

08-23-2002



IEET

Docket No.: T8466361US2

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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102200263

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Gregory M. Ross
2. Igor L. Shamovsky
3. Sandra Marone
4. Donald F. Weaver
5. Richard J. Riopelle

8-15-02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 1, 2, 5 - 06/08/00 and 3, 4 - 06/06/00

2. Name and address of receiving party(ies):

Name: Queen's University at Kingston

Internal Address:

Street Address:

City: Kingston State: ON ZIP: K7L 3N6

Additional name(s) & address(es) attached? ☐ Yes ☒ No



4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: August 15, 2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Omar A. Nassif

Internal Address: Gowling Lafleur Henderson LLP

Street Address: Suite 4900, Commerce Court West

City: Toronto State: ON ZIP: M5L 1J3

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☒ Authorized to be charged to deposit account

8. Deposit account number:

07-1750

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Omar A. Nassif

Name of Person Signing

Signature

August 15, 2002

Date

Total number of pages including cover sheet, attachments, and document:

9

## ASSIGNMENT

We, **Gregory M. ROSS**, whose full post office address is 1090 Caitlin Crescent, Kingston, Ontario, K7P 2S4, Canada; **Igor L. SHAMOVSKY**, whose former full post office address was 6-202, 17 Van Order Drive, Kingston, Ontario, K7M 1B5, Canada and whose current full post office address is 553 Quail Court, Kingston, Ontario, K7M 8Z4, Canada; **Sandra MARONE**, whose former full post office address was 6A-244 Sir John A. McDonald Boulevard, Kingston, Ontario, K7M 5W9, Canada, and whose current full post office address is 234 Victoria Street, Kingston, Ontario, K7L 3Y8, Canada; **Donald F. WEAVER**, whose full post office address is 27 Collegeview Crescent, Kingston, Ontario, K7M 7J8, Canada; and **Richard J. RIOPELLE**, whose full post office address is 32 Lakeshore Boulevard, Kingston, Ontario, K7M 4J6, Canada; in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, do hereby sell and assign to **Queen's University at Kingston**, a non-profit educational corporation, whose full post office address is Kingston, Ontario, K7L 3N6, Canada (hereinafter **Queen's**) all our rights, title and interest, including priority rights, in and to our invention relating to **Method of Inhibiting Neurotrophin-Receptor Binding** as set forth in the applications for Letters Patent of the United States of America, filed May 17, 1999, Serial No. 60/134,578, and filed May 2, 2000, Serial No. 09/562,721, and in the International Patent Application filed May 11, 2000, Application No. PCT/CA00/00542, in and for the United States of America, Canada, and all countries foreign thereto in its name or in any name at its election.

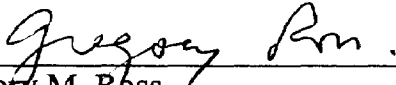
We hereby covenant and agree that we will execute or procure any further necessary documents of the title to said invention and Letters Patents, and that we will, at any time, upon the request and at the expense of Queen's, execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patents which may be granted therefor, to Queen's; we will, at any time, upon the request and at the expense of Queen's, testify in any legal proceedings, sign all lawful papers, execute any additional, divisional, substituting, continuing or reissue applications for patents for said invention, or any part or parts thereof, make all rightful oaths and generally do all lawful acts to aid Queen's, its successors, assigns or other legal representatives to obtain and enforce patent protection in said invention in all countries, without further compensation, but at the expense of Queen's, its successors, assigns or other legal

representatives.

And we hereby authorize and request the Commissioner of Patents or the Comptroller (as the case may be) to issue any and all Letters Patent for said invention or resulting from said application, or from any division, reissue or extension thereof, to Queen's as our sole assignee.

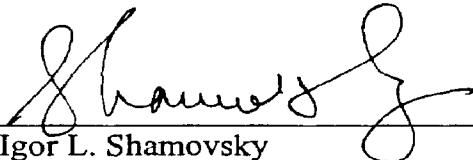
In testimony whereof I have hereunto set my hand:

this 8 day of JUNE, 2000

  
\_\_\_\_\_  
Gregory M. Boss

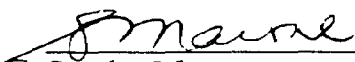
In testimony whereof I have hereunto set my hand:

this 8<sup>th</sup> day of June, 2000

  
\_\_\_\_\_  
Igor L. Shamovsky

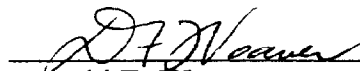
In testimony whereof I have hereunto set my hand:

this 06 day of June, 2000

  
\_\_\_\_\_  
Sandra Marone

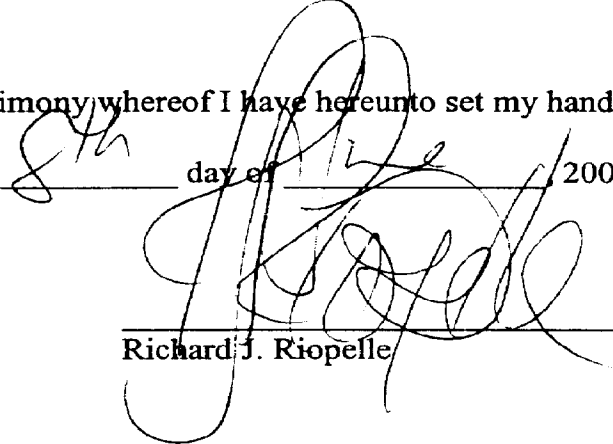
In testimony whereof I have hereunto set my hand:

this 6<sup>th</sup> day of June, 2000

  
Donald F. Weaver

In testimony whereof I have hereunto set my hand:

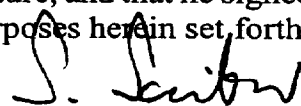
this 8<sup>th</sup> day of June, 2000

  
Richard J. Riopelle

### CERTIFICATE

City of Kingston )  
Province of Ontario )

On this 8 day of June 2000 appeared before me in person the above  
**Gregory M. Ross** and acknowledged the above to be his signature, and that he signed and delivered  
the above instrument as his voluntary act and deed for the purposes herein set forth.



Stephen J Scribner  
Notary Public  
Province of Ontario

Stephen Joseph Scribner, Notary Public.  
Frontenac Board of Management, limited to the  
attestation of instruments and the taking of affidavits,  
for PARTEQ R & D Innovations.  
Expires January 9, 2001.

City of Kingston )  
Province of Ontario )

On this 8 day of June 2000 appeared before me in person the above  
**Igor L. Shamovsky** and acknowledged the above to be his signature, and that he signed and  
delivered the above instrument as his voluntary act and deed for the purposes herein set forth.

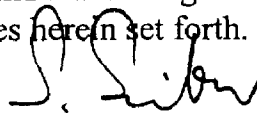


Stephen J. Scribner  
Notary Public  
Province of Ontario

Stephen Joseph Scribner, Notary Public,  
Frontenac Board of Management, limited to the  
attestation of instruments and the taking of affidavits,  
for PARTEQ R & D Innovations.

City of Kingston )  
Province of Ontario )

On this 6 day of June 2000 appeared before me in person the above  
**Sandra Marone** and acknowledged the above to be her signature, and that she signed and delivered  
the above instrument as her voluntary act and deed for the purposes herein set forth.



Stephen J Scribner  
Notary Public  
Province of Ontario

Stephen Joseph Scribner, Notary Public,  
Frontenac Board of Management, limited to the  
attestation of instruments and the taking of affidavits,  
for PARTEQ R & D Innovations.

City of Kingston )  
Province of Ontario )

On this 6 day of June 2000 appeared before me in person the above  
**Donald F. Weaver** and acknowledged the above to be his signature, and that he signed and  
delivered the above instrument as his voluntary act and deed for the purposes herein set forth.

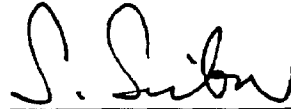


Stephen J. Scribner  
Notary Public  
Province of Ontario

Stephen Joseph Scribner, Notary Public,  
Frontenac Board of Management, limited to the  
attestation of instruments and the taking of affidavits,  
for PARTEQ R & D Innovations.  
Expires January 9, 2001.

City of Kingston )  
Province of Ontario )

On this 8 day of June 2000 appeared before me in person the above  
**Richard J. Riopelle** and acknowledged the above to be his signature, and that he signed and  
delivered the above instrument as his voluntary act and deed for the purposes herein set forth.



Stephen J. Scribner  
Notary Public  
Province of Ontario

Stephen Joseph Scribner, Notary Public.  
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