

In the U

08-13-2002

Trademark Office



102188663

Assistant Commissioner for Patents
Washington, District of Columbia 20231

08/05/02



RECORDATION COVER SHEET

Dear Sir:

Please record the attached original documents

1. Name of conveying party(ies):

YUQIAO LIU, and WEI-SHIN TSAY

2. Name and address of receiving party(ies)

Name: **Alliance Fiber Optic Products, Inc.**

Address: **735 N. Pastoria Avenue
Sunnyvale, CA 94085-2918**

3. Nature of conveyance

Assignment

4. Application number(s) or patent number(s):

If this document is being filed with together with a new application, the execution date of the application is 7/25/2002

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Joe Zheng**

Address: **Pacific Law Group, LLP
7394 Wildflower Way
Cupertino, CA 95014**

6. Total number of applications and patents involved:

One

7. Total fee (37 CFR 3.41)

\$40.00

8. Deposit account number:

N/A

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joe Zheng
Name of Person Signing

Joe Zheng
Signature

8/5/2002
Date

Total number of pages comprising cover sheet = 3

08/08/2002 66EDREG1 00000004 10212317

02 FC:581

40.00 OP

PATENT
REEL: 013168 FRAME: 0739

Assignment

WHEREAS, we, YUQIAO LIU, a citizen of People's Republic of China, of 683 San Juan Dr. #4, Sunnyvale, CA 94085, USA; and WEI-SHIN TSAY, a citizen of United States of America, of 21195 Chiquita Way, Saratoga, CA 95070; have invented certain inventions and improvements disclosed in an application for Letters Patent entitled "Fiber Optic Tunable Add-drop Multiplexer/Demultiplexer", for which an application for a United States Patent executed by us on the 25 day of July, 2002, and is about to be filed in the United States Patent and Trademark Office, and;

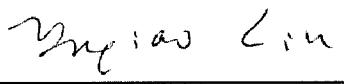
WHEREAS, Alliance Fiber Optic Products, Inc., a corporation of the state of Delaware having a principal place of business at 735 N. Pastoria Avenue Sunnyvale, CA 94085-2918, is desirous of acquiring an interest in the same;

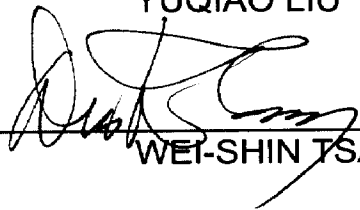
NOW, THEREFORE, for and in consideration of One Dollars (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which we hereby acknowledge, we, YUQIAO LIU, and WEI-SHIN TSAY, by these presents do sell, assign and transfer unto Alliance Fiber Optic Products, Inc., the full, exclusive and entire right, title, and interest in and to said application, in and to any divisions, continuations and reissues thereof, and in and to all inventions and improvements disclosed and described in said application, preparatory to obtaining Letters Patent of the United States therefor; and we hereby request the Commissioner of Patent and Trademarks to issue any and all Letters Patent of the United States resulting from said application, or from a division, continuation, or reissues thereof, to Alliance Fiber Optic Products, Inc., as the assignee, for its interest and for the sole use and benefit of Alliance Fiber Optic Products, Inc, and its assigns and legal representatives.

And we hereby covenant that we have the full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith.

For the same consideration, we, by these presents do sell, assign, and transfer to Alliance Fiber Optic Products, Inc., the full, exclusive, and entire right, title and interest in and to any foreign application or applications corresponding to said application, in whole or in part, in countries other than the United States, in and to any Letters Patent and similar protective rights granted on said foreign applications, and in and to the right to claim any applicable priority arising from or required for said foreign applications under the terms of any applicable conventions, treaties, statutes, or regulations; said foreign applications to be filed and issues in the name of Alliance Fiber Optic Products, Inc., or its designee insofar as permitted by applicable law.

AND, for the same consideration, we agree to sign all lawful papers, execute all division, continuing, reissue and other applications, make all assignments and rightful oaths, and generally do everything possible to aid Alliance Fiber Optic Products, Inc., its successors, assigns, and nominees, to obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.

Executed this 25th day of July, 2002, 
YUQIAO LIU

Executed this 25th day of July, 2002, 
WEI-SHIN TSAY

-end-