



RECC

08-16-2002



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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

T

Attorney Docket No.: 044574-5098-US

To the Assistant Commissioner for Patents:
Please record the attached original documents or copy thereof.

ATTN: BOX ASSIGNMENT

1. Name of conveying party(ies):

8-12-02

Dario C. Altieri, executed on March 11, 2002
Robert M. Weiss, executed on March 16, 2002
Shannon D. Smith (deceased),
executor Victor A. Morris, executed on April 19, 2002
Marcia A. Wheeler, executed on March 28, 2002
Janet Plescia, executed on March 12, 2002

2. Name and address of receiving party(ies):

Name: Yale University

Street Address: Two Whitney Avenue

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

City: New Haven

State: CT

Zip: 06520

Additional name(s) & address(es) attached?

☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application the execution date of the application is:

A. Patent Application No.(s) 10/042,302

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael S. Tuscan, Ph.D.
Internal Address: Customer No. 09629
Morgan, Lewis & Bockius LLP

Street Address: 1111 Pennsylvania Avenue, NW
City: Washington State: D.C. Zip: 20004

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00
☒ Authorized to be charged to deposit account 50-0310

8. Deposit account number: 50-0310
Attach duplicate of page if paying by deposit account

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sally P. Teng, Reg. No. 45,397
Name of Person Signing

Sally P. Teng
Signature

August 12, 2002
Date

08/15/2002 DBYRNE 00000069 500310 10042302

01 EC 581 40.00 CH

Total number of pages including cover sheet, attachments and documents: 11

ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

Detection of Survivin in the Biological Fluids of Cancer Patients

for which I/WE executed an application for United States Letters Patent on **January 11, 2002**; and

WHEREAS, **Yale University**, a corporation of **Connecticut**, whose post office address is **Two Whitney Ave., New Haven, CT 06520** (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, I/WE, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/WE have the full right to convey the interest assigned by this Assignment, and I/WE have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with assignee, its successors and assigns, any facts known to ME/US respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

AND, I/WE HEREBY authorize and request the attorneys I/WE have empowered in the Declaration and Power of Attorney in this application, to insert here in parentheses (Application No. _____, filed **January 11, 2002**) the filing date and application number of said application when known.

IN TESTIMONY WHEREOF, I/WE have hereunto set our hand(s).

County of <u>New Haven</u>	<u>Dario C. ALTIERI</u>
State of <u>Connecticut</u>	Full Name of Sole or First Assignor
	100 Reservoir Street
	Hamden, CT 06517
	Address
SS.	<u>[Signature]</u>
	First Assignor's Signature
	<u>3/11/02</u>
	Date
Subscribed and sworn to before me this <u>11</u> day of <u>March</u> , 2002	
<u>[Signature]</u>	
My commission expires <u>March 31, 2003</u>	
Names of additional inventors attached <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

County of _____

State of _____

Robert M. WEISS

Full Name of Second Assignor

41 Old Orchard Road

North Haven, CT 06473

SS.

Address

Subscribed and sworn to before me
this __ day of _____, 20__.

Second Assignor's Signature

Notary Public

Date

County of _____

State of _____

Shannon D. SMITH

Full Name of Third Assignor

320 Dronara Road

Guilford, CT 06437

SS.

Address

Subscribed and sworn to before me
this __ day of _____, 20__.**Executor: Victor A. Morris** Third Assignor's Signature_____
Notary Public

Date

County of _____

State of _____

Marcia A. WHEELER

Full Name of Forth Assignor

41 Orchard Hill Road

Branford, CT 06405

SS.

Address

Subscribed and sworn to before me
this __ day of _____, 20__.

Forth Assignor's Signature

Notary Public

Date

County of _____

State of _____

Janet PLESCIA

Full Name of Fifth Assignor

72 Skyline Drive

Meriden, CT 06451

SS.

Address

Subscribed and sworn to before me
this __ day of _____, 20__.

Fifth Assignor's Signature

Notary Public

Date

Names of additional inventors attached ☐ Yes ☐ No

Morgan, Lewis & Bockius LLP

original

ATTORNEY DOCKET NO.: 044574-5098-US
SOLE/JOINT INVENTION
(Worldwide Rights)

ASSIGNMENT

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AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with assignee, its successors and assigns, any facts known to ME/US respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

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State of _____	<u>100 Reservoir Street</u> <u>Hamden, CT 06517</u> Address
SS.	_____
Subscribed and sworn to before me this ____ day of _____, 20____.	First Assignor's Signature
_____ Notary Public	_____ Date
Names of additional inventors attached <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

County of New HavenState of CTSubscribed and sworn to before me
this 16th day of March, 2002[Signature] Notary Public
My Comm. Expires 11/30/06**Robert M. WEISS**

Full Name of Second Assignor

41 Old Orchard Road

North Haven, CT 06473

SS.

Address

[Signature]
Second Assignor's Signature3/16/02

Date

County of _____

State of _____

Subscribed and sworn to before me
this ____ day of _____, 20__._____
Notary Public**Shannon D. SMITH**

Full Name of Third Assignor

320 Dronara Road

Guilford, CT 06437

SS.

Address

Executor: Victor A. Morris Third Assignor's Signature_____
Date

County of _____

State of _____

Subscribed and sworn to before me
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Notary Public**Marcia A. WHEELER**

Full Name of Forth Assignor

41 Orchard Hill Road

Branford, CT 06405

SS.

Address

Forth Assignor's Signature_____
Date

County of _____

State of _____

Subscribed and sworn to before me
this ____ day of _____, 20__._____
Notary Public**Janet PLESCIA**

Full Name of Fifth Assignor

72 Skyline Drive

Meriden, CT 06451

SS.

Address

Fifth Assignor's Signature_____
DateNames of additional inventors attached ☐ Yes ☐ No

Morgan, Lewis & Bockius LLP

Original

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	100 Reservoir Street
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SS.	Address
Subscribed and sworn to before me this ____ day of _____, 20____.	First Assignor's Signature
____ Notary Public	Date
Names of additional inventors attached [X] Yes [] No	

County of _____

State of _____

Robert M. WEISSFull Name of Second Assignor
41 Old Orchard Road
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Second Assignor's Signature

____ Notary Public

Date

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State of _____

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____ Notary Public

4-19-2002

Date

County of _____

State of _____

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____ Notary Public

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County of _____ State of _____ Subscribed and sworn to before me this ____ day of _____, 20____. _____ Notary Public	<div style="text-align: center;"> Dario C. ALTIERI Full Name of Sole or First Assignor 100 Reservoir Street Hamden, CT 06517 Address </div> <div style="text-align: center; margin-top: 20px;"> _____ First Assignor's Signature </div> <div style="text-align: center; margin-top: 20px;"> _____ Date </div>
SS.	
Names of additional inventors attached [X] Yes [] No	

County of _____

State of _____

Robert M. WEISS

Full Name of Second Assignor

41 Old Orchard Road

North Haven, CT 06473

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this ____ day of _____, 20__.

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____ Notary Public

Date

County of _____

State of _____

Shannon D. SMITH

Full Name of Third Assignor

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Guilford, CT 06437

SS.

Address

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this ____ day of _____, 20__.**Executor: Victor A. Morris**

Third Assignor's Signature

____ Notary Public

Date

County of New HavenState of Connecticut**Marcia A. WHEELER**

Full Name of Forth Assignor

41 Orchard Hill Road

Branford, CT 06405

SS.

Address

Subscribed and sworn to before me
this 28 day of March, 2002.Marcia A. Wheeler
Forth Assignor's SignatureKelly A. Kunst Notary Public3/28/02

Date

County of _____

State of _____

Janet PLESCIA

Full Name of Fifth Assignor

72 Skyline Drive

Meriden, CT 06451

SS.

Address

Subscribed and sworn to before me
this ____ day of _____, 20__.

Fifth Assignor's Signature

____ Notary Public

Date

Names of additional inventors attached ☐ Yes ☐ No

Morgan, Lewis & Bockius LLP

Original

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_____ Notary Public	_____
Date	
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County of New HavenState of Connecticut**Janet PLESCIA**

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Meriden, CT 06451

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Address

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this 12 day of March, 2002.

Fifth Assignor's Signature

3/12/02

Date

Names of additional inventors attached ☐ Yes ☐ No

Morgan, Lewis & Bockius LLP