

07-30-2002

REC



ATTORNEY DOCKET NO: 02-AE2-062

102172271

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Steven C. Schmalz
Michael F. Walz
James M. McCormick

07/17/02

Additional name(s) of conveying party(ies) attached? Yes ☒ No

2. Name and address of receiving party(ies):

Name: EATON CORPORATION

Internal Address:

Street Address: EATON CENTER
1111 SUPERIOR AVENUE

City: CLEVELAND State: OHIO Zip: 44114

Additional name(s) & address(es) attached? Yes ☒ No

3. Nature of conveyance:

☒ - Assignment Merger
Security Agreement Change of Name
Other _____

Execution Date: 6/25/02; 7/10/02; 7/10/02

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 6/25/02; 7/10/02; 7/10/02

A. Patent Application No.(s):

10/196832

B. Patent No.(s)

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: EATON CORPORATION
Internal address: PATENT LAW DEPARTMENT
Street Address: EATON CENTER
1111 SUPERIOR AVENUE
City: CLEVELAND State: OHIO Zip: 44114

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

Enclosed

☒ - Authorized to be charged to deposit account

8. Deposit account number:

05-0275

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard V. Westerhoff
Registration No. 24,454

Signature

July 17, 2002
Date

07/25/2002 DBYRNE

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Total number of pages including cover sheet, attachments, and documents: 5

01 Fee: 581

40.00 CH

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignment
Washington, DC 20231

ASSIGNMENT

WHEREAS, We, **STEVEN C. SCHMALZ; MICHAEL F. WALZ;** and **JAMES M. MCCORMICK;** of 4627 S. Woodland Drive, Greenfield, in the County of Milwaukee, State of Wisconsin 53220; of 818 128th Street, N.E., Bradenton, in the County of Manatee, State of Florida 34212; and of 4604 Blue Marlin Drive, Bradenton, in the County of Manatee, State of Florida 34208; respectively, have invented certain improvements in: **TESTER FOR A PLURALITY OF CIRCUIT BREAKERS HAVING A RANGE OF RATED CURRENTS AND MULTIPLE TRIP FUNCTIONS,** for which we are about to make application for Letters Patent of the United States; and

WHEREAS, **EATON CORPORATION,** a corporation organized and existing under the laws of the State of Ohio, having its principal place of business at Eaton Center, 1111 Superior Avenue, Cleveland, Ohio 44114, is desirous of acquiring an interest therein;

NOW, THEREFORE, for and in consideration of continued employment and/or other undertakings and/or other good and valuable consideration, receipt of which is hereby acknowledged, we, **STEVEN C. SCHMALZ, MICHAEL F. WALZ** and **JAMES M. McCORMICK,** by these presents do sell, assign, and transfer unto said **EATON CORPORATION,** its successors and assigns, the full and exclusive right in the United States of America and all foreign countries to the said invention as described in the specification executed by us on the 25TH day of JUNE 2002; on the 10 day of July 2002; and on the 10 day of July, 2002; respectively, (and we hereby authorize our attorneys, authorized to prosecute said application, to here insert the filing date and Serial No. of the said application, as soon as it is known, Serial No. _____, Filed _____, preparatory to obtaining Letters Patent of the United States therefor); said invention, all applications for Letters Patent and all Letters Patent therefor, to be held and enjoyed by the said **EATON CORPORATION,** for its own use and behoof and for its legal representatives, to the full end of the term for which said Letters Patent are granted, as fully and entirely as the same would have been held by us had this Assignment and sale not been made; and we hereby covenant and agree to execute all instruments or documents required or requested for the making and prosecution of applications for Letters Patent of the United States of America and all foreign countries or, for litigation regarding, or for the purpose of protecting title to the said invention or

Letters Patent therefor for the benefit of our assignee without further or other compensation than that above set forth; and we hereby request the Commissioner of Patents and Trademarks to issue said Letters Patent to **EATON CORPORATION**, as assignee thereof.

Steven C. Schmalz
STEVEN C. SCHMALZ

STATE OF WISCONSIN)
) SS:
COUNTY OF MILWAUKEE)

On this 25 day of JUNE, 2002, before me personally appeared **STEVEN C. SCHMALZ** to me personally known as the individual who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Mary G. Slasche
Notary Public

My Commission Expires: 7-18-04

(SEAL)

Michael F. Walz
MICHAEL F. WALZ

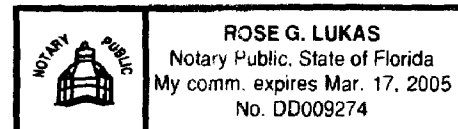
STATE OF FLORIDA)
) SS: 219 78 5207
COUNTY OF MANATEE)

On this 10 day of JULY, 2002, before me personally appeared **MICHAEL F. WALZ** to me personally known as the individual who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Rose G. Lukas
Notary Public

My Commission Expires: _____

(SEAL)



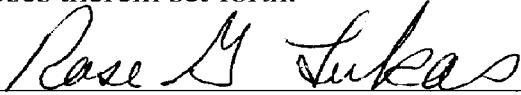

 JAMES M. McCORMICK

STATE OF FLORIDA

COUNTY OF Manatee

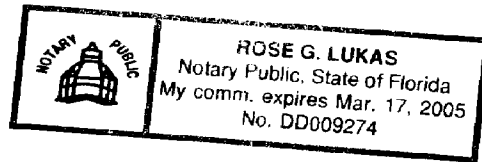
)
) SS: 440681751
)

On this 10 day of July, 2002, before me personally appeared **JAMES M. McCORMICK** to me personally known as the individual who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.



Notary Public

My Commission Expires: _____



(SEAL)

ASSIGNMENT

Whereas I, the below named inventor, with residence and citizenship as indicated below; have made an invention in

WET-SLIP RESISTANT SHEET AND WET-SLIP RESISTANT STRUCTURE

and have contemporaneously executed an application for Letters Patent of the United States of America based thereon;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, I have agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly owned subsidiary of 3M COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by me or made jointly with others (provided any such improvement is made during, or within one year after the termination of, my employment by 3M Company and any of its existing or future subsidiaries), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by me had this assignment and transfer not been made,

I do further agree for myself and for my heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as I lawfully may, that may be deemed necessary by the said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And I do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

In witness whereof, I have hereunto signed my name on the day and year set forth below.

Shirley L. White
Witness

Richard K. Allen
Witness

Takahide Okuyama 6/6/2000
Takahide Okuyama Date
Residence: Yamagata-city, Japan
Citizenship: Japan