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Y03-065, 066

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To the Honorable Commissioner of Patents

attached original documents or copy

1. Name of conveying party(ies):

Richard B. Hochberg

Additional name(s) of conveying party(ies)
attached☐ Yes ☒ No☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: April 4, 2002

2. Name and address of receiving party(ies)

Name Yale University

Internal Address:

Street Address: 2 Whitney Avenue

City New Haven State CT ZIP 06511

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

January 23, 2002

A. Patent Application No.(s)

~~10/056,635~~
PCT/US02/1898

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name Coleman Sudol Sapone, P.C.

Internal Address: c/o Henry D. Coleman

Street Address: 714 Colorado Avenue

City: Bridgeport State: CT ZIP: 06605

6. Total number of applications and patents involved: 2

7. Total fee (37 C.F.R. 3.41)..... \$40.00

☒ Enclosed

Previously ENCLOSED

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Henry D. Coleman, Reg. No. 32,559

Name of Person Signing

Signature

April 12, 2002

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 013137 FRAME: 0639

ASSIGNMENT

WHEREAS, I, Richard B. Hochberg, a citizen of the United States of America, residing at 100 Watering Pond Road, Guilford, CT 06437, have invented new and useful improvements in "Estradiol-16 α -Carboxylic Acid Esters as Locally Active Estrogens" for which I filed patent application number 10/056,635 in the United States Patent and Trademark Office on January 23, 2002, and international application number PCT/US02/01898 on January 23, 2002, said applications claiming priority from provisional application serial number 60/264,157, filed January 25, 2001.

WHEREAS, Yale University (hereinafter, "YALE"), having an office and principal place of business at 2 Whitney Avenue, New Haven, CT 06511, has acquired and is desirous of acquiring the entire right, title and interest in and to the application and invention therein described and claimed and any Letters Patent which may be issued upon the application or for improvements therein contained.

Now, THEREFORE, in consideration of good and valuable considerations, to me in hand paid, the receipt and sufficiency of which are hereby acknowledged, I, Richard B. Hochberg, have sold, assigned and transferred and do hereby sell, assign and transfer unto said YALE, its successors and assigns, my entire right, title and interest in and to the application and to the invention therein disclosed and the entire right, title and interest in and to any Letters Patent which may issue thereon, and the right to apply for any Letters Patent in the United States of America and in any and all foreign countries on said invention, including all divisional, renewal, substitute and continuation applications based in whole or in part upon said invention, or upon any and all Letters Patents that may issue thereon, and every priority right that is or may be predicated upon or arise from said invention, the same to be held and enjoyed by said YALE, for its own use and enjoyment and for the use and enjoyment of its successors and assigns, to the full end of the term for which any said Letters Patent are granted, as fully and as entirely as the same would have been held and enjoyed by me had this Assignment and sale not been made.

And I hereby authorize said YALE to file patent applications in any or all other countries on

said invention in my name or in the name of said YALE or otherwise as said YALE may deem advisable.

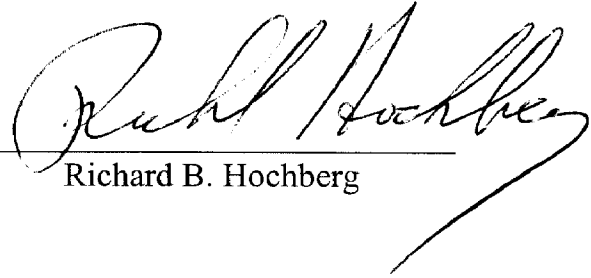
And I hereby authorize the empowered officials of all governments to issue or transfer any said Letters Patent granted, to said YALE, as assignee of the entire right, title and interest therein or otherwise as said YALE may direct, in accordance with this instrument or assignment.

In further consideration of said good and valuable considerations, I, Richard B. Hochberg, further represent and warrant to said YALE, its successors and assigns, that there are no rights and interests outstanding inconsistent with the rights and interests granted herein and that I have not executed any instrument or granted or transferred any rights or interests inconsistent with the rights and interests granted herein and that I will not execute any instrument or grant or transfer any rights or interests inconsistent herewith, and I bind myself, my heirs, executors, administrators and legal representatives, as the case may be, to execute and deliver to said YALE, its successors and assigns, and I hereby irrevocably appoint said YALE as my true and lawful attorney-in-fact on my and its behalf and in my and/or its name, place and stead to execute, any further documents or instruments and do any and all further acts that may be deemed necessary or desirable by said YALE, its successors and assigns, to vest in said YALE, its successors and assigns, the title whose conveyance is made hereby, and to enable such title to be recorded in the United States and all other countries, and to enable said YALE to sustain or reissue any said Letters Patent granted, and to maintain, perfect, support and protect the right, title and interest of said YALE, its successors and assigns, in and to the said invention, and any Letters Patent granted, particularly in cases of interference and litigation.

And I further covenant and agree, in consideration of the premises, that I, my executors and administrators, will at any time upon request of said YALE, its successors or assigns, and at its expense, communicate to said YALE, its successors and assigns, any facts relating to the invention and improvements and the history thereof, known to me or my heirs, executors, administrators or legal representatives, and that I will testify as to the same in any interference, or other litigation when requested to do so by said YALE, its successors and assigns.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 4 day of

April, 2002.


Richard B. Hochberg

STATE OF)
CT) ss.:
CITY OF)
NEW HAVEN

On this 4th day of APRIL, 2002, before me personally appeared Richard B. Hochberg, to me known and known to me to be the individual described in the foregoing instrument, and he duly acknowledged to me that he executed the foregoing instrument, and he acknowledged to me that he executed the same for the purpose therein set forth.


Notary Public Patricia C. Fiorentino
My Commission Expires: **NOTARY PUBLIC**
MY COMMISSION EXPIRES DEC. 31, 2004