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08-16-2002



DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ET

To the Honorable Commissioner of Patent

102193404

1 original documents or copy thereof.

1. Name of conveying party(ies):

Maximilian BENDIX

2. Name and address of receiving party(ies):

Name: BASF Coatings Aktiengesellschaft

Internal Address: Patent Department

Street Address: Glasuritstrasse 1

48165 Muenster-Hiltrup, GERMANY

Additional numbers attached?

☒

Yes

☐

No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

☐

Execution Date: ( 08/05/02; 06/05/2002; 06/05/2002; Additional numbers attached? day/month/year) May 14, 2002

☐

Yes

☒

No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

5/29/02

A. Patent Application No.(s)

B. Patent No.(s)

Attorney's Docket No. IN-5593

Application No. 01

10/148 428

Additional numbers attached?

☐

Yes

☒

No

5. Name and address of Party to whom correspondence concerning document should be mailed:

Name: Michael F. Morgan, 42,906; Anne Gerry Sabourin, 33,772; Mary E. Golota, 36,814

Internal Address: BASF Corporation

Street Address: 26701 Telegraph Road

City: Southfield State: Michigan Zip: 48034-2442 Phone: (248) 948-2355

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41):.....\$40.00

8. Deposit account number: 23-3425

Enclosed

Authorized to be charged to deposit account

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

06/04/2002 GFREY1 00000120 233425 10148428

03 FC:581 40.00 CH

Michael F. Morgan, 42,906

Name of Person Signature

Michael Morgan 5-29-02

Signature

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. E U350 748 885US addressed to the Commissioner for Patents, Washington, D.C. 20231 on Wednesday, May 29, 2002  
Date Above

-8-

Total number of pages comprising cover sheet:

IN-5593

**SECOND PAGE OF RECORDATION FORM COVER SHEET**  
**Patent only**

**Additional Name(s) of Conveying Party(ies)**

2. Reinhold CLAUSS
3. Ralf NICKOLAUS
4. Wolfgang BREMSER
- 5.
- 6.
- 7.

## ASSIGNMENT

WHEREAS, I (WE), Maximilian BENDIX, Reinhold CLAUSS, Ralf NICKOLAUS and Wolfgang BREMSER residing at Heideggerstrasse 37, 48165 Münster, Federal Republic of Germany; An der Landwehr 1, 48565 Steinfurt, Federal Republic of Germany; Riether Strasse 61, 48317 Drensteinfurt, Federal Republic of Germany; Am Brook 10, 48165 Münster, Federal Republic of Germany; (declarant(s)) declare that I (WE) have invented certain improvements in: AQUEOUS PRIMARY DISPERSIONS AND COATING MATTERS, A METHOD FOR PRODUCING SAME AND THE USE THEREOF hereinafter the invention or inventions, described in U.S. Patent Application Serial No. \_\_\_\_\_, filed on Wednesday, May 29, 2002 and being owner(s) of all right, title and interest in and to said invention or inventions and to said application, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, BASF Coatings Aktiengesellschaft, a corporation of the Federal Republic of Germany having a place of business at, Glasuritstr. 1, 48165 Münster, Federal Republic of Germany (assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions, and to said application and to any and all patents to be obtained therefor;

NOW THEREFORE, in accordance with the terms of declarant(s) employment agreement, the declarant(s) does (do) hereby assign, transfer

**Docket: IN-5593**

and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the declarant(s) hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The declarant(s) further agrees(s), in accordance with the terms of declarant(s) employment agreement, without any payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said assignee, its successors, or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

**Title: AQUEOUS PRIMARY DISPERSIONS AND COATING  
MATTERS, A METHOD FOR PRODUCING SAME AND THE USE  
THEREOF**

**Docket: IN-5593**

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

**Dated:** 08/05/02

**Signed:** M. Bendix  
**Typed Name:** Maximilian BENDIX

**Witnessed:** Birgit Gohmann

**Name** / Birgit Gohmann  
**Address** Glasuritstr. 1  
48165 Münster  
Germany

**Witnessed:** Bettina Heinhold

**Name** Bettina Heinhold  
**Address** Glasuritstr. 1  
48165 Münster  
Germany

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**Dated:** 06/05/2002

**Signed:** Reinhold Claus  
**Typed Name:** Reinhold CLAUSS

**Witnessed:** Birgit Gohmann

**Name** Birgit Gohmann  
**Address** Glasuritstr. 1  
48165 Münster  
Germany

**Witnessed:** Bettina Heinhold

**Name** Bettina Heinhold  
**Address** Glasuritstr. 1  
48165 Münster  
Germany

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**Dated:** 06/05/2007

**Signed:** Ralf N. Nickolaus  
**Typed Name:** Ralf NICKOLAUS

**Witnessed:** Birgit Gohmann

Name Birgit Gohmann  
Address Glasuritstr. 1  
48165 Münster  
Germany

**Witnessed:** Bettina Heinhold

Name Bettina Heinhold  
Address Glasuritstr. 1  
48165 Münster  
Germany

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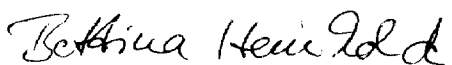
**Dated:** May 14, 2002

**Signed:**   
**Typed Name:** Wolfgang BREMSER

**Witnessed:** 

**Name** Monika Hollad

**Address** Glasuritstr. 1  
48165 Münster  
Germany

**Witnessed:** 

**Name** Bettina Heinhold

**Address** Glasuritstr. 1  
48165 Münster  
Germany