

08-01-2002



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U.S. Department of Commerce

Patents & Trademark Office

Attorney Docket No. KSB-006, 80312-006

Date: July 29 2002

To the Assistant Commissioner of Patents  
Please record the attached original documents or copy thereof

1. Name of conveying party(ies)

Kaoru TOKUNAGA

07.29.02

Additional name(s) of conveying party(ies) attached?

Yes  No

2. Name and address of receiving party(ies):

Name: KOMATSU LTD.

Address: 3-6, Akasaka 2-chome, Minato-ku  
Tokyo, Japan

Additional name(s) & address(es) attached?

Yes  No

2002 7 27

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other Assignee Zip Code left out of address; Zip code 107-8414.  
PTO error.

Execution Date: March 5, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

10/106,380

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Rader, Fishman & Grauer, PLLC

Street Address: 1233 20<sup>th</sup> Street, N.W., Suite 501

Washington, D.C. 20036

6. Total number of applications and patents involved:  
One

7. Total fee (37 CFR 3.41)..... \$ 0.00

- Charged to Deposit Account No. 18-0013
- Any additional fees are authorized to be charged to deposit account

8. Deposit account number: 18-0013  
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ronald P. Kannanen.

Name of Person Signing  
Reg. No. 24,104

Signature

July 29 2002

Date

Total number of pages including cover sheet, attachments, and document: 2

04-05-2002



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FORM PTO-1984

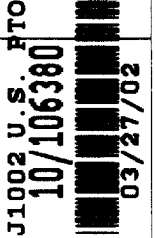
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U.S. DEPARTMENT OF COMMERCE

KSB-006

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.



1. Name of conveying party(ies)

(1) Kaoru TOKUNAGA

3-27-02

Additional name(s) of conveying party(ies) attached No.

2. Name and address of receiving party(ies)

Name: KOMATSU LTD.

Internal Address:

Street Address: 3-6, Akasaka 2-chome, Minato-ku

City: TOKYO, JAPAN

Additional name(s) & address(es) attached  Yes  No

3. Nature of conveyance:

Assignment

Merger

Security Agreement

Change of Name

Execution Date:

(1) 03/05/02

4. Application number(s) or patent number(s):

10,106,380

If the document is being filed together with a new application, the execution date of the application 03/05/02

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ronald P. Kananen, Esq.

Internal Address: RADER, FISHMAN & GRAUER  
Suite 501

Street Address: 1233 20<sup>th</sup> Street, NW

City: Washington, D.C. Zip: 20036

6. Total number of applications and patents involved:..... 1

7. Total fee (37 CFR 3.41)..... \$ 40.00

Enclosed

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ronald P. Kananen

Name of Person Signing

Total number of pages comprising cover sheet 1

Signature

March 27, 2002

Date

04/04/2002 6TON11 00000103 180013 10106380

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Docket No. \_\_\_\_\_

# U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by

KOMATSU LTD.

3-6, Akasaka 2-chome, Minato-ku, TOKYO 107-8414 JAPAN

[Insert  
ASSIGNEE's  
Name(s)  
Address(es)]

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled

WHEEL LOADER

[Title of  
Invention]

for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

\*filed on \_\_\_\_\_, Serial No. \_\_\_\_\_

[\*If the assignment  
is being filed  
after the filing of the  
application, this  
section must be  
completed]

(Rader, Fishman & Grauer, PLLC is hereby authorized to insert the series code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with application and any continuing, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, division, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

[Signature(s)  
of Assignor(s)]

<u>Kaoru Tokunaga</u> (SIGNATURE)	<u>Kaoru TOKUNAGA</u> (TYPE NAME)	<u>Mar. 5, 2002</u> (DATE)
_____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)
_____ (SIGNATURE)	_____ (TYPE NAME)	_____ (DATE)
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