



08-19-2002

Our Docket No.: 800\_087

Form PTO 1595



EET

U.S. Department of Commerce  
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102194545

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents on hand thereof.

1. Name of conveying party(ies)

8-13-02  
Tomohiko SHIBATA, Keiichiro ASAI, Shigeaki  
SUMIYA and Mitsuhiro TANAKAAdditional name(s) of conveying parties attached ☒ No

2. Name and address of receiving party(ies):

Name: NGK Insulators, Ltd.

Address: 2-56, Suda-Cho, Mizuho-Ku  
Nagoya, Aichi-Prefecture 467-8530  
Japan

3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ OtherAdditional name(s) attached? ☒ NoExecution Date July 25, 2002 and August 5, 2002

4. Application number(s) or patent number(s)

If this document is being filed together with a **NEW APPLICATION**, the execution date of this application is \_\_\_\_\_

A. Patent Application No.(s)

10/163,256 filed June 5, 2002

B. Patent No.(s)

Additional Numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Stephen P. Burr

Address: BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068  
Telephone: (315) 233-8300  
Facsimile: (315) 233-83206. Total number of application and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

☒ Enclosed  
☒ Authorized to charge any deficiency or credit any over  
payment to Deposit Account No. 50-1446.

8. Charge Deposit account

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9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of  
the original document.Stephen P. Burr  
Reg. No. 32,970August 8, 2002  
DateTotal Number of Pages Comprising Cover Sheet 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
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Washington, D.C. 20231

08/15/2002 AOSMAN1 00000031 10163256

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PATENT  
REEL: 013192 FRAME: 0415

## ASSIGNMENT

(1)	<u>Tomohiko SHIBATA</u>	(5)	_____
(2)	<u>Keiichiro ASAI</u>	(6)	_____
(3)	<u>Shigeaki SUMIYA</u>	(7)	_____
(4)	Mitsuhiro TANAKA	(8)	

In consideration of the sum of dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(9) NGK Insulators, Ltd.

(10) 2-56, Suda-Cho, Mizuho-Ku  
Nagoya, Aichi-Prefecture 467-8530  
Japan

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

(11) III NITRIDE SEMICONDUCTOR SUBSTRATE FOR ELO  
(Case No. 800 087 (01P00276US))

for which the undersigned have executed an application for patent in the United States of America

(12) on \_\_\_\_\_

(13) U.S. Application(s) Serial Number(s) 10/163,256 for filed application(s) filed June 5, 2002

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) **Stephen P. Burr**, of **BURR & BROWN** the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date 5/8/2002 Signature Tomohiko Shibata (SEAL)  
Tomohiko SHIBATA

Date 26/7/2002 Signature Keiichiro Asai (SEAL)  
Keiichiro ASAI

Date 26/7/2002 Signature Shigeaki Sumiya (SEAL)  
Shigeaki SUMIYA

Date 25/7/2002 Signature Mitsuhiro Tanaka (SEAL)  
Mitsuhiro TANAKA

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. or (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date August 6, 2002 Witness Nobuhiro Terada

Date Aug. 6, 2002 Witness Mikio Kariya