Washington, D.C. 20503

08-01-2002

OVER SHEET

U.S. DEPARTMENTOFCOMMERCE

To the Honorable Commissioner or Patents and Trademarks: Please record the attached in all documents or copy thereof. 1-24-02 1. Names and address of receiving party(ies): Name of conveying party(ies) SWALES, Graham Edward; SWALES, James Edward; Name: GREAT LAKES CLEAN WATER LIMITED BAIN, Thomas W. and HART, Hubert Roger **PARTNERSHIP** Additional name(s)of conveying party(ies attached? Internal Address: Yes 🗀 3. Nature of conveyance: Street Address: 1606 Sedlescomb Drive, Unit 11 Merger ☐ Security Agreement ☐ Change of Name City: Mississauga State: Ontario ZIP: <u>L4X 1M6</u> Other Country: Canada Execution Date: July 3, 16 and 22, 2002 Additional names/addresses attached? Yes ☐ No 🛛 В. 4 Application number(s) or patent number(s): Patent No.(s) If this document is being filed together with a new application, the execution date of the application is: Yes ☐ No 🖾 Additional numbers attached? Patent Application No. (s) 10/132,688 Α. 6. Total number of applications Name and address of party to whom correspondence 5. and patents involved: .....1 concerning document should be mailed: Name: H. Roger Hart Internal Address: c/o Bereskin & Parr Total fee (37 CFR 3.41).....\$ 40.00 7. Street Address: Box 401, 40 King Street West Authorized to charge any deficiency or credit any overpayment to our deposit account. Deposit account number: 8. \_State:<u>Ontario</u> City: Toronto 02-2095 Country: Canada 07/26/2002 SSESHE1 00000029 10132688 40.00 Q 05 F**¢:**581 DO NOT USE THIS SPACE To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true 9. copy of the original document. July 23, 2002 H. Roger Hart . Regn. 26,426 Date Signature Total number of pages including cover sheet::  $\underline{3}$ Name of Person Signing BP File # 10128-004/HRH OMD No. 0651 (exp. 4/94) Do not detach this portion Mail documents to be recorded with required cover sheet information to: Commissioner Of Patents and Trademarks **Box Assignments** Washington, D.C. 20231 Public burden reporting for this sample cover sheer is estimated to average about 30 minutes per documents to be recorded, Including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2 1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011),

PATENT REEL: 013129 FRAME: 0518

## **WORLDWIDE ASSIGNMENT**

## **ASSIGNMENT**

## WHEREAS WE,

- 1) Graham Edward Swales
- 2) James Edward Swales
- 3) Thomas W. Bain, and
- 4) Hubert Roger Hart
- whose full post office addresses are respectively
- 1) 7 Colborne Street East, Orillia, L3V 1T4, Canada
- 2) 8 Sheila Way, Barrie, Ontario, L4M 6M7, Canada
- 3) 2307 Shawanaga Trail, Mississauga, Ontario, L5H 3X6, Canada, and
- 4) One Aberfoyle Cr., Toronto, Ontario, M8X 2X8, Canada

are joint inventors of an invention entitled WATER TREATMENT SYSTEM AND

**METHOD** for which an application for United States Letters Patent was filed on April 26, 2002, and bears serial number 10/132,688 under the above title,

AND WHEREAS GREAT LAKES CLEAN WATER LIMITED PARTNERSHIP, an Ontario limited partnership, whose principal office and place of business is 1606 Sedlescomb Drive, Unit 11, Mississauga, Ontario L4X 1M6, Canada, has acquired from us the whole right, title and interest for the United States of America, Canada and all other countries in and to the said invention and in and to any Letters Patent that may be obtained therefor, and in and to said applications,

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) to each of us in hand paid, and other good and valuable consideration, the receipt of all of which is hereby acknowledged, we, Graham Edward Swales, James Edward Swales, Thomas W. Bain and Hubert Roger Hart, by these presents confirm that we have sold, assigned and transferred and do hereby sell, assign and transfer unto the said **GREAT LAKES CLEAN WATER LIMITED PARTNERSHIP**, the full and exclusive right to the said invention in the United States of America, Canada and all other countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor, and the entire right, title and interest in and to said application, and in and to any divisions, continuations, continuations-in-part and extensions of said application, together with the right to claim the benefit of

PATENT REEL: 013129 FRAME: 0519 the right of priority provided by the International Convention for the Protection of Industrial Property based on said application for United States Letters Patent.

We agree that we will without further consideration do all such things and execute all such documents as may be necessary or desirable to obtain and maintain patents for said invention and for additions and modifications thereto in any and all countries, and to vest title thereto in said assignee, its successors, assigns and legal representatives or nominees.

We hereby authorize and request the Commissioner of Patents and Trademarks and the Commissioner of Patents to issue said Letters Patent to said GREAT LAKES CLEAN WATER LIMITED PARTNERSHIP, the assignee of the entire right, title and interest in and to the same, for its sole use and benefit, and for the use and benefit of its successors and assigns, to the full end of the term for which Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made.

SIGNED this 3 day of Juy, 2004, at block, Ontario, Canada. GRAHAM EDWARD SWALES itness SIGNED this 3 day of Jun, 2002, at Olicet. Ontario, Canada.

JAMES EDWARD SWALES

SIGNED this day of \_\_\_\_, 2002, at \_\_\_\_, Ontario, Canada.

Witness

THOMAS W. BAIN

SIGNED this 22 day of July, 2002, at Tongrife, Ontario, Canada.

Brille

HUBERT ROGER HART

RECORDED: 07/24/2002

PATENT REEL: 013129 FRAME: 0520