

08-13-2002

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office



Tab settings ⇌ ⇌ ⇌

102187296

To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert McKenzie
Thao Nguyen
Xiaokun Chew
Gang Herbert Lin
Jack Tsai

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Maxtor Corporation

Internal Address: _____

Street Address: 2452 Clover Basin Drive

City: Longmont State: CO Zip: 80503

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other _____

Execution Date: May 9, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: August 2, 2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steven G. Roeder

The Law Office of Steven G. Roeder

Internal Address: _____

Street Address: 5560 Chelsea Avenue

City: La Jolla State: CA Zip: 92037

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41). \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-1141

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven G. Roeder

Name of Person Signing

Signature

August 2, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 10

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

08/12/2002 LHWELLER 00000179 10211671

01 FC:581

40.00 DP

ASSIGNMENT

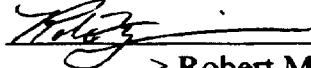
WHEREAS, we, Robert McKenzie, a citizen of the United States of America, residing at 1361 Carnation Circle, Longmont, CO 80503; Thao Anh Nguyen, a citizen of the United States of America, residing at 6035 Assisi Court, San Jose, CA 95138; Xiaokun Chew, a citizen of the United States of America, residing at 2538 Bentley Ridge Drive, San Jose, CA 95138; Gang Herbert Lin, a citizen of the United States of America, residing at 1233 Mt. Quail Circle, San Jose, CA 95120; Jack Tsai, a citizen of Taiwan, residing at 440 Dixon Landing Road, Apt. #B307, Milpitas, CA 95035; Bruce Schardt, a citizen of the United States of America, residing at 4244 Lindsey Lane, Tracy, CA 95377; and Steven Marshall, residing at 162 Whitney Street, Northboro, MA 01532, have invented a certain new and useful invention entitled **DYNAMICALLY ADJUSTABLE HEAD DISK SPACING SLIDER USING THERMAL EXPANSION**, for which an application for Letters Patent of the United States was filed on February 12, 2002 and assigned Application Serial No. 10/076,212, said application being identified as Attorney Docket No. 3123-424/20011.03;

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, **MAXTOR CORPORATION**, a Delaware Corporation having a place of business at 2452 Clover Basin Drive, Longmont, Colorado, 80503, its successors, legal representatives and assigns, the entire right, title and interest in the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues, Reexaminations and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefore to a party other than **MAXTOR CORPORATION** and we are under no obligation to make and shall not make any assignment of the invention, application, or patent therefore to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining, sustaining and enforcing of any and all such Letters Patent and patent rights and in confirming the assignee's exclusive ownership of the invention, without further consideration, but at the expense of said assignee.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to **MAXTOR CORPORATION**, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: 7-12-2002

By: 
 > Robert McKenzie

Date: July 19, 2002 By: Thao Anh Nguyen
> Thao Anh Nguyen

Date: _____ By: _____
> Xiaokun Chew

Date: 07/19/02 By: Gang Herbert Lin
> Gang Herbert Lin

Date: _____ By: _____
> Jack Tsai

Date: _____ By: _____
> Bruce Schardt

Date: _____ By: _____
> Steven Marshall

Date: _____

By: _____

> Thao Anh Nguyen

Date: 7/30/02

By: 

> Xiaokun Chew

Date: _____

By: _____

> Gang Herbert Lin

Date: _____

By: _____

> Jack Tsai

Date: _____

By: _____

> Bruce Schardt

Date: _____

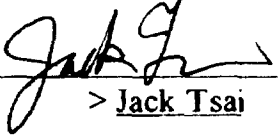
By: _____

> Steven Marshall

Date: _____ By: _____
> Thao Anh Nguyen

Date: _____ By: _____
> Xiaokun Chew

Date: _____ By: _____
> Gang Herbert Lin

Date: 7/29/02 By: 
> Jack Tsai

Date: _____ By: _____
> Bruce Schardt

Date: _____ By: _____
> Steven Marshall

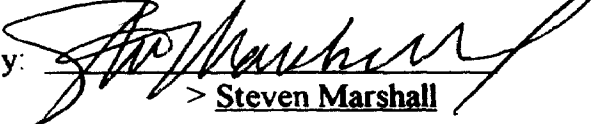
Date: _____ By: _____
> Thao Anh Nguyen

Date: _____ By: _____
> Xiaokun Chew

Date: _____ By: _____
> Gang Herbert Lin

Date: _____ By: _____
> Jack Tsai

Date: _____ By: _____
> Bruce Schardt

Date: 7/8/02 By: 
> Steven Marshall

STATE OF COLORADO)

) ss.

COUNTY OF BAULDER)

Robert McKenzie Before me, a Notary Public in and for said County and State, personally appeared McKenzie, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he or she executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 12th day of July, 2002.

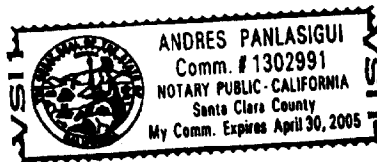
Notary Public

My Commission Expires: 10/29/03

STATE OF California)
COUNTY OF Santa Clara) ss.

Thanh Anh Nguyen Before me, a Notary Public in and for said County and State, personally appeared
and Gang Herbert Lin, known to me to be the person whose name is subscribed to the foregoing instrument, and
acknowledged to me that he or she executed the same for the purposes and considerations therein
expressed.

Given under my hand and seal of office this 19 day of July, 2002.




Notary Public

My Commission Expires:

04-30-2005

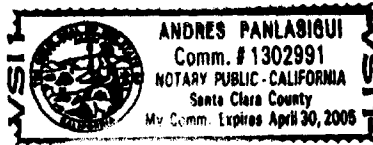
STATE OF California)
) ss.
COUNTY OF Santa Clara)

Before me, a Notary Public in and for said County and State, personally appeared Xigokun Chen, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he or she executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 30 day of July, 2002.

Andres Panlasigui

Notary Public



My Commission Expires:

04-30-2005

STATE OF California)
COUNTY OF Santa Clara) ss.

Jack Tsai, Before me, a Notary Public in and for said County and State, personally appeared Jack Tsai, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he or ~~she~~ executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 25th day of July, 2002.



Prita J. Patel, Notary Public

My Commission Expires:
Oct, 14, 2005.

State of California

County of San Joaquin } ss.

On 07-30-2002, before me, Edward J. Winzinger, Notary Public
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Bruce Schardt
Name(s) of Signer(s)

☐ personally known to me
☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Edward J. Winzinger
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document
 Title or Type of Document: Assignment

Document Date: — Number of Pages: - 2 -

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer
 Signer's Name: _____

☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT OF SIGNER
 Top of thumb here

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PATENT
REEL: 013168 FRAME: 0623

STATE OF

) Massachusetts

COUNTY OF

)

) ss.

Worcester

Steven Marshall Before me, a Notary Public in and for said County and State, personally appeared known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he or she executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 8 day of July, 2002.

Notary Public

My Commission Expires:

MARJORIE A. CREAMER

Notary Public

My Commission Expires March 20, 2003