

08-16-2002



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To the Honorable Commissioner of Patents and Trademarks, U.S. Patent and Trademark Office, Washington, D.C. 20231
Original documents or copy thereof.

1. Name of conveying party(ies):

HOFEN, Willi
THIELE, Georg
WÖLL, Wolfgang

8-13-02

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 06/06/2002

2. Name and address of receiving party(ies)

Name: DEGUSSA AG

Internal Address: _____

Street Address: Bennigsenplatz 1

City: Düsseldorf Country: Germany Zip: DE-40474

Additional Name(s) & address(es) attached? ☒ Yes ☐ No

OFFICE OF PUBLIC RECORDS
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FINANCE SECTION

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution data of the application is: _____

A. Patent Application No.(s)

10/174,549

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Robert G. Weilacher, Esq.

Internal Address: Smith, Gambrell & Russell, LLP

Suite 3100, Promenade II

Street Address: 1230 Peachtree Street, N.E.

City: Atlanta State: GA Zip: 30309-3592

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert G. Weilacher, Reg. No. 20,531

Name of Person Signing

Signature

August 2002
Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231

08/15/2002 LNUELLER 00000149 10174549

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40.00 DP

PATENT
REEL: 013183 FRAME: 0421

**Attachment to Recordation Form Cover Sheet
to Record Assignment
between
HOFEN, Willi et al.
(Conveying Parties)
and
DEGUSSA AG and KRUPP UHDE GMBH
(Receiving Parties)**

2. Name and address of receiving party(ies):

Name: Krupp Uhde GmbH
Street Address: Friedrich-Uhde-Strasse 15
City/Country: D-44141 Dortmund, Germany

LIT/770522.1

ASSIGNMENT

This assignment agreement is applicable to an invention entitled (Invention Title)

PROCESS FOR THE RECOVERY OF COMBUSTIBLE COMPONENTS OF A GAS STREAM

The PATENT PROPERTY referred to in this agreement is:

(check one) ☒ a patent application for this invention, executed by the ASSIGNOR(S) concurrently with this assignment.

- ☐ U.S. patent application Serial No. _____, filed _____.
- ☐ a U.S. patent application based on PCT International Application No. _____ filed on (date) _____ (U.S. application Serial No. _____, if known).
- ☐ U.S. patent No. _____, issued _____.

The PATENT PROPERTY also includes all divisions, reissues, continuations and extensions of the patents and patent applications identified above.

The PATENT PROPERTY assigned under this agreement is:

- (check one) ☒ U.S. patent property only.
- ☐ Worldwide patent property. In this case, the assignee(s) shall have the right to claim the benefit of the filing date of any U.S. or foreign patent application for this invention.

The term ENTIRE PATENT RIGHTS identifies the following rights:

the full and exclusive right to the invention;
the entire right, title and interest in and to the PATENT PROPERTY;
the right to sue and recover for any past infringement; and,
the right to claim priority under 35 USC 119, 35 USC 120, or any other applicable provisions, based on any earlier patent applications for this invention.

The ASSIGNOR(S) referred to in this agreement is/are identified on page 2 of this Assignment and any Supplemental Sheet(s).

The following ASSIGNEE is the recipient of 1/2 of the rights assigned herein:

(Name of Assignee) **Degussa AG**
(Address) **Bennigsenplatz 1,
DE-40474 Dusseldorf, GERMANY**

This ASSIGNEE is:

- (check one) ☐ An Individual.
- ☐ A Partnership.
- ☒ A Corporation of Germany (specify state or country)
- ☐ (other) _____

The following ASSIGNEE (the second assignee, if any) is the recipient of 1/2 percent of the rights assigned herein:

(Name of Assignee) **Krupp Uhde GmbH**
(Address) **Friedrich-Uhde-Strasse 15,**
D-44141 Dortmund, GERMANY

This second ASSIGNEE is:

(check one) ☐ An Individual.
☐ A Partnership.
☒ A Corporation of Germany (specify state or country)
☐ (other) _____

The ASSIGNOR(S), in consideration of \$10.00 paid by the ASSIGNEE(S), and other good and valuable consideration, receipt of which is acknowledged, hereby assign(s) to the ASSIGNEE(S), its/their successors and assigns, the above stated percentages of the ENTIRE PATENT RIGHTS.

As to all U.S. patent applications assigned under this agreement, the ASSIGNOR(S) hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue all Letters Patent to the ASSIGNEE(S) as the assignee(s) of the right, title and interest, for the use and enjoyment of said ASSIGNEE(S), its/their successors and assigns.

Further, the ASSIGNOR(S) agree(s) to communicate to said ASSIGNEE(S), or its/their representatives, any facts known to the ASSIGNOR(S) respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause any and all Letters Patent to be issued to said ASSIGNEE(S), make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE(S), its/their successors and assigns, to obtain and enforce proper protection for said invention.

The ASSIGNOR(S) authorize(s) any attorneys and agents who have a power of attorney in this application to check any appropriate boxes and to insert the Serial Number and filing date in this document after it has been executed.

Signature

Date

In the Presence of:

Willi Hofen
Willi HOFEN

06-06-2002

Georg Thiele
GEORG THIELE

Georg Thiele
Georg THIELE

06-06-2002

Wolfgang Woll
WOLFGANG WOLL

Wolfgang Woll
Wolfgang WOLL

06-06-2002

Georg Thiele
GEORG THIELE