

08-19-2002

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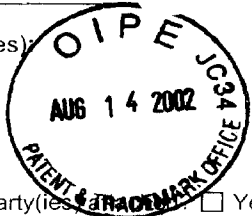
To the Honorable Commissioner of Pa

102194568

original documents or copy thereof.

1. Name of conveying party(ies)

Tatsuya HONDA
Nobuo NAGASHIMA



Additional name of conveying party(ies) ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Kaneka Corporation

Internal Address: 2-4, Nakanoshima 3-chome, Kita-ku

Osaka-shi, Osaka 530-8288

JAPAN

Street Address:

City: State: Zip:

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: December 4, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution data of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Neil M. McCarthy

Internal Address: KENYON & KENYON

1500 K Street, N.W.

Suite 700

Street Address:

City: Washington State: DC Zip: 20005

6. Total number of applications and patents involved: 0

7. Total fee (37 CFR 3.41) \$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

11-0600

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Neil M. McCarthy (Reg. No.: 43,435)

Name of Person Signing

Neil M. McCarthy
Signature

August 14, 2002
Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231 DC01 407048 v1

Form PTO-1595
(Rev. 03/01)
OMB No. 0651-0027 (exp. 5/31/2002)

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05-22-2002

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

09/959980

Tab settings ⇨ ⇨ ⇨



To the Honorable Commissioner of Patents

102099065

original documents or copy thereof.

1. Name of conveying party(ies):

Tatsuya HONDA
Nobuo NAGASHIMA

4-19-02

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Kaneka Corporation

Internal Address: 2-4, Nakanoshima 3-chome, Kita-ku

Osaka-shi, Osaka 530-8288

JAPAN

Street Address:

City: _____ State: _____ Zip: _____

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

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☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: December 4, 2001

4. Application number(s) or patent number(s): 09/959,980

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B. Patent No.(s)

09/959,980

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Additional numbers attached? ☐ Yes ☒ No

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Neil M. McCarthy (Reg. No.: 43,435)

Name of Person Signing

Signature

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Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231 DC01 407048 v1

ASSIGNMENT

WHEREAS, we, Tatsuya Honda and Nobuo Nagashima, citizens of Japan, residing at Sanseiso, 31-17, Shioyacho 6-chome, Tarumi-ku, Kobe-shi, Hyogo 655-0872 Japan and 13-1-302, Nishihata 1-chome, Takasago-shi, Hyogo 676-0025 Japan (hereinafter referred to as "the Assignor"); have made new and useful improvements in an invention entitled PROCESS FOR PRODUCING OPTICALLY ACTIVE AZETIDINE-2-CARBOXYLIC ACIDS, for which we are about to make application for Letters Patent in the United States, said application having been executed on even date hereof; and

WHEREAS, KANEKA CORPORATION, a corporation organized and existing under and by virtue of the laws of Japan, having its principle place of business at 2-4, Nakanoshima 3-chome, Kita-ku, Osaka-shi, Osaka 530-8288, Japan, who, together with its successors and assigns, (hereinafter called "Assignee,") is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited.

NOW, THEREFORE, in consideration of the equivalent of One U.S. Dollar (U.S. \$1.00) and other valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservations:

1. Assign, transfer, and convey to Assignee the Entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, including all divisional, renewal, substitute, and continuation applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of letters Patent granted for said inventions and discoveries or upon said applications.
2. Authorize and request the Assistant Commissioner for Patents of the United States of America to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.
3. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the

same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance.

4. Bind our heirs, legal representatives, and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives, or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this ____ day of DEC - 4, 2001.

Tatsuya Honda
Tatsuya Honda

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this ____ day of DEC. - 4, 2001.

Nobuo Nagashima
Nobuo Nagashima