

08-05-2002

Docket No.: CHM-002PAT

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
P08/REV03



ET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

102177905

Tab settings → → → ▼ OFFICE COPY RECORDS ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Jessica Ann Linck
Jonathon Mark Cross
Ann Wippermann Kummer

2. Name and address of receiving party(ies):

Name: **Children's Hospital Medical Center**

Internal Address: **The Children's Hospital Research**

Foundation

Street Address: **3333 Burnet Avenue**

City: **Cincinnati** State: **OH** ZIP: **45229**

Additional names(s) of conveying party(ies) attached? Yes No

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other

Execution Date: **May 8, 2002**

4. Application number(s) or patent numbers(s):
If this document is being filed together with a new application, the execution date of the application is:
A. Patent Application No.(s)
10/141437

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

Name: **Donald E. Hasse**

Internal Address: **Smith, Gutttag, Hasse & Nesbitt Ltd**

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

Street Address: **7577 Central Park Blvd., Suite 316**

8. Deposit account number:

City: **Mason** State: **OH** ZIP: **45040**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald E. Hasse

July 8, 2002
Date

Name of Person Signing

Signature

3

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments

08/02/2002 6TON11 00000135 10141437
01 FC:581 40.00 OP

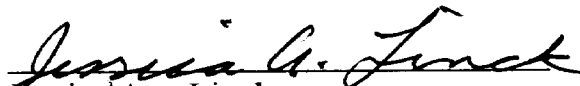
PATENT
REEL: 013137 FRAME: 0467

ASSIGNMENT

WHEREAS, we, Jessica Ann Linck of Cincinnati, County of Hamilton, State of Ohio; Jonathon Mark Cross of Cincinnati, County of Hamilton, State of Ohio; and Ann Wippermann Kummer of Edgewood, County of Kenton, State of Kentucky, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent entitled DEVICE AND METHOD FOR TREATING HYPERNASALITY, Attorney's Docket No. CHM-002PAT, executed by us, respectively, the 8th day of May, 2002, the 8th day of May, 2002, and the 8th day of May, 2002, Serial No. 10/14437, filed the 8th day of May, 2002, the hereinafter named assignee or the attorney of record for said patent application being hereby authorized to insert said Serial Number and filing date when ascertained) (hereinafter referred to as "said patent application"):

We made said invention set forth in said patent application while employed by Children's Hospital Medical Center of Cincinnati, Ohio, and acknowledge our obligation at the time the invention was made to assign said invention to Children's Hospital Medical Center. Pursuant to said obligation, we assign to Children's Hospital Medical Center, its legal representatives, successors and assigns, the entire right, title and interest, including the right to claim priority of the filing date of said patent application under international conventions, in said invention as set forth in said patent application, including any divisional, continuation, continuation-in-part, continued prosecution, or provisional applications thereof, and in all patents, including any reissued, reexamined, or extended patent thereof, of the United States and of any foreign country which may be issued for said invention as fully and completely as the same would have been held by us had this assignment not been made. The entire right, title and interest shall vest irrevocably in Children's Hospital Medical Center. We further agree upon request, without additional compensation but at no expense to us, to execute or assent to foreign applications, and to execute all other legal documents as may be necessary or desirable to vest the enjoyment of the rights assigned to Children's Hospital Medical Center, its legal representatives, successors and assigns, or as said Children's Hospital Medical Center may direct.

AND we request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said Children's Hospital Medical Center, its legal representatives, successors or assigns, as the sole owner of the entire right, title, and interest in said patent and the invention covered thereby.


Jessica Ann Linck


Jonathon Mark Cross


Ann Wippermann Kummer

State of Ohio

County of Hamilton

On this 8th day of May, 2002, before me personally appeared Jessica Ann Linck to me known to be the person named in and who executed the above instrument, and acknowledged to me that she executed the same for the uses and purposes therein set forth.



PETER C. KOCH
Notary Public, State of Ohio
My Commission Expires February 1, 2005

State of Ohio

County of Hamilton

On this 8th day of May, 2002, before me personally appeared Jonathon Mark Cross to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.



PETER C. KOCH
Notary Public, State of Ohio
My Commission Expires February 1, 2005

State of Ohio

County of Hamilton

On this 8th day of May, 2002, before me personally appeared Ann Wippermann Kummer to me known to be the person named in and who executed the above instrument, and acknowledged to me that she executed the same for the uses and purposes therein set forth.



PETER C. KOCH
Notary Public, State of Ohio
My Commission Expires February 1, 2005