

TO/PCT Rec'd se Est 2002

Agent's Docket No: FP01074US

102180169

# RECORDATION COVER SHEET FOR ASSIGNMENT OF PATENT

To the Hon. Commissioner of Patents and Trademarks:

7-29-02

Kesuhn

Please record the enclosed copy of an assignment document. Relevant assignment data are as follows:

1. Assignors:

YELIN XU No. 15 Datun Road, Beijing 100101, P.R. China LING JIANG No. 15 Datun

Chaoyang District, Beijing 100101, P.R. China

**QIANG XU** No. 15 Datun

Chaoyang District, Beijing 100101, P.R. China

Assignee:

Institute of Biophysics, Chinese Academy of Sciences

No. 15 Datun Road, Chaoyang District Beijing 100101, P.R. China

Execution Date of Assignment of Entire Interest in Patent Application: **February 5, 2002** 

Execution Date of Declaration for Patent Application: **February 5, 2002** 

PCT Appl. No.: PCT/CN00/00068 filed March 29, 2000 4A.Patent Application No.:

Appl No. 10/049,486 AD1 1/23/2003

Not Assigned 4B.Patent Number:

Joshua D. Isenberg 5. Correspondence address:

JDI PATENT 204 Castro Lane Fremont, CA 94539

Total Number of applications and Patents involved:

\$40 Total fee (37 CFR 3.41):

Statement and signature:

To the best of my knowledge and belief, the information contained herein is true and correct, and any attached copy is a true copy of the original document.

Joshua D. Isenberg

Reg. No. 41,088 telephone: (510) 896-8328

Date: 2/28/2002

Total number of pages including cover sheet, attachments, and document:

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**PATENT** 

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### ASSIGNMENT

THIS ASSIGNMENT, by

## YELIN XU, LING JIANG, and QIANG XU

(hereinafter referred to as the Assignors), residing at Beljing, P.R. China, Beljing, P.R. China, and Beljing, P.R. China respectively, witnesseth:

WHEREAS, said Assignor has invented certain new and useful improvements in

### "PARALLEL PLATE DIODE"

for which International Application for Letters Patent Serial No. **PCT** Appl. No.: PCT/CN00/00068 was filed on **March 29, 2000** 

WHEREAS.

#### INSTITUTE OF BIOPHYSICS, CHINESE ACADEMY OF SCIENCES

(hereinafter referred to as the Assignse), an Institution of Higher Learning under the laws of THE PEOPLE'S REPUBLIC OF CHINA, BEIJING 100101, P.R. CHINA, is desirous of obtaining the entire right, title and interest in and to said inventions and said application for Letters Patent, and in and to any Letters Patent, United States or foreign, to be obtained therefor and thereon;

WHEREAS, said Assignors and said Assignee have executed an agreement entitled "Agreement Concerning Rights in Invention."

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and sufficient considerations, the receipt of which is hereby acknowledged:

- 1. The Assignors have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over unto said Assignee, the entire right, title and interest in, to and under said inventions; said application for Letters Patent; any Letters Patent which may be granted for said inventions in the United States of America and any foreign country; any division, continuation, and continuation-in-part of said application; any reissue or extension of said Letters Patent; and all rights under the International Convention for the Protection of Industrial Property; said right, title and interest to be held and enjoyed by said Assignee for its own use and behoove to the full end of the term for which Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignors, had this sale and assignment not been made.
- 2. Said Assignors hereby jointly and severally warrant and represent that, at the time of execution and delivery of these presents, said Assignors are the joint and lawful owners of the entire right, title and interest in and to said inventions and said application for Letters Patent, and that the same has not entered into any assignment, contract or understanding in conflict herewith.
- 3. Said Assignors hereby jointly and severally covenant and agree to assist and cooperate with said Assignee, whereby said Assignee may enjoy to the fullest extent said right, title and interest herein conveyed, provided, however, that the entire expense which may be incurred by said Assignors in lending such assistance and cooperation be paid by Assignee. Such cooperation shall include: (a) prompt execution of all papers (prepared at the expense of Assignee) which are deemed necessary or desirable by Assignee to perfect said right, title and interest herein conveyed, (b) prompt execution of all petitions, oaths, specifications, declarations and other papers (prepared at the expense of Assignee) which are deemed necessary or desirable by Assignee for filing or prosecuting in the United States or any foreign country said application, any application which is a division, continuation, or continuation-in-part of said application, any reissue application for any application which is a division, continuation, or for any interference proceeding involving said application or Letters Patent granted thereon; and (c) prompt assistance and cooperation in the prosecution of all legal proceedings involving said inventions, said application, or Letters Patent granted thereon, including oppositions, cancellation proceedings, priority contests, public use proceedings and court actions.
- 4. The terms, covenants and conditions of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and/or other legal representatives, and shall be binding upon said Assignors, their heirs, legal representatives and assigns.

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Assignment

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- 5. The terms, covenants and conditions of this Assignment are subject to the payment of royalty by Assignee to Assignors in accordance with the provisions of said Agreement Concerning Rights in Invention.
- 6. Said Assignors hereby request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States to said Assignee as the assignee of said inventions.

IN WITNESS WHEREOF said Assignors have executed and delivered this instrument on the date noted below.

Date: -ch. 5, 2002 (elin Xu	
YELIN XU	
State: County: Chine	
Subscribed and sworn to before me on this	
Subscribed and sworn to before me on this day of, 20	
The Thana	
Jan Thang Notary Public	
Date: Feb. 5, 2002 Ling Jiany LING JIANG State: County: Chines	
LING JIANG	
State: County: Chines	
State	
Subscribed and swom to before me on this 5 day of Feb. 2002	
	,
Joan Thang	
Notary Public	
C. V.	
Date: Feb. t, rooz Qiang Xu	
State: County: China	
$X \rightarrow X \rightarrow$	
Subscribed and sworn to before me on this day of	
Subscribed and sworn to before me on this day of	
Subscribed and sworn to before me on this	
Stan Shang	- The second
Stan Shang Notary Public	

**RECORDED: 02/28/2002** 

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