

08-23-2002

 08/13/02
 10/217396
 U.S. PTO
Form PTO-1595
(Rev. 03/01)

REC'D



102198736

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇌ ⇌ ⇌

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gerard M. Ludtka

08/13/02

2. Name and address of receiving party(ies)

Name: UT-Battelle LLC

Internal Address: Oak Ridge National Laboratory

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Street Address: Post Office Box 2008

City: Oak Ridge State: TN Zip: 37831

Execution Date: Aug. 1, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 08-01-2002

A. Patent Application No.(s)

B. Patent No.(s)

10/217396

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard T. Roche

Internal Address: Quarles & Brady LLP

Street Address: 411 E. Wisconsin Ave.

City: Milwaukee State: WI Zip: 53202

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 17-0055

DO NOT USE THIS SPACE

9. Statement and signature.

Richard T. Roche, Reg. No. 38,599

Name of Person Signing

Richard T. Roche

Signature

8-13-02

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

08/22/2002 6TOM11 00000129 170055 10217396

01 FC:581

40.00 CH

PATENT
REEL: 013208 FRAME: 0357

ASSIGNMENT

WHEREAS, I, the below-named inventor whose residence is as stated below, have made certain inventions which are described in an application for Letters Patent of the United States entitled "Method for Residual Stress Relief and Retained Austenite Destabilization" executed by me on even dates preparatory to obtaining United States Letters Patent therefor, and

WHEREAS, UT-Battelle LLC, a limited liability company organized and existing under the laws of the State of Tennessee, having an office at Oak Ridge National Laboratory, Post Office Box 2008, Oak Ridge, Tennessee 37831-6498, hereinafter called the ASSIGNEE, is desirous of acquiring the entire right, title and interest in the application and invention and to any Letters Patent that may be granted therefor in the United States of America and in any and all foreign countries.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign to ASSIGNEE the entire right, title and interest in the application and the invention, to all rights of priority thereto pursuant to the International Convention for the Protection of Industrial Property, and in any Letters Patent on the invention that may be granted in the United States of America and in any and all foreign countries, including any and all Letters Patent that are divisions, reissues, continuations, substitutions or extensions of any Letters Patent or applications therefor on the invention.

I hereby authorize and request the Commissioner of the United States Patent and Trademark Office and the officials of any and all foreign countries to issue any and all of said Letters Patent, when granted, to the ASSIGNEE.

Further, I hereby agree to communicate to said ASSIGNEE or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitution, renewal and reissue applications, to execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, and to make all rightful oaths and generally do everything possible to aid said ASSIGNEE and its representatives to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

I further agree that the terms, covenants and conditions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns, and legal representatives, and shall be binding on me, my heirs, legal representatives, and assigns.

Full name of first inventor: Gerard M. Ludtka

Signature: Gerard M. Ludtka

Residence: Oak Ridge, TN

State of Tennessee)

County of Roane)

On this 1st day of August, 2002, before me, a Notary Public in and for the County and State aforesaid, appeared Gerard M. Ludtka to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he/she executed said instrument as his/her free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Judith A. McKinney
Notary Public

My Commission Expires: May 22, 2005

SEAL

QBMKE\5281130.1