

08-13-2002



CTIVE DOCUMENT

Docket No. RD-27,334/USA

102188594

FORM PTO 1595
1-31-92

RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Anil Raj Duggal
Lionel Monty Levinson
Joseph John Shiang

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address: _____

Street Address: One River RoadCity: Schenectady State: NY ZIP: 12345Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☐ Change of Name☒ Other

Corrective document to remove Brown University Research
Foundation, a Providence, Rhode Island Corporation, as a
 receiving party, reel and frame number of the original assignment

011431/0894

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/741,961

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric CompanyInternal Address: CRD Patent Docket Rm. 4A59Bldg. K-1, SalamoneP.O. Box 8Street Address: One Research CircleCity: Schenectady State: NY ZIP: 12301

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41.....\$40.00

☐ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

07-0868

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy
 is a true copy of the original document.

Toan P. Vo
 Name of Person Signing

Tom P. Vo
 Signature

July 16, 2002

Total number of pages including cover sheet, attachments and document 3

OMB No. 0651-0011 (exp 4/94)

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01-19-2001

FORM PTO 1595
1-31-92U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner

101588341

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Anil Raj Duggal
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Joseph John Shiang

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Internal Address: _____

Street Address: One River RoadCity: Schenectady State: NY ZIP: 12345Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

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TOAN P. VO

Name of Person Signing

TOAN P. VO

Signature

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ASSIGNMENT

Docket Number
RD-27334/USA

In consideration of One Dollar and Other good and valuable consideration, of which I acknowledge receipt, I (we)

Anil Raj Duggal
Lionel Monty Levinson
Joseph John Shiang

of Niskayuna, New York
of Schenectady, New York
of Niskayuna, New York


ARD
12/14/01

WLS
my 6/7/02
ARD 5/6/02
12/12/01

6/7/02
5/6/02
ARD
12/14/01

sell and assign to General Electric Company, a New York Corporation [REDACTED]
[REDACTED], its successors and assigns the entire right, title and interest in and to the
improvements in:
ORGANIC ELECTROLUMINESCENT DEVICES WITH ENHANCED LIGHT EXTRACTION

invented by me (us), as described in the application for United States patent

☒ executed concurrently herewith, or
☐ U.S. Serial No. 09/741,961, filed 12/22/00

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

December 14, 2000
Date

Anil Raj Duggal

State of New York SS:
County of Schenectady

This 14th day of December, 2000, before me personally came the

above-named Anil Raj Duggal to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

SUSAN M. ZAMPIER
Seal Notary Public in the State of New York
Qualified in Saratoga County
No. 01ZA5069047

Juan M. Ramirez
(Notary Public)

~~PATENT~~

REEL: 013173 FRAME: 0444

ASSIGNMENT

Docket Number
RD-27334/USA

19 Dec 2000
Date

Lionel Monty Levinson
Lionel Monty Levinson

State of New York) SS:

County of Schenectady)

This 19th day of December, 2000, before me personally came
the above-named Lionel Monty Levinson to me personally known as the
individual who executed the foregoing assignment, who acknowledged to me that he/she executed the
same of his/her own free will for the purposes therein set forth.

JEAN G. MYERS
Notary Public in the State of New York
Qualified in Schenectady Co.
No. 01MY5068850
My Commission Expires Nov. 11, 2002

Seal

Jean G. Myers
(Notary Public)

12/13/2000
Date

Joseph John Shiang
Joseph John Shiang

State of New York) SS:

County of Schenectady)

This 13th day of December, 2000, before me personally came
the above-named Joseph John Shiang to me personally known as the
individual who executed the foregoing assignment, who acknowledged to me that he/she executed the
same of his/her own free will for the purposes therein set forth.

JEAN G. MYERS
Notary Public in the State of New York
Qualified in Schenectady Co.
No. 01MY5068850
My Commission Expires Nov. 11, 2000

Seal

Jean G. Myers
(Notary Public)

Date

State of _____) SS:

County of _____)

This _____ day of _____, 20____, before me personally came
the above-named _____ to me personally known as the
individual who executed the foregoing assignment, who acknowledged to me that he/she executed the
same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

PATENT

RECORDED: 08/06/2002

REEL: 013173 FRAME: 0445