

08-27-2002

Docket No.:

IEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



102201716

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Advanced Life Sciences, Inc.

8.22.02

2. Name and address of receiving party(ies):

Name: MediChem Research, Inc.

Internal Address:

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Street Address: 2501 Davey Road

City: Woodridge State: IL ZIP: 60517

Execution Date: March 15, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/737,204

09/927,214

10/087,102

B. Patent No.(s)

6,044,212

6,175,816

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Amir N. Penn

Internal Address: McDonnell Boehnen Hulbert

& Berghoff

Street Address: 300 South Wacker Drive, Suite 3200

City: Chicago

State: IL

ZIP: 60606

6. Total number of applications and patents involved

5

7. Total fee (37 CFR 3.41): \$ 200.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

13-2490

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true, and correct and any attached copy is a true copy of the original document.

Amir N. Penn

Name of Person Signing

Signature

August 16, 2002

Date

Total number of pages including cover sheet, attachments, and document:

5

PATENT
REEL: 013211 FRAME: 0353

ASSIGNMENT

WHEREAS, Advanced Life Sciences, Inc., having its place of business at 1005 Internationale Parkway, Suite 200, Woodridge, IL 60517 ("ALS") owns all rights, title, and interest in patents and patent applications listed in Appendix A; and MediChem Research, Inc., ("MediChem"), having its place of business at 2501 Davey Road, Woodridge, Illinois 60517, desires to own ALS's entire right, title, and interest in and to the invention, in all countries throughout the world, and in and to the patents and patent applications listed in Appendix A hereto.

NOW THEREFORE, be it known that, for good and valuable consideration, receipt of which is hereby acknowledged, ALS hereby sells, assigns, transfers, and sets over to MediChem, its legal representatives, successors and assigns, ALS's entire right, title, and interest in and to the patents and patent applications listed in Appendix A, the invention claimed in the patents and patent applications listed in Appendix A hereto, any other patent application directed to the invention, and all Letters Patent of the United States that may be granted thereon, and all reissues, reexaminations, continuations, divisions, continuations-in-part, and extensions thereof; and all rights to claim priority on the basis of such application, and all applications for Letters Patent that may be filed for the invention in any foreign country and all Letters Patent that may be granted on the invention in any foreign country, and all extensions, continuations, continuations-in-part, divisions, renewals, and reissues thereof; and ALS hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to MediChem, its legal representatives, successors and assigns, in accordance with the terms of this Assignment;

AND, ALS HEREBY further covenants that it has the full right to convey the interest assigned by this Assignment, it will take all action and execute all documents necessary to perfect the interest assigned hereby, and it has not executed and will not execute any agreement in conflict with this Assignment;

AND, ALS HEREBY further covenants and agrees that it will, through its officers and employees, without further consideration, communicate with MediChem, its legal representatives, successors and assigns, any facts known to ALS and its officers and employees

respecting the invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to the invention in said MediChem, its legal representatives, successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths, and generally do everything possible to aid MediChem, its legal representatives, successors and assigns, to obtain and enforce proper patent protection for the invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by MediChem, its legal representatives, successors and assigns.

WITNESS my hand and seal this 15th day of March, 2002.

ADVANCED LIFE SCIENCES, INC.

Name:

Title:

State of:

County of:

The foregoing instrument was acknowledged before me this 15th day of
March, 2002 by

NOTARY PUBLIC

WITNESS my hand and seal this 15th day of March, 2002.

ADVANCED LIFE SCIENCES, INC.

Michael T. Flavin

Name: MICHAEL T. FLAVIN

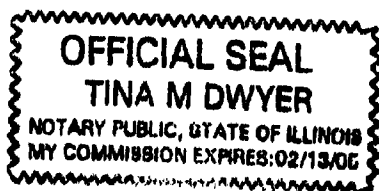
Title: CHIEF EXECUTIVE OFFICER

State of: *Illinois*

County of: *DeWitt*

The foregoing instrument was acknowledged before me this 15th day of March,

2002, by



Tina M. Dwyer
NOTARY PUBLIC

APPENDIX A

Patent Applications

U.S. Application Serial No. 09/737,204, filed 12/14/00

U.S. Application Serial No. 09/322,314, filed 8/2/01

U.S. Application Serial No. 09/927,214, filed 8/14/01

U.S. Application Serial No. 60/273,155, filed 3/2/01

U.S. Application Serial No. TBA (claiming priority off 60/273,155), filed 03/01/02

Patents

U.S. Patent No. 6,044,212, issued 3/28/00

U.S. Patent No. 6,175,816, issued 1/16/01