____, 2001.

Fourth Assignor's Signature

Notary Public

Date

Names of additional inventors attached ☐ Yes ☒ No



Morgan, Lewis & Bockius LLP

ASSIGNMENT

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NOW THEREFORE, be it known that, for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/WE, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such applications, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent of this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/WE have the full right to convey the interest assigned by this Assignment, and I/WE have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to ME/US respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/WE have hereunto set our hand(s).

County of _____	_____	Suzie HWANG PUN
State of _____	_____	Full Name of Sole or First Assignor
SS.	_____	Address
Subscribed and sworn to before me this _____ day of _____, 2001.	_____	First Assignor's Signature
_____ Notary Public	_____	Date
Names of additional inventors attached <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		

Morgan, Lewis & Bockius LLP

1-WA/1730647.1

PATENT
REEL: 013108 FRAME: 0526

County of San Francisco

State of California

Hector GONZALEZ

Full Name Second Assignor

SS.

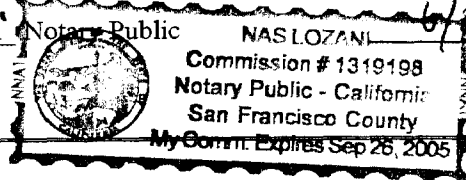
2364 Fulton St., San Francisco, CA 94118

Address

Subscribed and sworn to before me
this 25 day of June, 2002

[Signature]
Second Assignor's Signature

Naslozani



6/25/02
Date

County of _____

State of _____

Mark E. DAVIS

Full Name Third Assignor

SS.

Address

Subscribed and sworn to before me
this ___ day of _____, 2001.

Third Assignor's Signature

Notary Public

Date

County of _____

State of _____

Full Name Fourth Assignor

SS.

Address

Subscribed and sworn to before me
this ___ day of _____, 2001.

Fourth Assignor's Signature

Notary Public

Date

Names of additional inventors attached ☐ Yes ☒ No

ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

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for which I/WE executed an application for United States Letters Patent concurrently herewith; or on ____; or filed an application of United States Letters Patent on December 19, 2001, (Application No. 10/021,312); and

WHEREAS Insert Therapeutics, Inc., a corporation of California, whose post office address is 2585 Nina Street, Pasadena, CA 91107 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application of United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/WE, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such applications, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent of this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/WE have the full right to convey the interest assigned by this Assignment, and I/WE have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to ME/US respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/WE have hereunto set our hand(s).

County of Los Angeles

State of California

SS.

Subscribed and sworn to before me
this 2nd day of July, 2002 pw

Penelope Wolfe Notary Public

Nathalie BELLOCO

Full Name of Sole or First Assignor

3129 Raymond Ave, Altadena, CA 91001, USA

Address

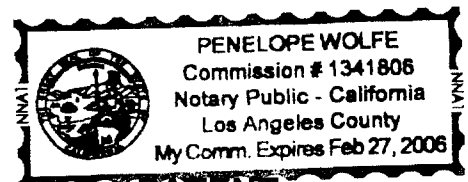
Nathalie Bellocq

First Assignor's Signature

July 02, 2002

Date

Names of additional inventors attached ☒ Yes ☐ No



County of Los Angeles
State of California

Jianjun CHENG

Full Name Second Assignor

9100 DUARTE RD. # 107, SAN GABRIEL, CA 91775

SS.

Address

Subscribed and sworn to before me
this 2nd day of July, 2002 pw

Penelope Wolfe Notary Public

[Signature]

Second Assignor's Signature

July 2, 2002

Date

County of _____

State of _____

Full Name Third Assignor

SS.

Address

Subscribed and sworn to before me
this ___ day of _____, 2001.

Notary Public

Third Assignor's Signature

Date

County of _____

State of _____

Full Name Fourth Assignor

SS.

Address

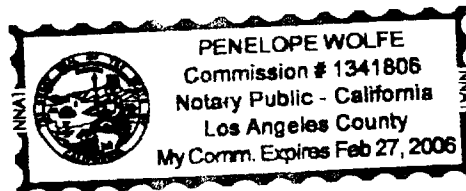
Subscribed and sworn to before me
this ___ day of _____, 2001.

Notary Public

Fourth Assignor's Signature

Date

Names of additional inventors attached ☐ Yes ☒ No



Morgan, Lewis & Bockius LLP