

OMB No. 0651-0011 (exp. 4/94)

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jürgen Grob
Jaroslav Kalvoda

2. Name and address of receiving party(ies)

Name: Novartis AG (formerly Ciba Geigy AG)

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: Lichtstrasse 35

City: Basel State: Switzerland ZIP: 4056

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: March 29, 1984

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

4,559,332

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas Hoxie

Internal Address: Novartis Pharmaceuticals Corporation

Patent and Trademark Dept.

Street Address: 564 Morris Avenue

City: Summit State: NJ ZIP: 07901-1027

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

☐ Enclosed

☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-0134 (in the name of Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gregory D. Ferraro

Name of Person Signing
Reg. No. 36,134

Greg Ferraro
Signature

10/2/02
Date

☐ Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

US Case

4-14388/+

ASSIGNMENT

~~X~~(WE)

Jürgen Grob of 4304 Giebenach, Switzerland and
Jaroslav Kalvoda of 4102 Binningen, Switzerland

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to CIBA-GEIGY AG, a Company organised under the laws of the Swiss Confederation, of Klybeckstrasse 141, 4002 Basle, Switzerland, its successors, assigns and legal representatives, all ~~our~~ (our) right, title and interest, in and for the United States of America, in and to the

20-Spiroxanes and analogues having an open ring E, processes for their manufacture, and pharmaceutical preparations thereof

invented by ~~us~~ (us) and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said CIBA-GEIGY AG, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by ~~us~~ (us) if this assignment and sale had not been made;

And ~~we~~ (we) hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said CIBA-GEIGY AG.

Signed on *March 29, 1984*

Jürgen Grob
Jaroslav Kalvoda