

08-14-2002

Atty. Docket No.: 0941-0521P

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To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof.

JC914 U.S. PTO
10/21/02
08/08/02

1. Name of conveying party(ies):

[FAMILY NAME (ALL CAPS), Given Name]

WU, Yung-Hsien

LEE, Cheng-Che

Additional name(s) of conveying party(ies) attached?

☐ YES ☒ NO

2. Name and address of receiving party(ies)

Name: ProMOS Technologies Inc.

Internal Address:

Street Address: 3F, No. 19, Li Hsin Rd.,

Science-Based Industrial Park

City: Hsinchu

State:

ZIP:

Country: TAIWAN, R.O.C. Postal Code:

Additional name(s) & address(es) attached? ☐ YES ☒ NO

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

Execution Date: July 19, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: July 19, 2002

A. Patent Application No(s).

New

B. Patent No.(s).

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: BIRCH, STEWART, KOLASCH & BIRCH, LLP

Street Address: P.O. BOX 747

City: FALLS CHURCH State: VA ZIP: 22040-0747

Country: USA

6. Total No. of applications/patents involved: One (1)

7. Total fee (37 C.F.R. § 3.41): \$40.00

☒ Enclosed☒ Authorized to be charged to deposit account,
if no fee attached.

8. Deposit account number: 02-2448

(Attach triplicate copy of this page
if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joe McKinney Muncy, #32,334

Name of Person Signing/Reg. No.

Joe McKinney Muncy
Signature

August 8, 2002

Date

Total number of pages including cover sheet, attachments, and document: Two (2)

KM/nv

(Rev. 02/11/02)

08/12/2002 GBEBREGI 00000014 10214191

02 FC:581

40.00 OP

PATENT
REEL: 013178 FRAME: 0871

ASSIGNMENT

WHEREAS, Yung-Hsien WU and Cheng-Che LEE
hereafter referred to as ASSIGNOR, has/have invented certain new and useful improvements as
described and set forth in the below identified application for United States Letters Patent:

Title: METHOD OF FORMING A CAPACITOR DIELECTRIC STRUCTURE

Filed: _____ Serial No. _____

Executed on: July 19, 2002

WHEREAS, ProMOS Technologies Inc. of 3F, No. 19, Li Hsin Rd.,
Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C. hereinafter
referred to as ASSIGNEE, is desirous of acquiring ASSIGNOR'S interest in the said invention and
application and in any U.S. Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and
valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has/have
sold, assigned and transferred, and by these presents does/do sell, assign and transfer unto the said
Assignee, and Assignee's successors and assigns, all his/her/their rights, title and interest in and to
the said invention and application and all future improvements thereon, and in and to any Letters
Patent which may hereafter be granted on the same in the United States, the said interest to be held
and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by
said Assignor had this Assignment and transfer not been made, to the full end and term of any
Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or
in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees/agree that he/she/they will, without charge to said Assignee,
but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or
applications, execute, verify, acknowledge and deliver all such further papers, including
applications for Letters Patent and for the reissue thereof, and instruments of assignment and
transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or
maintain Letters Patent for said invention and improvement, and to vest title thereto in said
Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has/have signed his/her/their name(s) on the date(s)
indicated.

Yung-Hsien Wu

Yung-Hsien WU

Cheng Che Lee

Cheng-Che LEE

2002. 7. 19

Date:

2002. 7. 19

Date: