

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof:

1. Name of conveying party(ies): Philip N. Benfey Laura Di Laurenzio Joanna Wysocka-Diller Jocelyn E. Malamy Leonard Pysh Yrjo Helariutta Jun Lim	2. Name and address of receiving party(ies): New York University 550 First Avenue New York, New York 10016
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____ Execution Date(s): 1st Inventor: April 5, 2000 2nd Inventor: April 18, 2000 3rd Inventor: May 3, 2000 4th Inventor: May 22, 2002 5th Inventor: May 12, 2000 6th Inventor: May 3, 2000 7th Inventor: April 5, 2000	
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are): 1st (sole) Inventor: 2nd Inventor: 3rd Inventor: 4th Inventor: 5th Inventor: 6th Inventor: 7th Inventor: A. Patent Application No.(s): 09/265,585 B. Patent No.(s):	

5. Name and address of party to whom correspondence concerning document should be mailed:

Michael L. Goldman
NIXON PEABODY LLP
Clinton Square
P.O. Box 31051
Rochester, New York 14603-1051

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): **\$40.00**

☐ Previously paid (see original transmittal)

☐ A check in the amount of **\$40.00** is enclosed.

8. Deposit Account Number: 14-1138

☒ Charge total fee to account.

☐ Charge any additional fees to account.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: September 27, 2002



Michael L. Goldman
Registration No. 30,727

[Total number of pages including cover sheet, attachments and document: 9]

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IN P R O P R I E T A R Y

JOINT

ASSIGNMENT

WHEREAS, WE, Philip N. Benfey, Laura Di Laurenzio, Joanna Wysocka-Diller, Jocelyn E. Malamy, Leonard Pysh, Yrjo Helariutta, and Jun Lim, ASSIGNORS, citizens of U.S.A., Italy/Canada, U.S.A., U.S.A., U.S.A., Finland, and U.S.A., respectively, residing at 3 Washington Square Village, #6A, New York, New York 10012, 14 Washington Place, #5G, New York, New York 10003, 710 West End Avenue, #5A, New York, New York 10027, 14 Washington Place, #4D, New York, New York 10003, 3464 Colonial Avenue, Apt. S-144, Roanoke, Virginia 24018, 14 Washington Place, #8L, New York, New York 10003, and 4 Washington Square Village, #9K, New York, New York 10012, respectively, are co-inventors with Wesley Bruce of the invention in SCARECROW GENE, PROMOTER AND USES THEREOF for which we have executed an application for a Patent of the United States

☒ which is executed on ☒ even date herewith or ☐ _____

☒ which is identified by Pennie & Edmonds LLP docket no. 5914-066-999

☒ which was filed on March 10, 1999, Application No. 09/265,585

and WHEREAS, New York University, 550 First Avenue, New York, NY 10016, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date April 5th, 2000 Philip N. Benfey L.S.
PHILIP N. BENFEY

State of _____ }
County of _____ } SS.:

In the State of NEW YORK, county of Bronx, on APRIL 5, 2000, before me, CESAR A. GRULLON, Notary Public, personally appeared PHILIP N. BENFEY, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

CESAR A. GRULLON
NOTARY PUBLIC, State of New York
No. 01GR6012003
Qualified in Bronx County
Commission Expires Aug 12, 2000

PATENT
REEL: 013127 FRAME: 0728
CAL - 238866.1

Date April 18, 2000 Laura D. Laurenzio I.S.
LAURA DI LAURENZIO

State of }
County of } SS.: 105-80-3016

In the State of New Jersey, county of Essex, on 4-18-02, before me, Janet Wells, Notary Public, personally appeared LAURA DI LAURENZIO, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Janet Wells
JANET WELLS
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 11/23/2003

Date _____, 2000 JOANNA WYSOCKA-DILLER I.S.

State of }
County of } SS.:

In the State of _____, county of _____, on _____, before me, _____, Notary Public, personally appeared JOANNA WYSOCKA-DILLER, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Date _____, 2000 JOCELYN E. MALAMY I.S.

State of }
County of } SS.:

In the State of _____, county of _____, on _____, before me, _____, Notary Public, personally appeared JOCELYN E. MALAMY, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Date _____, 2000 LEONARD PYSH I.S.

State of }
County of } SS.:

In the State of _____, county of _____, on _____, before me, _____, Notary Public, personally appeared LEONARD PYSH, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

04/17/00

14:47

0003
NO. 605 023Date April 18, 2000Laura D. Laurenzio
LAURA DI LAURENZIO I.S.

State of

County of

SS.: 105-80-3016

In the State of New Jersey, county of Essex,
 me, Janet Wells, Notary Public, personally appeared LAURA DI LAURENZIO, on 4-18-00, before
 on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and
 acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their
 signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Janet Wells
 JANET WELLS
 NOTARY PUBLIC OF NEW JERSEY
 My Commission Expires 11/23/2003
Date May 3, 2000State of AlabamaCounty of Lee

SS.:

Joanna Wysocka-Diller
JOANNA WYSOCKA-DILLER I.S.

In the State of Alabama, county of Lee,
 me, Joanna Wysocka-Diller, Notary Public, personally appeared JOANNA WYSOCKA-DILLER, on 5.3.00, before
 on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and
 acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their
 signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Joanna Wysocka-Diller

BONDED THRU NOTARY PUBLIC UNDERWRITERS

Date _____, 2000

Jocelyne Malamy
JOCELYNE MALAMY I.S.

State of

County of

SS.:

In the State of _____, county of _____,
 me, _____, Notary Public, personally appeared JOCELYNE MALAMY, on _____, before
 on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and
 acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their
 signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Date _____, 2000

Leonard Pysh
LEONARD PYSH I.S.

State of

County of

SS.:

In the State of _____, county of _____,
 me, _____, Notary Public, personally appeared LEONARD PYSH, on _____, before
 on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Date _____, 2000 _____ L.S.
LAURA DI LAURENZIO

State of)
County of } SS.:

In the State of _____, county of _____, on _____, before me, _____, Notary Public, personally appeared LAURA DI LAURENZIO, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Date _____, 2000 _____ L.S.
JOANNA WYSOCKA-DILLER

State of)
County of } SS.:

In the State of _____, county of _____, on _____, before me, _____, Notary Public, personally appeared JOANNA WYSOCKA-DILLER, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Date 5/22 2002 _____ L.S.
JOCELYN E. MALAMY

State of)
County of } SS.:

In the State of Illinois, county of Cook, on 5/22/02, before me, Dorelene R. York, Notary Public, personally appeared JOCELYN E. MALAMY, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal



Date _____, 2000 _____ L.S.
LEONARD PYSH

State of)
County of } SS.:

In the State of _____, county of _____, on _____, before me, _____, Notary Public, personally appeared LEONARD PYSH, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Date _____, 2000 LAURA DI LAURENZIO L.S.

State of _____ }
County of _____ } SS.:

In the State of _____, county of _____, on _____, before me, _____, Notary Public, personally appeared LAURA DI LAURENZIO, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Date _____, 2000 JOANNA WYSOCKA-DILLER L.S.

State of _____ }
County of _____ } SS.:

In the State of _____, county of _____, on _____, before me, _____, Notary Public, personally appeared JOANNA WYSOCKA-DILLER, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Date _____, 2000 JOCELYN E. MALANY L.S.

State of _____ }
County of _____ } SS.:

In the State of _____, county of _____, on _____, before me, _____, Notary Public, personally appeared JOCELYN E. MALANY, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Date 5/12, 2000 Leonard Pysh L.S.
LEONARD PYSH

State of Virginia }
County of Roanoke } SS.:

In the State of Virginia, county of Roanoke, on 5-12-2000, before me, Linda W. Davis, Notary Public, personally appeared LEONARD PYSH, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal
My Commission Expires January 31, 2004

Date MAY 3, 2000 *[Signature]* L.S.
YRJO HELARIUTTA

State of _____ }
 County of FINLAND } SS.:

In the State of Finland, county of Helsinki (FINLAND), on 03.05.2000, before me, Peter Okenari Notary Public, personally appeared YRJO HELARIUTTA, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

[Signature]
PETER OJEMARK
 Notary Public



Date _____, 2000 JUN LIM L.S.

State of _____ }
 County of _____ } SS.:

In the State of _____, county of _____, on _____, before me, _____, Notary Public, personally appeared JUN LIM, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Date _____, 2000 _____ L.S.

YRJO HELARIUTTA

State of _____ }
County of _____ } SS.:

In the State of _____, county of _____, on _____, before me, _____, Notary Public, personally appeared YRJO HELARIUTTA, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Date April, 5, 2000 _____ L.S.

JUN LIM

State of _____ }
County of _____ } SS.:

In the State of NEW YORK, county of BRONX, on April 5th 2000, before me, CESAR A. GRULLON, Notary Public, personally appeared JUN LIM, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

CESAR A. GRULLON
NOTARY PUBLIC, State of New York
No. 01GR6012008
Qualified in Bronx County
Commission Expires Aug 17, 2000

[Signature]
April 5th, 2000