

08-14-2002

U.S. DEPARTMENT OF COMMERCE



1 SHEET

Patent and Trademark Office
Docket No. 146712003600

102189712

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 08/05/02
- a) Zhong Stella WU
b) Qixu David CHEN
c) Samuel Dacke HARKNESS

- ☒ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☐ Corporation-State ☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **Seagate Technology LLC**
Internal Address:
Street Address: **920 Disc Drive**
City: **Scotts Valley** State: **California** ZIP: **95066**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: a) August 2, 2002

4. Application number(s) or patent number(s):

10/211331
If this document is being filed together with a new application, the execution date of the application is: August 2, 2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Raj S. Davé
Morrison & Foerster LLP
2000 Pennsylvania Avenue, N.W.
Washington, D.C. 20006-1888

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account, referencing
Attorney Docket 146712003600

8. Deposit account number: **03-1952**The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to **Deposit Account No. 03-1952**.**DO NOT USE THIS SPACE**

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Raj S. Davé
Registration No: 42,465

Signature

August 5, 2002

Date

Total number of pages comprising cover sheet, attachments and document: 3

Mail documents to be recorded with required cover sheet information to:

U.S. Patent and Trademark Office
Office of Public Records
Box Assignments
Crystal Gateway 4, Room 335
Washington, D.C. 20231

08/13/2002 6TDM11 00000150 031952 10211331

01 FC:501 40.00 CH

dc-327885

PATENT
REEL: 013174 FRAME: 0970

ASSIGNMENT

Attorney Docket No. :146712003600

Seagate Docket No. : STL3157.01

WHEREAS, I/we JI/we Zhong Stella WU of 45130 Pawnee Drive, Fremont, CA 94539; Qixu David CHEN of 1630 Tahoe Drive, Milpitas, CA 95035 and Samuel Dacke HARKNESS of 2575 Diamond St., San Francisco, CA 94131 are the inventor(s) of an invention entitled **RECORDING MEDIUM WITH A VARYING COMPOSITION UNDERLAYER** that is the subject matter of: (check all that apply)

- ☐ a provisional application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application No. _____ filed on _____;
- ☒ an application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application No. _____ filed on _____; and
- ☐ an international application for Letters Patent filed pursuant to the Patent Cooperation Treaty which is identifiable in the United States Receiving Office by Application No. _____ filed on _____; and

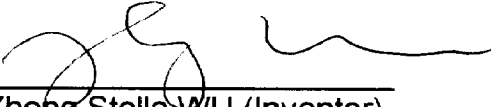
WHEREAS, Seagate Technology LLC, a limited liability company organized and existing under the laws of the State of Delaware and the United States of America, and having offices at 920 Disc Drive, Scotts Valley, California, 95066 USA, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the applications, and any and all Letters Patent or similar foreign or domestic legal protection;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/we transfer to Assignee, its successors and assigns, my/our entire right, title and interest in and to the invention, the above-identified applications, all provisional applications from which any of the above-identified applications claim priority, corresponding domestic and foreign applications, any continuation, division, renewal, or substitute for the applications, all Letters Patent, any reissue, re-examination, or similar legal protection issuing related to the Letters Patent, and all rights and benefits under any applicable treaty or convention; and I/we authorize the Director of the United States Patent and Trademark Office or foreign equivalent to issue the Letters Patent or similar legal protection to the Assignee.

I/We authorize the Assignee, its successors and assigns, to insert in this instrument the filing date(s) and application numbers when ascertained. I/We further authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries and appoint Assignee the common representative in the above identified international application and any international application for the invention.

I/We represent to the Assignee, its successors and assigns, that I/we have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I/We, my/our executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute and deliver to Assignee or its legal representatives such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal

protection on the invention in any and all countries, including without limitation providing testimony in any related interference, litigation or proceeding.


Zhong Stella WU (Inventor)

Subscribed and sworn to before me at Fremont, California, a Notary Public, this 2 day of August, 2002.

(SEAL)

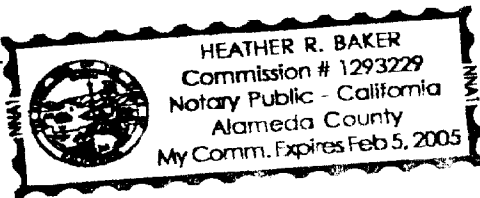



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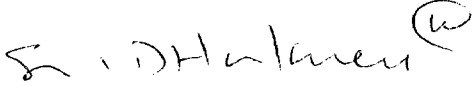

Qixu David CHEN (Inventor)

Subscribed and sworn to before me at Fremont, California, a Notary Public, this 2 day of August, 2002.

(SEAL)

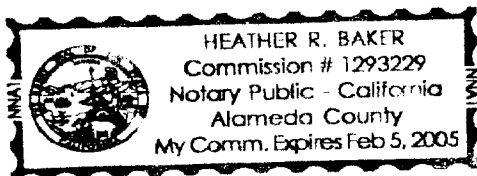


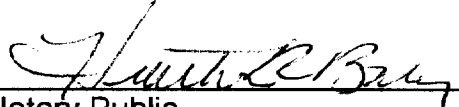

Notary Public


Samuel Dacke Harkness (Inventor)

Subscribed and sworn to before me at Fremont, California, a Notary Public, this 2 day of August, 2002.

(SEAL)




Notary Public