

07-31-2002

07-05-2002

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

REC



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

HYBRID NETWORKS, INC.

2. Name and address of receiving party(ies)

Name: London Pacific Life & Annuity Company

Internal Address: Attention: Ms. Susan Gressel

Street Address: 3101 Poplarwood Ct., Suite 300

City: Raleigh State: NC Zip: 27604

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name

Other

Execution Date: May 21, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

08/697,080 (allowed)

08/899,883

09/610,356

09/610,357

B. Patent No.(s)

RE 35,774 (Reissue of U.S. patent number 5,347,304)

5,586,121 5,946,048 6,005,850

5,818,845 5,946,322 6,016,316

5,828,655 5,956,346 6,104,727

5,859,852 5,959,660 6,275,497

5,946,047 5,959,997 60/313,109 (provisional)

Additional number(s) attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard J. Mooney, Esquire

Internal Address: c/o Latham & Watkins

Attention: Ms. Patricia A. Conner

Street Address: 650 Town Center Drive, Suite 2000

City: Costa Mesa State: CA Zip: 92626

6. Total number of applications and patents involved:

20

7. Total fee (37 CFR 3.41).....\$ 800.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Patricia A. Conner
Name of Person Signing*Patricia A. Conner*
SignatureJuly 2, 2002
Date

Total number of pages including cover sheet, attachments, and document:

5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patent & Trademarks, Box Assignments
Washington, D.C. 20231

07/30/2002 GTOW11 00000002 09697080

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PATENT
REEL: 013117 FRAME: 0854

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May 21, 2002

(415) 395 8197
richard.mooney@lw.com

FILE NO. 024115-0038

Via Facsimile and U.S. Certified Mail

Mr. Michael Greenbaum
Chief Executive Officer
Hybrid Networks, Inc.
6409 Guadalupe Mines Road
San Jose, CA 95120-5000
Fax: 408 323 6472

Re: *Possession of Collateral*

Dear Mr. Greenbaum:

As you recall from my earlier correspondence, Latham & Watkins represents London Pacific Life & Annuity Company ("LPL&A") in connection with the Senior Secured Convertible Debenture Purchase Agreement of April 30, 1997, between LPL&A and Hybrid Networks, Inc. ("Hybrid"), and the associated Senior Secured Convertible Debenture Due 2002 of the same date, collectively referred to herein as the "Agreement." Pursuant to the Agreement, Hybrid was obligated to pay LPL&A US\$5,500,000 on April 30, 2002, in repayment of the Debenture. Hybrid's failure to do so constitutes an event of default under the Agreement, and this office sent a Notice of Default on May 1.

Pursuant to (a) the Notice of Default, (b) Paragraph 3(a) of the Security Agreement between LPL&A and Hybrid dated April 30, 1997, and (c) the terms of the California Uniform Commercial Code, LPL&A hereby takes possession of the Collateral (as that term is defined in the Agreement) held by Hybrid, including without limitation the assets listed in Exhibit A hereto to the extent Hybrid holds an interest therein.

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Mr. Michael Greenbaum
May 21, 2002
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Thus, LPL&A requests that Hybrid confirm LPL&A's right to and actual possession of the Collateral, including without limitation the assets listed in Exhibit A hereto, as provided by section 9609 of the California Uniform Commercial Code. LPL&A further requests that Hybrid confirm that its owned physical assets (furniture, inventory, and equipment) will remain at their present location through the end of May 2002, notwithstanding the transfer of possession to LPL&A by this letter agreement. As confirmation, please execute this letter below and return a copy to me. We appreciate your cooperation in this matter.

Sincerely,



Richard J. Mooney

cc (via facsimile) (831 401 2402):
Alan Pedlar, Esq. (counsel for Hybrid)

So agreed and confirmed:

May 23, 2002



Michael Greenbaum

CEO of Hybrid Networks, Inc.

Mr. Michael Greenbaum

May 21, 2002

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Exhibit A

1. RE 35,774, reissue of U.S. patent number 5,347,304
2. U.S. patent number 5,586,121
3. U.S. patent number 5,818,845
4. U.S. patent number 5,828,655
5. U.S. patent number 5,859,852
6. U.S. patent number 5,946,047
7. U.S. patent number 5,946,048
8. U.S. patent number 5,946,322
9. U.S. patent number 5,956,346
10. U.S. patent number 5,959,660
11. U.S. patent number 5,959,997
12. U.S. patent number 6,005,850
13. U.S. patent number 6,016,316
14. U.S. patent number 6,104,727
15. U.S. patent number 6,275,497
16. U.S. provisional patent (expiring 8/20/02) number 60/313,109
17. U.S. patent application number 08/697,080 (allowed)
18. U.S. patent application 08/899,883
19. U.S. patent application 09/610,356
20. U.S. patent application 09/610,357
21. Chinese patent application number 97.1.98235.X (high speed internet access system)
(Pub. WO/98/05144)
22. Australian patent application number 62656/98 (method of sharing communication channels) (Pub. WO/99/11025)

Mr. Michael Greenbaum

May 21, 2002

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23. Canadian patent application number 2,261,933 (high speed internet access system)
(60/022,644)
24. Canadian patent application number 2,280,822 (method of sharing communication
channels) (08/917,735)
25. Israeli patent application number 131,342 (method of sharing communication channels)
(Pub. WO/99/11035)
26. Furniture owned by Hybrid and located at Hybrid headquarters
27. Inventory and equipment owned by Hybrid and located at Hybrid headquarters

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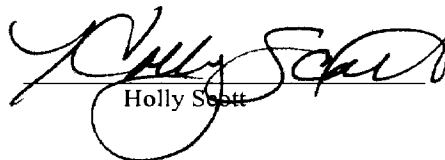
07-05-2002

U.S. Patent & TMO/tc/TM Mail Rcpt Dt. #26

July 2, 2002

File No. 024115-0038

CERTIFICATE OF MAILING: I hereby certify that the below listed correspondence and documents are addressed to the Commissioner of Patents & Trademarks, BOX ASSIGNMENTS--FEE, 2900 Crystal Drive, Arlington, Virginia 22202-3513, and are being deposited with the United States Postal Service via Express Mail No.: ELX06409721US on July 2, 2002 by:


Holly Scott

VIA EXPRESS MAIL

Commissioner of Patents and Trademarks
BOX ASSIGNMENTS -- FEE
Arlington, Virginia 22202-3513

Re: Submission of Recordation Form Cover Sheet – **Assignment**
For the Conveyance of 20 U.S. **Patents** and Applications
From Hybrid Networks, Inc. to London Pacific Life & Annuity Company

02 JUL 11 AM 12:45
TRADemark TRIAL AND
APPEAL BOARD


Dear Sir or Madam:

Enclosed for recordation with the U.S. Patent & Trademark Office are the following conveyance papers of Hybrid Networks, Inc.:

1. Patent Recordation Form Cover Sheet – **Assignment**;
2. Possession of Collateral Agreement assigning the rights in these patents from Hybrid Networks, Inc. to London Pacific Life & Annuity Company;
3. A stamped, self-addressed postcard to acknowledge receipt; and
4. A check for \$800.00 to cover the filing fee.

Please charge Deposit Account No. 500524 for any additional fees which may be required, or credit any overpayment to this account. Should you have any questions regarding the enclosed assignment, please call me at (714) 755-8107. Thank you for your assistance.

With kind regards,



Patricia A. Conner
Senior Intellectual Property Paralegal

Enclosures

cc: Richard J. Mooney, Esq. (with enclosures)

650 TOWN CENTER DRIVE, SUITE 2000 • COSTA MESA, CALIFORNIA 92626-1925
TELEPHONE: (714) 540-1235 • FAX: (714) 755-8290

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RECORDED: 07/05/2002

PATENT
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