

FORM PTO-1595
(Rev. 8-93)
OMB No. 0651-0011 (exp. 4/94)

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings == == ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Toni Armstrong

Kenneth Barton

Laura J. Crow

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

2. Name and address of receiving party(ies):

Name: Monsanto Technology LLC

Internal Address: _____

Street Address: 800 North Lindbergh Boulevard

City: St. Louis State: MO ZIP: 63167

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: September 4, 5, 6, 10, 11 and 30, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/190,217

60/303,375

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas P. McBride

Internal Address: Monsanto Technology LLC

Street Address: 800 North Lindbergh Boulevard

City: St. Louis State: MO ZIP: 63167

6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 3.41) \$ 80.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

13-4125

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas P. McBride

Name of Person Signing



Signature

10 Oct 02

Date

Total number of pages including cover sheet, attachments, and document: 10

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

(Form PTO-1595-Recordation Form Cover Sheet - page 1 of 3)

700018091

PATENT
REEL: 013139 FRAME: 0574

FORM PTO-1595

RECORDATION FORM COVER SHEET U.S.

DEPARTMENT OF COMMERCE

(Rev. 6-93)

PATENTS ONLY

Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)

1. Name of conveying party(ies):

Larry A. GilbertsonShihshieh HuangYong HuangBrian J. MartinellMichael W. PetersenKenneth J. PillerAdditional name(s) of conveying party(ies) attached? Yes ☒ No ☐

2. Name and address of receiving party(ies):

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached? Yes ☐ No ☒

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes ☐ No ☒

FORM PTO-1595 (Rev. 6-93) OMB No. 0651-0011 (exp. 4/94)	RECORDATION FORM COVER SHEET U.S. PATENTS ONLY	DEPARTMENT OF COMMERCE Patent and Trademark Office
1. Name of conveying party(ies):		
<u>Qi Wang</u> _____ _____	<u>Xudong Ye</u> _____ _____	
Additional name(s) of conveying party(ies) attached? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		
2. Name and address of receiving party(ies):		
Name: _____		
Internal Address: _____		
Street Address: _____		
City: _____ State: _____ ZIP: _____		

Name: _____		
Internal Address: _____		
Street Address: _____		
City: _____ State: _____ ZIP: _____		
Additional name(s) & address(es) attached? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		
4. Application number(s) or patent number(s):		
If this document is being filed together with a new application, the execution date of the application is: _____		
A. Patent Application No.(s) _____ _____ _____ _____ _____		B. Patent No.(s) _____ _____ _____ _____ _____
Additional numbers attached? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		

Attorney Docket No. 38-21(52583)B

ASSIGNMENT

WHEREAS, I/WE, the undersigned, have made certain invention or inventions which are disclosed in patent application(s) and/or provisional patent application(s) entitled:

METHODS FOR ENHANCING SEGREGATION OF TRANSGENES IN PLANTS AND COMPOSITIONS THEREOF

identified as US Serial No. 10/190,217 and filed on July 5, 2002 ; and

METHODS FOR ENHANCING SEGREGATION OF TRANSGENES IN PLANTS AND COMPOSITIONS THEREOF

identified as US Serial No. 60/303,375 and filed on July 6, 2001 ;

WHEREAS, Monsanto Technology LLC, of St. Louis, Missouri, a Corporation of the State of Delaware, is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, FOR GOOD AND VALUABLE CONSIDERATION, the receipt of which is hereby acknowledged, I/WE do hereby sell, assign and transfer to said Monsanto Technology LLC, its successors and assigns, the entire right, title and interest in and to said invention or inventions, in any form or embodiment thereof, and in and to said application(s); and in and to any and all applications filed in any country based thereon, including the right to file applications in countries other than the country of priority filing under the provisions of any international convention; also in and to any and all improvements on said invention or inventions now or hereafter made by me/us as employee(s), agent(s) or contractor(s) of said Monsanto Technology LLC; also the entire right, title and interest in and to any and all patents, including reissues and extensions thereof, to be obtained in any country upon said invention, inventions or improvements, and any and all continuing applications, including divisional, continuation and continuation-in-part applications, substitute applications, and applications claiming benefit of an earlier filed provisional application, which may be filed upon said invention, inventions or improvements in any country; and

I/WE hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said Monsanto Technology LLC, as assignee of the entire interest.

I/WE further agree, without any payment by Monsanto Technology LLC other than in reimbursement of reasonable expenses I/we may incur, to communicate to said Monsanto Technology LLC, its representatives or agents, any facts relating to said invention, inventions or improvements, including evidence for purposes of interference, opposition or other legal proceedings, whenever requested; testify in any interference, opposition or other legal proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective.

Attorney Docket No. 38-21(52583)B

IN TESTIMONY WHEREOF, I/WE have hereto set our hands on the dates set after our signatures.

Signature: Toni Armstrong Date: 9/11/02
Name: Toni Armstrong
City and state or country of residence: St. Louis, MO

State of Missouri }
County of St. Louis } ss.

On this 11th day of September, 2002, before me personally appeared Toni Armstrong, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal) Judith A. Lorenz
Notary Public or Consular Officer
JUDITH A. LORENZ
Notary Public - State of Missouri
St. Louis County
My Commission Expires: November 20, 2003
My Commission expires Nov. 20, 2003

Signature: Kenneth Barton Date: 9/5/02
Name: Kenneth Barton
City and state or country of residence: Chesterfield, MO

State of Missouri }
County of St. Louis } ss.

On this 5th day of Sept, 2002, before me personally appeared Kenneth Barton, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal) Judith A. Lorenz
Notary Public or Consular Officer
JUDITH A. LORENZ
Notary Public - State of Missouri
St. Louis County
My Commission Expires: November 20, 2003
My Commission expires Nov 20, 2003

Attorney Docket No. 38-21(52583)B

Signature: [Signature]

Date: 9/4/02

Name: Laura J. Crow

City and state or country of residence: Middleton, WI

State of Wisconsin }
County of Dane } ss.

On this 4th day of September, 2002, before me personally appeared Laura J. Crow, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal) [Signature]
Notary Public or Consular Officer

My Commission expires June 20, 2004

Signature: [Signature]

Date: 9/6/02

Name: Larry A. Gilbertson

City and state or country of residence: Chesterfield, MO

State of Missouri }
County of St. Louis } ss.

On this 6th day of September, 2002, before me personally appeared Larry A. Gilbertson, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal) [Signature]
Notary Public or Consular Officer

My Commission expires Nov. 20, 2003

JUDITH A. LORENZ
Notary Public - State of Missouri
St. Louis County
My Commission Expires: November 20, 2003

Attorney Docket No. 38-21(52583)B

Signature: Shihshieh Huang

Date: 9/04/02

Name: Shihshieh Huang

City and state or country of residence: Stonington, CT

State of Connecticut }
County of New London } ss.

On this 4th day of September, 2002, before me personally appeared Shihshieh Huang, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal) Virginia S. Shivia
Notary Public or Consular Officer

My Commission expires My Commission Expires 9/30/2005

Signature: _____ Date: _____

Name: Yong Huang

City and state or country of residence: Oakland, FL

State of _____ }
County of _____ } ss.

On this _____ day of _____, 2002, before me personally appeared Yong Huang, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal) _____
Notary Public or Consular Officer

My Commission expires _____

Attorney Docket No. 38-21(52583)B

Signature: _____ Date: _____

Name: Shihshieh Huang

City and state or country of residence: Stonington, CT

State of _____ }
County of _____ } ss.

On this _____ day of _____, 2002, before me personally appeared Shihshieh Huang, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal) _____
Notary Public or Consular Officer

My Commission expires _____

Signature: [Signature] Date: 9/30/02

Name: Yong Huang

City and state or country of residence: Oakland, FL

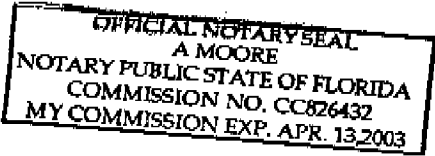
State of FLORIDA }
County of DADGE } ss.

FDL 12-27-2006

On this 30 day of September, 2002, before me personally appeared Yong Huang, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal) A. Moore
Notary Public or Consular Officer

My Commission expires _____



Signature: Brian J. Martinell

Attorney Docket No. 38-21(52583)B

Date: Sept 4, 2002

Name: Brian J. Martinell

City and state or country of residence: Mount Horeb, WI

State of Wisconsin }
County of Dane } ss.

On this 4th day of September, 2002, before me personally appeared Brian J. Martinell, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal) Kim G. Smythe
Notary Public or Consular Officer

My Commission expires June 20, 2004

Signature: Michael W. Petersen

Date: 09/04/02

Name: Michael W. Petersen

City and state or country of residence: Hillpoint, WI

State of Wisconsin }
County of Dane } ss.

On this 4th day of September, 2002, before me personally appeared Michael W. Petersen, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal) Kim G. Smythe
Notary Public or Consular Officer

My Commission expires June 20, 2004

Attorney Docket No. 38-21(52583)B

Signature: Kenneth J. PillerDate: 9-4-02Name: Kenneth J. PillerCity and state or country of residence: Davidson, NCState of North CarolinaCounty of Mecklenburg

} ss.

On this 4 day of September, 2002, before me personally appeared Kenneth J. Piller, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

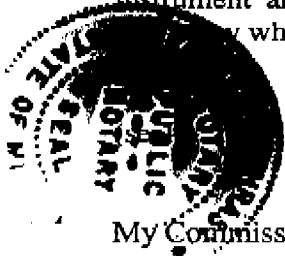
(seal)

Kathy Haskey
Notary Public or Consular Officer

My Commission expires October 15, 2005Signature: Qi WangDate: 9-16-02Name: Qi WangCity and state or country of residence: St. Louis, MOState of MissouriCounty of St Louis

} ss.

On this 10 day of September, 2002, before me personally appeared Qi Wang, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.



Patricia A. Hrastich
Notary Public or Consular Officer

My Commission expires 12/17/03

PATRICIA A. HRASTICH
NOTARY PUBLIC—STATE OF MISSOURI
MY COMMISSION EXPIRES DEC 17, 2003
ST. LOUIS COUNTY

Attorney Docket No. 38-21(52583)B

Signature: Ye Xudong

Date: 9/4/02

Name: Xudong Ye

City and state or country of residence: Madison, WI

State of Wisconsin }
County of Dane } ss.

On this 4th day of September, 2002, before me personally appeared Xudong Ye, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal) Kin G. Smythe
Notary Public or Consular Officer

My Commission expires June 20, 2004