

08-14-2002

Form PTO-1595  
(Rev. 03/01)

RECOI



U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

102190140

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Walter BRIEDEN  
Michael GOTTSPONER

*08/12/02*

2. Name and address of receiving party(ies)

Name: LONZA AG

Internal Address: \_\_\_\_\_

Street Address: Munchensteinerstrasse 38

City: Basel State: \_\_\_\_\_ Zip: CH-4052

SWITZERLAND

Additional name(s) & address(es) attached?  Yes  No

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: June 21 and 24, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/148,190

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Fisher Christen & Sabol

Internal Address: \_\_\_\_\_

Street Address: 1725 K Street, N.W.

Suite 1401

City: Washington State: DC Zip: 20006

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

DO NOT USE THIS SPACE

9. Signature.

Virgil H. Marsh  
Name of Person Signing

*Virgil H. Marsh*  
Signature

August 7, 2002  
Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

08/13/2002 TDIAZ1 00000087 10148190

01 FC:581

40.00 DP

PATENT  
REEL: 013175 FRAME: 0936

**WHEREAS**

We: Walter BRIEDEN, a German citizen residing at Grundbielstrasse 9, CH-3902 Brig-Glis, SWITZERLAND  
Michael GOTTSPONER, a Swiss citizen, residing at Dorfstrasse, CH-3932 Visperterminen, SWITZERLAND

have invented certain new and useful improvements in \_\_\_\_\_  
PYRONE DERIVATIVES AND PROCESS FOR THEIR PREPARATION  
for which we ma de application for LETTERS PATENT OF THE UNITED STATES, which application has  
been duly executed by us on June 6, 2002 and was given U.S. Serial No. 10/148,190.

**And Whereas,** LONZA AG, organized and existing under the laws of Switzerland,  
having its principal place of business at Münchensteinerstrasse 38, CH-4052 Basel, Switzerland.

hereinafter called the assignee, is desirous of acquiring the entire right, title and interest in and to said invention  
within the United States of America and its territorial possessions and any United State LETTERS PATENT that  
may be granted therefor.

Now, **this Indenture Witnesseth.** That for and in consideration of the sum of twenty Dollars, in  
hand paid to us, and other good and valuable considerations, the receipt whereof is hereby acknowledged,  
we have assigned, sold and transferred, and do hereby assign, sell and transfer to the said assignee the  
entire right, title and interest in and to the said invention, within the United States of America and its territorial  
possessions, and in and to any subsequent application for LETTERS PATENT of the United States, and in and to all  
divisions and continuations of any of said application, and in and to any LETTERS PATENT of the United States  
and all reissues, reexaminations, and extensions thereof that may be granted, together with the right to extend the  
protection of said U.S. LETTERS PATENT to the various territorial possessions now owned or which may be  
hereafter acquired by the United States of America, all said rights to be held and enjoyed by the assignee for its own  
use and behoof, and for the use and behoof of its successors or assigns, to the full end of the respective terms for  
which said LETTERS PATENT may be granted, as fully and entirely as the same would have been held and enjoyed  
by us if this assignment sale had not been made. And we do hereby request and authorize that any  
and all LETTERS PATENT, when granted, be issued in accordance with this assignment to the assignee.

In further consideration of said sum of twenty Dollars, and said other good and valuable  
considerations, to us paid, we covenant and agree with the assignee that we have a full and  
unincumbered title to the invention hereby assigned, which title we warrant unto the assignee, and we  
further agree that we will, without demanding any further consideration therefor, at the request but at the  
expense of the assignee, do all lawful and just acts, including the execution and acknowledgment of instruments,  
furnishing of information and giving of testimony, that may be or become necessary for obtaining, sustaining,  
reexamining or reissuing said United States LETTERS PATENT for the said invention, and for maintaining and  
perfecting the assignee's right to said invention and said LETTERS PATENT, particularly in cases of interference  
and litigation.

In Testimony Whereof, I have hereunto set my hand and affixed my seal, this 21<sup>st</sup> day of  
June, 2002.

  
[SEAL.]  
Walter BRIEDEN

\*\*\*\*\*

In Testimony Whereof, I have hereunto set my hand and affixed my seal, this 24<sup>th</sup> day of  
June, 2002.

  
[SEAL.]  
Michael GOTTSPONER