

08-21-2002

Atty. Docket No. HER07 P-442

SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

NRD 8-19-02

102197383

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Claus Heine-Kempkens, Othmar Züger and
Michael HunzikerAdditional name(s) of conveying party(ies) attached? Yes ☒ No

2. Name and address of receiving party

Name: Unaxis Balzers AG

Internal Address:

Street Address: at 9496 Balzers

City: Fürstentum State: Liechtenstein

Additional name(s) of receiving party(ies) attached? Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: July 10 and 11, 2002

4. Application number(s) or patent number(s): 1

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No. 10/133,122 filed
April 26, 2002

B. Patent No.(s)

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gunther J. EvaninaAddress: Price, Heneveld, Cooper,DeWitt & LittonStreet Address: Post Office Box 2567City: Grand Rapids State: MI ZIP: 49501

6. Total number of applications and patents involved:

1

7. Total fee (37 C.F.R. § 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account number:

16-2463

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.Gunther J. Evanina

Name of Person Signing

Gunther J. Evanina

Signature

August 2, 2002

Date

2

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 013199 FRAME: 0164

ASSIGNMENT

WHEREAS, Claus Heine-Kempkens, Othmar Züger and Michael Hunziker, residing at Loestrasse 99, CH-7000 Chur, Switzerland; Oberfeld 94, FL-9496 Triesen, Fürstentum Liechtenstein; Schmiedgasse 40, CH-8640 Rapperswil, Switzerland, respectively (hereinafter referred to as Assignor), (has) (have) invented certain new and useful improvements in STRUCTURED OPTICAL ELEMENT AND PRODUCTION THEREOF for which an application for United States Letters Patent was filed on April 26, 2002 and assigned Application Number 10/133,122.

WHEREAS, Unaxis Balzers AG, a corporation of the country of Liechtenstein having a place of business at 9496 Balzers, Fürstentum Liechtenstein (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, in consideration of the sum of one dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable considerations, Assignor hereby sells, assigns and transfers unto said Assignee the full and exclusive right, title and interest to the said invention in the United States and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and in any and all foreign countries and in and to any and all divisions, reissues, continuations, continuation-in-part, and extensions thereof including the full right to claim for any such applications the benefits of the International Convention.

Assignor hereby authorizes and requests the Patent Office Officials in the United States and in any and all foreign countries to issue any and all of said Letters Patent, when granted, to said Assignee as the owner of the entire right, title and interest in and to the same, for the sole use and behoof of said Assignee, its successors and assigns.

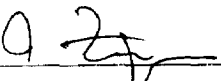
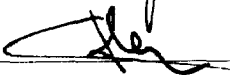

FURTHER, Assignor agrees to communicate to said Assignee or its representatives any facts known to Assignor respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuation-in-part, substitution, renewal, and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said Assignee, make all rightful oaths and generally do everything possible to aid said Assignee, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

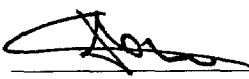
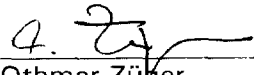
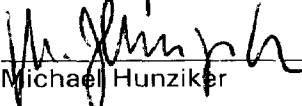
IN TESTIMONY WHEREOF, we have hereunto set our hands on the date appearing next to our signature.

Witness:

Inventor:

Date:


 Claus Heine-Kempkens

 Othmar Züger

 Michael Hunziker

