FORM PTO-1619A Expires 06/30/99 OMB 0651-0027 08-14-2002

U.S. Department of Commerce Patent and Trademark Office PATENT

12 19 1:43

RE

192189892 19218M COVER SHEET

MONTOST SELLEN

PATENTS ONLY				
TO: The Commissioner of Patents and Trademarks	: Please record the a	ttached original docume	nt(s) or cop(ies).	
Submission Type	Conveyance T	ype		
\bowtie New $\chi'/\alpha'/2$	Assignment	Security Ag	reement	
Resubmission (Non-Recordation) Document ID#	License Change of Name			
Correction of PTO Error Reel # Frame #	Merger	Other U.S. Governmen		
Corrective Document Reel # Frame #		Use ONLY by U.S. Government Departmental File	Secret File	
Conveying Party(ies)	Mark if additiona	al names of conveying partic	es attached Execution Date Month Day Year	
Name (line1)LI, Zhixin			07092002	
Name (line2)			Function Date	
Second Party Name (line1)			Execution Date Month Day Year	
Name (line2)				
Receiving Party Name (line 1) Ferrotec USA Corp.	1	Mark if additional names of	receiving parties attached If document to be recorded is an assignment and the receiving party is not	
Name (line 2) Address (line 1) 40 Simon Street			domiciled in the United States, an appointment of a domestic representative is attached.	
Address (line 2)			(Designation must be a separate document from Assignment.)	
Address (line 3) Nashua	NH	030		
City State/Country Zip Code Domestic Representative Name and Address Enter for the first Receiving Party only.				
Name				
Address (line 1)				
Address (line 2)				
Address (line 3)				
Address (line 4) 6/14/2002 DBYRNE 00000019 10153433				
FC:581 40.00 OP	FOR OFFICE USE O	NLY		

PATENT

REEL: 013174 FRAME: 0777

FORM PTO-1619B Expires 06/30/99 OMB 0651-0027	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT
Correspondent Name and Address	Area Code and Telephone Number (617)	367-4600
Name Paul E. Kudirka, Es	₫∙	
Address (line 1) Kudirka & Jobse, LL	P	
Address (line 2) One State Street		
Address (line 3) Suite 1510		
Address (line 4) Boston, MA 02109		
Pages Enter the total number of pagincluding any attachments.	es of the attached conveyance document	# 2
Application Number(s) or Patent Nun Enter either the Patent Application Number or the	nber(s) Mark if additional Patent Number (DO NOT ENTER BOTH numbers for the sa	numbers attached
Patent Application Number(s)	Patent Num	ber(s)
10/153433		
If this document is being filed together with a $\underline{\text{new}}$ Pate signed by the first named executing inventor.	nt Application, enter the date the patent application was	Month Day Year 02122094
Patent Cooperation Treaty (PCT)	PCT PCT	PCT
Enter PCT application number only if a U.S. Application Number Has not been assigned.	PCT PCT	PCT
Number of Properties Enter the total	al number of properties involved. # 1	
	or Properties Listed (37 CFR 3.41): \$40.0	O
Deposit Account		
(Enter for payment by deposit account or if addit De		-3038
Au	thorization to charge additional fees: Yes	No 🗌
Statement and Signature		
	belief, the foregoing information is true and co e original document. Charges to deposit acco	
Paul E. Kudirka	Vante. Kudul &	7/7/02
Name of Person Signing	Signature	Date

PATENT --REEL: 013174 FRAME: 0778

ASSIGNMENT OF PATENT APPLICATION

Docket No. F0006/7133

Applicant:

Zhixin Li

Serial No: Filed:

10/153,433 May 22, 2002

For:

FERROFLUIDIC SEAL WITH CONTROLLED LEAKAGE TO MINIMIZE

EFFECTS OF SEAL STAGE BURSTING

Examiner:

Not Yet Assigned

Art Unit:

3676

Whereas I, the undersigned, have made certain inventions or discoveries (or both) set forth in the above-identified application for Letters Patent of the United States of America, and

Whereas Ferrotec USA Corp., a Massachusetts corporation having a place of business at 40 Simon Street, Nahua, New Hampshire 03061, which, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits and privileges hereinafter recited;

Now, therefore, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which is hereby acknowledged, I do hereby, without reservation:

- 1. Assign, transfer and convey to Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, Convention and non-Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, reexaminations, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;
- 2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;
- 3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;
- 4. Warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make,

Assignment 1 of 2

use or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;

5. Bind my heirs, legal representatives and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to myself or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by my heirs, legal representatives and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents. photographs, models, samples and other physical exhibits in my control or in the control of my heirs, legal representatives or assigns which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of

establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which I have executed this Assignment of Patent Application on the date indicated next to my name.

Date: 07/09/07

Zhixin Li
7 Garrison Farm Road, Hudson, New Hampshire 03051

State of 10 Hours August 10 Defore me appeared Zhixin Li, to me known and known to me to be the person described in, and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

Known to me personally | Identification produced: | Identification pro

Additional assignor name sheets attached.

RECORDED: 08/12/2002

Assignment 2 of 2