

08-14-2002

Docket No.: 612-05-CIP



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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David A. FERRERA; George R. GREENE, Jr.; Brian J. COX; and Robert F. ROSENBLUTH

08/12/02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: MicroVention, Inc.

Address: 72 Argonaut

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Aliso Viejo

State/Prov.: CA

Country: USA

ZIP: 92656

Execution Date: 7/23/02; 7/23/02 ; 7/23/02; 7/23/02

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

10/143,724

May 10, 2002

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Howard J. Klein

Registration No. 28,727

Address: Klein, O'Neill & Singh

2 Park Plaza, Ste. 510

08/13/2002 TBIAZI 00000086 10143724

01 FC:581

40.00 CP

City: Irvine

State/Prov.: CA

Country: USA

ZIP: 92614

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

11-1159

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Howard J. Klein

Name of Person Signing

Signature

Date

5

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 013175 FRAME: 0826

ASSIGNMENT

WHEREAS, David A. FERRERA, George R. GREENE, Jr., Brian J. COX and Robert F. ROSENBLUTH, all Citizens of the United States of America, residing at 2001 Lynngrove Drive, Manhattan Beach, California 90266; 3019 Java Road, Costa Mesa, California 92626; 3 Novilla, Laguna Niguel, California 92677 and 24161 Cherry Hills Place, Laguna Niguel, California 92677, United States of America, respectively (hereinafter referred to as **ASSIGNORS**), have invented and own a certain invention entitled: **MECHANISM FOR THE DEPLOYMENT OF ENDOVASCULAR IMPLANTS** for which application for Letters Patent of the United States was filed on May 10, 2002 as Application No. 10/143,724; and,

WHEREAS, MicroVention, Inc., a corporation of Delaware having a place of business at 72 Argonaut, Aliso Viejo, California 92656, United States of America (hereinafter referred to as **ASSIGNEE**), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefore in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by **ASSIGNEE** to **ASSIGNORS** of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, **ASSIGNORS** hereby sell, assign and transfer to **ASSIGNEE** the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in the foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, continuation-in-part, division, renewal, substitute or reissue thereof or any legal equivalent thereof in any foreign country for the full term or terms for which the same may be granted, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said invention in any country or countries foreign to the United States, and all Letters Patent which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals, and reissues thereof; and **ASSIGNORS** hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said invention to **ASSIGNEE**.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNORS further covenant that **ASSIGNEE** will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to **ASSIGNORS** and will testify as to the same in any interference or litigation related thereto and

will promptly execute and deliver to **ASSIGNEE** or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in the United States of America and in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 23rd day of July 2002. @ 7-23-02



David A. FERRERA

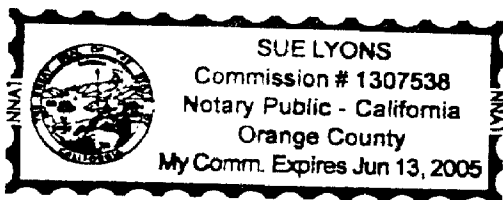
State of California)
) ss.:
County of Orange)

On this 23rd day of July, 2002, before me, Sue Lyons,
a Notary Public in and for the State and County aforesaid, personally appeared **David A. FERRERA**, /☒/ personally known to me; or /___/ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

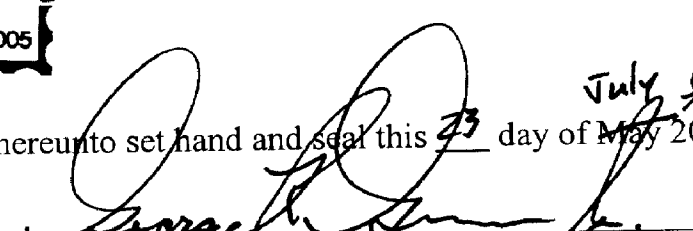
WITNESS my hand and official seal:


Notary Public

SEAL:



IN WITNESS WHEREOF, I have hereunto set hand and seal this 23 day of July 2002. @ 7/23/02

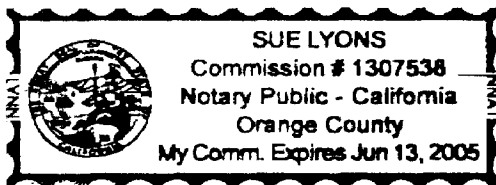

George R. GREENE, Jr.

State of California)
) ss.:
County of Orange)

On this 23rd day of July ^{@ 7-23-02} ~~May~~, 2002, before me, Sue Lyons,
a Notary Public in and for the State and County aforesaid, personally appeared **George R. GREENE, Jr.**, /☒/ personally known to me; or /___/ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal:

SEAL:



Sue Lyons
Notary Public

IN WITNESS WHEREOF, I have hereunto set hand and seal this 23 day of July ^{3 7/23/02} ~~May~~ 2002.

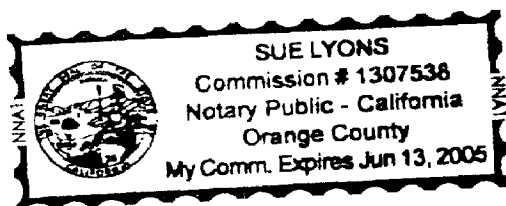
Brian J. COX
Brian J. COX

State of California)
) ss.:
County of Orange)

On this 23rd day of July ^{@ 7-23-02} ~~May~~, 2002, before me, Sue Lyons,
a Notary Public in and for the State and County aforesaid, personally appeared **Brian J. COX**,
/input checked="" type="checkbox"/ personally known to me; or /___/ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal:

SEAL:



Sue Lyons
Notary Public

IN WITNESS WHEREOF, I have hereunto set hand and seal this ^{July 23rd} ~~23~~ day of ~~May~~ 2002.

Robert F. Rosenbluth
Robert F. ROSENBLUTH

State of California)
) ss.:
County of Orange)

On this ^{July 23rd} ~~23~~ day of ~~May~~, 2002, before me, Sue Lyons,
a Notary Public in and for the State and County aforesaid, personally appeared **Robert F. ROSENBLUTH**, /☒/ personally known to me; or /___/ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal:

Sue Lyons
Notary Public

SEAL:

