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(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

DOCKET - G&C 30435.128-US-U1

T

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Patent and Trademark Office

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1. Name of conveying party(ies):

- (1) CHARLES L. SAWYERS
- (2) MERCEDES E. GORRE
- (3) NEIL PRAVIN SHAH
- (4) JOHN NICOLL

8-13-02

2. Name and address of receiving party(ies):

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
1111 FRANKLIN STREET, 12th FLOOR
OAKLAND, CALIFORNIA 94607

Additional name(s) of conveying party(ies) attached? ☒ No

Additional name(s) & address(es) attached? ☒ No

3. Nature of conveyance:

☒ Assignment

Execution Date: (1) July 17, 2002, (2) July 17, 2002, (3) July 17, 2002, (4) July 23, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

U.S.S.N. 10/171,889

Filed June 14, 2002

Additional numbers attached?

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William J. Wood
Address: GATES & COOPER LLP
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$40 enclosed

☒ Assignment recordation fee is to be charged to a Credit Card. PTO-2038 Credit Card Payment Form is enclosed.

8. Please charge any additional fees or credit any overpayments to Deposit Account No. 50-0494.

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William J. Wood

Name of Person Signing

Signature

August 8, 2002

Date

Total number of pages including cover sheet, attachments, and document: Two

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PATENT
REEL: 013186 FRAME: 0384

ASSIGNMENT

U.C. Case No. 2001-400-3

G & C Case No. G&C 30435.128-US-U1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- | | |
|------------------------------|-----------------------------|
| 1. <u>CHARLES L. SAWYERS</u> | 2. <u>MERCEDES E. GORRE</u> |
| 3. <u>NEIL PRAVIN SHAH</u> | 4. <u>JOHN NICOLL</u> |

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, 12th Floor, Oakland, California 94607, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

MUTATION IN THE BCR-ABL TYROSINE KINASE THAT CONFERS RESISTANCE TO STI-571

and which is found in

 X U.S. application serial no. 10/171,889, filed on June 14, 2002

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner for Patents to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

17 day of July, 2002

17 day of July, 2002

17 day of July, 2002

23 day of July, 2002

Signature of Inventor(s)

Charles L. Sawyer
CHARLES L. SAWYERS

Mercedes E. Gorre
MERCEDES E. GORRE

Neil Pravin Shah
NEIL PRAVIN SHAH

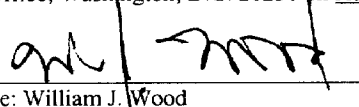
John Nicoll
JOHN NICOLL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Charles L. Sawyers et al. Examiner: N/A
Serial No.: 10/171,889 Group Art Unit: N/A
Filed: June 14, 2002 Docket: G&C 30435.128-US-U1
Title: MUTATIONS IN THE BCR-ABL TYROSINE KINASE ASSOCIATED WITH RESISTANCE TO STI-571

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Director – United States Patent and Trademark Office, Washington, D.C. 20231 on August 8, 2002.

By: 
Name: William J. Wood

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Director – United States Patent and Trademark Office
Washington, D.C. 20231

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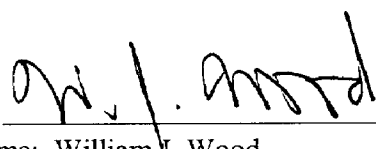
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- ☒ Assignment of the invention to THE REGENTS OF THE UNIVERSITY OF CALIFORNIA and a corresponding Recordation Form Cover Sheet.
- ☒ Power of Attorney by Assignee and Exclusion of Inventor(s) under 37 C.F.R. 3.71.
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Please charge any requisite additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462
GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: 
Name: William J. Wood
Reg. No.: 42,236
WJW/io

(PTO TRANSMITTAL - GENERAL)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Charles L. Sawyers et al. Examiner: N/A
Serial No.: 10/171,889 Group Art Unit: N/A
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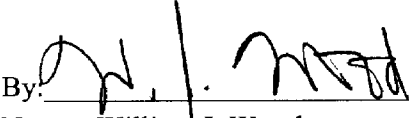
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Reg. No.: 42,236
WJW/io

(PTO TRANSMITTAL - GENERAL)

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RECORDED: 08/13/2002

PATENT
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