

AUG 19 2002

08-23-2002

FORM PTO-101
Expires 06/30/99
OMB 0651-0027

Department of Commerce
Patent and Trademark Office
PATENT



102200194
RECORDATION FORM COVER SHEET
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Submission Type

- ☐ New
☐ Resubmission (Non-Recordation)
☐ Document ID #
☒ Correction of PTO Error
Reel # 12824 Frame # 0593
☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other

U.S. Government

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- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Masaki Execution Date
Month Day Year
03 27 2002

Name (line 2) TAKEUCHI

Second Party

Name (line 1) Yukio Execution Date
Month Day Year
03 27 2002

Name (line 2) SHIBANO

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) SHIN-ETSU CHEMICAL CO., LTD.

Name (line 2)

Address (line 1) 6-1, Otemachi, 2-chome

Address (line 2) Chiyoda-ku

Address (line 3) Tokyo JAPAN

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Millen, White, Zelano & Branigan, P.C.

Address (line 1) 2200 Clarendon Boulevard

Address (line 2) Suite 1400

Address (line 3) Arlington, VA 22201

Address (line 4)

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Mail documents to be recorded with required cover sheet(s) information to:
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PATENT

REEL: 013206 FRAME: 0401

Correspondent Name and Address

Area Code and Telephone Number

(703) 243-6333

Name Millen, White, Zelano & Branigan, P.C.

Address (line 1) 2200 Clarendon Boulevard

Address (line 2) Suite 1400

Address (line 3) Arlington, VA 22201

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments

6

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached.

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

10/125,518

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$

Method of Payment:

Enclosed ☐

Deposit Account ☐

Deposit Account

Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

13-3402

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Anthony J. Zelano

Name of Person Signing

Signature

August 19, 2002

Date

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027

04/29/2002



102071878
RECORDATION FORM COVER SHEET
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U.S. Department of Commerce
Patent and Trademark Office
PATENT

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Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Execution Date
Month Day Year
3 27 02

Name (line 2)

Second Party

Name (line 1) Execution Date
Month Day Year
3 27 02

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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Name

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Address (line 2)

Address (line 3)

Address (line 4)

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04/26/2002 LNUJELLER 00000162 10125518

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10/125518
04/19/02

PATENT
REEL: 013206 FRAME: 0403

Correspondent Name and Address

Area Code and Telephone Number

(703) 243-6333

Name Millen, White, Zelano & Branigan, P.C.

Address (line 1) 2200 Clarendon Boulevard

Address (line 2) Suite 1400

Address (line 3) Arlington, VA 22201

Address (line 4)

Pages

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Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

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PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment:

Enclosed ☒

Deposit Account ☐

Deposit Account

Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

13-3402

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

June Comer

Name of Person Signing

Signature

April 19, 2002

Date

ASSIGNMENT

WHEREAS the below named inventor (if only one inventor is named below) or inventors (if plural inventors are named below) hereinafter referred to as the ASSIGNOR invented a certain improvement relating to

Glass Substrate for Photomasks and Preparation Method

- ☐ for which an application for Letters Patent to be filed in the United States Patent and Trademark Office was executed on even date.
- ☐ for which U.S. Application Serial No. _____ for Letters Patent was filed in the U.S. Patent and Trademark Office on _____.
- ☐ for which an International Application was filed on _____ PCT/ _____ / _____ designating the United States.

AND WHEREAS **Shin-Etsu Chemical Co., Ltd. of**
6-1, Otemachi, 2-chome, Chiyoda-ku, Tokyo, Japan

hereinafter referred to as the ASSIGNEE, is desirous of acquiring the entire right, title, and interest in and to said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues, reexaminations and extensions thereof.

NOW, WITNESSETH that for good and valuable consideration, the receipt whereof is hereby acknowledged, ASSIGNOR hereby assigns, sells, and transfers to ASSIGNEE, its assigns and legal representatives, the entire and exclusive right, title, and interest in and to said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted therefor, including any and all renewals, reissues, reexaminations and extensions thereof, and in and to any and all priority and/or convention rights or benefits accruing or to accrue with respect to the filing or securing of patents in the United States and/or any countries foreign thereto, SAID ASSIGNEE, its assigns and legal representatives to have, hold, exercise, and enjoy said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted therefor, including any and all renewals, reissues, reexaminations and extensions thereof, with all the rights, powers, privileges and advantages in anywise arising from or appertaining thereto, for and during the term or terms of any and all such Letters Patent when granted, including any and all renewals, reissues, reexaminations and extensions thereof, for the use and benefit of ASSIGNEE and its assigns and legal representatives, in as ample and beneficial a manner to all intents and purposes as the ASSIGNOR might or could have held and enjoyed the same, if the assignment had not been made.

AND ASSIGNOR hereby agrees to execute all papers that may be necessary to file applications in the United States and foreign countries for said invention and to assign the same to said ASSIGNEE, its assigns and legal representatives and to execute any other papers that may be needed in connection with filing said applications and securing Letters Patents thereon.

AND ASSIGNOR authorizes and requests the Commissioner of Patents and Trademarks to issue a Letters Patent on said application, and on any and all divisions and continuations thereof, to ASSIGNEE, its assigns and legal representatives, in accordance herewith.

The undersigned hereby grant(s) the law firm of Millen, White, Zelano & Branigan, P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN TESTIMONY WHEREOF this assignment is executed by ASSIGNOR.

201	FULL NAME OF SOLE OR FIRST NAMED INVENTOR Masaki TAKEUCHI	INVENTOR'S SIGNATURE <i>Masaki Takeuchi</i>	DATE 3/27/02
202	FULL NAME OF SECOND JOINT INVENTOR, IF ANY Yukio SHIBANO	INVENTOR'S SIGNATURE <i>Y. Shibano</i>	DATE 3/27/02
203	FULL NAME OF THIRD JOINT INVENTOR, IF ANY	INVENTOR'S SIGNATURE	DATE
204	FULL NAME OF FOURTH JOINT INVENTOR, IF ANY	INVENTOR'S SIGNATURE	DATE
205	FULL NAME OF FIFTH JOINT INVENTOR, IF ANY	INVENTOR'S SIGNATURE	DATE
206	FULL NAME OF SIXTH JOINT INVENTOR, IF ANY	INVENTOR'S SIGNATURE	DATE
207	FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY	INVENTOR'S SIGNATURE	DATE
208	FULL NAME OF EIGHTH JOINT INVENTOR, IF ANY	INVENTOR'S SIGNATURE	DATE
209	FULL NAME OF NINTH JOINT INVENTOR, IF ANY	INVENTOR'S SIGNATURE	DATE
210	FULL NAME OF TENTH JOINT INVENTOR, IF ANY	INVENTOR'S SIGNATURE	DATE
211	FULL NAME OF ELEVENTH JOINT INVENTOR, IF ANY	INVENTOR'S SIGNATURE	DATE
212	FULL NAME OF TWELFTH JOINT INVENTOR, IF ANY	INVENTOR'S SIGNATURE	DATE

MWZ 16a

RECORDED: 08/19/2002

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PATENT
REEL: 013206 FRAME: 0405